

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vratil, Kathryn H.	2. Court or Organization United States District Court - District of Kansas	3. Date of Report 04/21/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court 500 State Avenue Kansas City, Kansas 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1 (reportable assets are included in Part VII)
2. Trustee	Trust #2 (reportable assets are included in Part VII)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

04/21/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Vratil, Kathryn H.	04/21/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

04/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Individual Assets (H)									
2. Commerce Bank (cash)	A	Interest	K	T					
3. Rental Property, West Chester, PA	E	Rent	O	W					
4. Account #1 (H)									
5. Fidelity Government Cash Reserves (FDRXX) (cash) (Y)									
6. Fidelity 500 Index Institutional Prem (FXAIX)	A	Dividend	K	T	Sold (part)	11/06/20	J	C	
7. Matthews Asia Dividend Fund (MAPIX)	A	Dividend	K	T	Sold (part)	11/06/20	J	A	
8. iShares Tr Gl Clean Ene ETF (ICLN)	A	Dividend	J	T	Buy	11/10/20	J		
9. Technology Select Sector SPDR (XLK)	A	Dividend	K	T					
10. Account #2 (H)									
11. American New World Fund Cl F2 (NFFFX)	A	Dividend	J	T					
12. Account #3 (H)									
13. Schwab Cash Reserves Sweep Shares (cash)	A	Interest	K	T					
14. Account #4 (H)									
15. Fidelity Government Cash Reserves (FDRXX) (cash)	A	Interest	K	T					
16. Fidelity Advisor Small Cap Growth Cl Z (FIDGX)	C	Dividend	K	T					
17. Fidelity Select Technology (FSPTX)	D	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

04/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fidelity Select Defense & Aerospace (FSDAX)	A	Dividend			Sold	05/04/20	J		
19. Vanguard Tax Managed Bal PRTF Adm (VTMFX)	C	Dividend	M	T					
20. Vanguard GL Minimum Volatility Investor (VMVFX)	B	Dividend	L	T					
21. Fidelity Momentum Factor ETF (FDMO)	A	Dividend			Sold	02/05/20	K	D	
22. Fidelity Dividend ETF for Rising Rates (FDRR)	B	Dividend	L	T					
23. IShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	K	T	Sold	05/04/20	K		
24.					Buy	06/15/20	K		
25. IShares Core S&P US Growth ETF (IUSG)	A	Dividend	L	T	Buy	02/10/20	K		
26.					Buy (add'l)	08/10/20	K		
27. Schwab Strategic TR US Dividend Equity (SCHD)	B	Dividend	L	T					
28. Vanguard Utilities ETF (VPU)	B	Dividend	K	T	Buy (add'l)	06/08/20	J		
29. IShares Tr Aaa - A Rated Corp Bd ETF (QLTA)	A	Dividend	K	T	Buy	08/10/20	K		
30. Vanguard Real Estate ETF (VNQ)	A	Dividend	K	T	Buy (add'l)	06/08/20	J		
31. Arizona St Transn Brd Grant Antic Nts (040647DN6)	A	Interest			Redeemed	07/01/20	J		
32. Miami-Dade Cnty Fla Health Facs Auth (59334NEA6)	A	Interest			Redeemed	08/03/20	J		
33. Mississippi Dev Bk Spl Oblig Walnut Grove Youth Corr (60534WCG1)	A	Interest			Redeemed	08/03/20	J		
34. Washington St Health Care Facs Auth Rev (93978HBN4)	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

04/21/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Middlesex Cnty NJ CTFPS PARTN REF COPS Civic Square II (596562HD3)	A	Interest	K	T					
36. Kentucky St Tpk Auth Economic Dev Rd (491552WD3)	A	Interest	J	T					
37. Wyandotte ETC K 4.125% (982674FT5)	A	Interest	J	T					
38. Wyandotte ETC KS 4.75% (982674GA5)	A	Interest	J	T					
39. University Tex Univ Revs Rev Ref Bds (91514AHT5)	A	Interest	J	T					
40. University Alaska Univ Revs Gen Rev Bds (914046ZC4)	A	Interest			Sold	06/09/20	J		
41. Kentucky St PPPY & BLDGS Commn (49151FCB4)	A	Interest	J	T					
42. Houston Tex Cmnty College Rev (442368LL8)	A	Interest	J	T					
43. University Tex Univ Revs Rev Ref Bds (91514AHX6)	A	Interest	J	T					
44. Washington Fed Hwy Grnt (938823AU5)	A	Interest	K	T					
45. Perry TWP Multi Sch Bldg Corp (715029FZ2)	A	Interest	J	T					
46. District Columbia Income Tax Rev (25477GKB0)	A	Interest	K	T					
47. District Columbia Rev Deed Tax Rev Bds (25483VLH5)	A	Interest	J	T					
48. Corpus Christi Tex Util Sys Rev (220245UC6)	A	Interest	K	T					
49. King Cty Wash School Dist No 400 Mercer (495026RT5)	A	Interest	J	T					
50. Hanover Ind Middle Sch Bldg Corp Ref (41084UEA0)	A	Interest	J	T					
51. Kyle Tex Go and Ref BDS SER (501552JP9)	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Olathe KS 4% 29 DB (679384CL9)	A	Interest			Redeemed	10/01/20	J		
53. Account #5 (H)									
54. Fidelity Government Cash Reserves (FDRXX) (cash equivalent) (Y)									
55. IShares Tr Ultr Sh Trm Bd (ICSH)	A	Dividend	J	T	Buy	08/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

04/21/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Vratil, Kathryn H.

Date of Report

04/21/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kathryn H. Vratil

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544