

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WALKER., CHARLES, M.	2. Court or Organization USBC (M.D. TENN)	3. Date of Report 11/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2ND FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner	G Investment Group (Company is inactive.)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. MBB ISHARES MBS ETF (X)	A	Dividend			Sold	02/20/20	J	A	
2. GOVT ISHARES US TREASURY BOND ETF	A	Dividend	J	T	Buy (add'l)	02/20/20	J		
3. QUS SPDR MSCI USA STRATEGICFACTORS ETF	A	Dividend			Sold	04/15/20	J	A	
4. SIZE ISHARES EDGE MSCI USA SZ FCTR ETF	A	Dividend			Sold	04/15/20	J	A	
5. GOVT ISHARES US TREASURY BOND ETF	A	Dividend	J	T	Sold (part)	04/15/20	J	A	
6. RYT INVESCO S&P 500 EQUAL WEIGHT TECHNOLOGY ETF	A	Dividend			Sold	04/15/20	J	A	
7. LQD ISHARES IBOXX INVT GRADEBOND ETF	A	Dividend	J	T	Buy (add'l)	04/15/20	J		
8. FTEC FIDELITY MSCI INFOR TECHINDX ETF	A	Dividend	J	T	Buy (add'l)	04/15/20	J		
9. IHI ISHARES US MEDICAL DEVICES ETF	A	Dividend	J	T	Buy (add'l)	04/15/20	J		
10. FLRN SPDR BLMBRG BRCLY INV GRFLTN ETF (X)	A	Dividend			Sold	04/15/20	J	A	
11. SCHM CHARLES SCHWAB US MC ETF	A	Dividend			Sold	04/15/20	J	A	
12. BSV VANGUARD SHORT-TERM BOND ETF	A	Dividend	J	T	Buy (add'l)	04/15/20	J		
13. IUSV ISHARES CORE US VALUE ETF	A	Dividend	J	T	Buy (add'l)	04/15/20	J		
14. FNCL FIDELITY MSCI FINANCIALSINDX ETF	A	Dividend	J	T	Buy	07/29/20	J		
15. SCHF SCHWAB INTERNATIONAL EQUITY E ETF IV	A	Dividend	J	T	Buy (add'l)	07/29/20	J		
16. SCHM SCHWAB US SMALL CAP ETF	A	Dividend	J	T	Buy (add'l)	07/29/20	J		
17. SCHE SCHWAB EMERGING MARKETS EQUITY ETF	A	Dividend	J	T	Sold (part)	07/29/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. SCHA SCHWAB US SMALL CAP ETF	A	Dividend	J	T	Sold (part)	10/29/20	J	A	
19. SCHF SCHWAB INTERNATIONAL EQUITY ETF	A	Dividend	J	T	Sold (part)	10/29/20	J	A	
20. FNCL FIDELITY MSCI FINANCIALSINDX ETF	A	Dividend			Sold	10/29/20	J	A	
21. IUSV ISHARES CORE US VALUE ETF	A	Dividend			Sold	10/29/20	J	A	
22. USMV ISHARES MSCI USA MIN VOLFCTR ETF	A	Dividend			Sold	10/29/20	J	A	
23. VLUV ISHARES MSCI USA VALUE FACTOR ETF	A	Dividend	J	T	Buy	10/29/20	J		
24. MBB ISHARES MBS ETF IV	A	Dividend	J	T	Buy	10/29/20	J		
25. SCHB SCHWAB US BROAD MARKET ETF	A	Dividend	J	T	Buy (add'l)	10/29/20	J		
26. SCHX SCHWAB LARGE CAP ETF	A	Dividend	J	T					
27. SWVXX SCHWAB VALUE ADVANTAGE	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ CHARLES, M. WALKER,

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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