

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S.C.A., Second Circuit	3. Date of Report 6/4/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Daniel Patrick Moynihan U.S. Courthouse 500 Pearl St. New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Corporator	Hartford Hospital
2. Corporator	Institute of Living
3. Elector	Hartford Atheneum
4. Life Regent	University of Hartford
5. Board Member	Connecticut Appleseed Foundation
6. Chair	Friends of the Library of the Supreme Court of Israel, Inc.
7. Trustee	No. 1 ☐ Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS AND CASH:									
2. Bank of America -- West Htfd. CT., Checking Acct.	A	Interest	K	T					
3. Smith Barney, New Haven, CT., Money Market Acct.	A	Interest	J	T					
4. ☐ People's Bank	A	Interest	J	T					
5. ☐ Sovereign Bank	A	Interest	K	T					
6. ☐ Wells Fargo Bank	A	Interest	J	T					
7. BONDS:									
8. Houma La. Transit '11	C	Interest			Matured	4/1/11	K		
9. Lafayette La. Transit '11	B	Interest			Matured	4/1/11	K		
10. W. Monroe La. '11	A	Interest			Matured	4/1/11	K		
11. Conn. Health 4.9 '13	B	Interest	K	T					
12. Meriden '11 3.75	B	Interest			Matured	8/15/11	K		
13. CT Tax '12 4.3	A	Interest	J	T					
14. Seymour CT '13 3.5	A	Interest			Redeemed	8/15/11	K		
15. Brookfield CT '14 3.5	B	Interest			Redeemed	8/1/11	L		
16. CT Spl. Tax '12 5.5	B	Interest	K	T					
17. Groton '12 4.0	B	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CT '13 4.25	B	Interest	L	T					
19. Puerto Rico '14 5.25	C	Interest	K	T					
20. Bridgeport '11 4.25	B	Interest			Matured	8/15/11	K		
21. Bridgeport '12 5.0	B	Interest	L	T					
22. CT G.O. '13 5.0	B	Interest	L	T					
23. Hartford Cty. '13 3.25	C	Interest	M	T					
24. Fairfield '15 4.25	B	Interest	L	T					
25. Bridgeport '13 5.0	B	Interest	L	T					
26. CT G.O. '13 5.375	C	Interest	M	T					
27. CT G.O. '14 5.0	C	Interest	M	T					
28. Glastonbury '13 4.25	B	Interest	L	T					
29. CT G.O. '20 5.0	C	Interest			Redeemed	11/15/11	L		
30. UConn '11 3.2	A	Interest			Matured	2/15/11	J		
31. CT RFDG, '16 5.0	C	Interest	M	T					
32. CT Spl. Tax '15 2.75	B	Interest	M	T					
33. CT Health '16 5.0	C	Interest	M	T					
34. New Haven '12 4.0	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. CT Health '15 3.4	A	Interest	L	T					
36. Hartford '15 5.25	C	Interest	L	T					
37. North Branford '16 3.75	C	Interest	L	T					
38. CT G.O '16 4.0	B	Interest	L	T					
39. Norwch '13 4.375	B	Interest	L	T					
40. UConn. '16 5.0	B	Interest	L	T					
41. Darien '14 2.0	A	Interest	L	T					
42. Brookfield '14 3.5	B	Interest	L	T					
43. Stratford '13 3.25	A	Interest	L	T					
44. CT Hsg. Fin. '12 0.9	A	Interest	L	T					
45. UConn '12 3.0	B	Interest	M	T					
46. Shelton '17 2.125	B	Interest	K	T					
47. CT G.O '16 5.0	C	Interest	L	T					
48. CT Ser. C '16 5.0	B	Interest	L	T					
49. Danbury '19 2.5	B	Interest	L	T					
50. Bay County, FL '19 4.25		None	M	T	Buy	12/5/11	M		
51. Florida Turnpike '14 5.0		None	L	T	Buy	12/5/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Babylon, NY '13 5.0		None	L	T	Buy	12/22/11	L		
53. NY Hsg. '18 2.25		None	L	T	Buy	12/22/11	L		
54. STOCKS:									
55. Royal Dutch Petroleum	D	Dividend	M	T	Sold (part)	5/3/11	L	E	
56. Air Products	C	Dividend	M	T	Sold (part)	2/24/11	L	E	
57. Johnson Contr.	C	Dividend	M	T	Sold (part)	2/24/11	M	G	
58. Merck	D	Dividend	M	T					
59. Cummings Engine	B	Dividend	N	T	Sold (part)	5/3/11	M	F	
60. Minn. Mining	B	Dividend	L	T					
61. Johnson & Johnson	C	Dividend	L	T					
62. Microsoft	B	Dividend	L	T					
63. Cisco	A	Dividend	L	T					
64. Total Fina	D	Dividend	M	T					
65. Devon Energy	A	Dividend	L	T					
66. FNMA		None			Sold	10/31/11	J		
67. Medco		None	M	T					
68. Calamos Fund	A	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Henderson Fund	A	Dividend	K	T					
70. Jennison Fund		None	K	T					
71. Dreyfus Fund		None	K	T					
72. Mainstay Fund		None	K	T					
73. Fed Max Cap Fund	A	Dividend	K	T					
74. Schwab 1000 Fund	A	Dividend	K	T					
75. OTHER:									
76. 1/2 W. Htfd., CT., Condo purchased 8/9/88 \$115,000		None	L	R					
77. Thames & Hudson Royalty Contract	A	Royalty	J	W					
78. Condo, University Park, FL purchased 4/9/99, \$179,000 (Y)									
79. AIG Annuity (IRA)	B	Interest	K	T					
80. AIG Annuity (IRA)	A	Interest	J	T					
81. TRUST # 1	E	Int./Div.	P1	T					
82. - Dupont									
83. - Exxon Mobil									
84. - G.E.									
85. - Norfolk Southern									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. - M & T Bank									
87. - Pfizer									
88. - Insteel									
89. - Alexander and Baldwin									
90. - Corning									
91. - Honeywell									
92. - Lucent					Sold	9/28/11	J		
93. - Pitney Bowes									
94. - Philips									
95. - Tyco Int'l.									
96. - Hartford Cty. '12 3.125									
97. - New Caanan '13 3.75									
98. - CT New Money '11 3.625					Matured	11/15/11	K		
99. - Newtown '15 3.5									
100. - Ledyard '13 5.0									
101. - New Haven '20 5.0									
102. - Covidien									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

103. - Tyco									
104. - CT Hlth & Ed. '27 5.125					Redeemed	3/15/11	K	A	
105. - CT Spl. Tax '20 3.9									
106. - SmithBarney Bank Deposit Program									
107. - NY Prior Issues '17 5.0					Buy	12/21/11	L		
108. - Niskayuna NY '15 5.0					Buy	12/21/11	L		
109. ■ TRUST # 2	G	Int./Div.	P1	T					
110. - Home Depot									
111. - McDonald's					Buy (add'l)	5/11/11	J		
112. - McDonalds					Sold (part)	12/21/11	J	A	
113. - General Mills									
114. - Pepsico					Sold	4/25/11	K	D	
115. - Pepsico					Buy	5/11/11	J		
116. - Procter & Gamble					Buy (add'l)	5/11/11	J		
117. - Sara Lee									
118. - American Express					Buy (add'l)	5/11/11	J		
119. - Plum Creek Timber									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Transatlantic Holdings					Sold	4/25/11	K	E	
121. - St. Paul Travelers									
122. - Baxter International									
123. - Cigna									
124. - Edwards Lifescience									
125. - Medco Health Solutions									
126. - TEVA Pharmaceutical					Sold	4/25/11	K	A	
127. - Dover					Sold	4/25/11	M	G	
128. - Emerson Electric									
129. - Minnesota Mining									
130. - Agilent									
131. - Automatic Data					Buy (add'l)	5/11/11	J		
132. - Intel									
133. - Microsoft					Buy (add'l)	5/1/11	J		
134. - Microsoft					Sold	5/23/11	J		
135. - RPM Int'l									
136. - Dominion Resources					Sold (part)	4/25/11	M	G	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Simsbury CT '11 3.75					Matured	1/18/11	K		
138. - Glastonbury CT '11 3.75					Matured	6/15/11	K	A	
139. - Ledyard CT '11 3.5					Matured	8/1/11	L		
140. - Waterbury CT '11 4.0					Matured	8/1/11	K		
141. - Stonington CT '11 4.0					Matured	10/3/11	K		
142. - Bristol CT '11 3.0					Matured	10/17/11	K	A	
143. - Wethersfield CT '12 3.1									
144. - CT State '12 4.0									
145. - Regional School 12 3.65					Redeemed	7/18/11	K		
146. - Easton CT '12 3.5					Redeemed	11/1/11	K	A	
147. - CT State '13 3.5									
148. - CT State '13 4.0									
149. - Regional School 13 3.75					Redeemed	7/15/11	K		
150. - Tolland CT '13 4.0									
151. - University CT '14 3.7									
152. - CT State '14 4.0									
153. - Glastonbury '14 3.75									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - CT State '14 4.0									
155. - South Central Water '15 4.0									
156. - Groton CT '15 3.75									
157. - CT State '15 3.5									
158. - Becton					Buy (add'l)	5/11/11	J		
159. - T. Rowe Price									
160. - CT HSG. FIN. '16 3.9									
161. - CT Health '15 3.5									
162. - CT Health '17 3.5									
163. - Hartford County '18 3.5									
164. - Watertown CT '17 4.0									
165. - Dentsply					Sold	4/25/11	L	D	
166. - CT Elec. '16 4.0									
167. - CT '14 3.3									
168. - IBM									
169. - Southington CT '18 3.5									
170. - Plymouth CT '17 3.0									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Pfizer					Sold	4/25/11	J	B	
172. - Bethel CT '17 3.0									
173. - Guilford CT '18 3.0									
174. - People's United Fin.									
175. - Newton CT '16 2.25									
176. - Adobe									
177. - SmithBarney Bank Deposit Program									
178. - NY Dorm. '17 5.0					Buy	12/19/11	L		
179. - Abbott Labs					Buy	5/10/11	J		
180. - Abbott Labs					Sold (part)	7/7/11	J	A	
181. - Air Products					Buy	5/11/11	J		
182. - Altria					Buy	5/11/11	J		
183. - Altria					Sold	7/5/11	J		
184. - Apache					Buy	7/7/11	J		
185. - Apple					Buy	5/11/11	J		
186. - Arcos Dorados					Buy	5/23/11	J		
187. - Blackrock					Buy	9/1/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000 J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000 K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000 L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$50,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000 M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Caterpillar					Buy	5/11/11	J		
189. - Chevron					Buy	5/11/11	J		
190. - Cisco					Buy	5/11/11	J		
191. - Cisco					Sold (part)	5/23/11	J		
192. - Coca-cola					Buy	5/11/11	J		
193. - Coca-cola					Sold (part)	12/21/11	J	A	
194. - Conoco					Buy	5/11/11	J		
195. - Disney					Buy	5/11/11	J		
196. - Exxon					Buy	5/11/11	J		
197. - Franklin Resources					Buy	5/11/11	J		
198. - Freeport McMoran					Buy	5/11/11	J		
199. - General Dynamics					Buy	5/11/11	J		
200. - General Electric					Buy	5/11/11	J		
201. - Green Mt. Coffee					Buy	11/7/11	J		
202. - HSBC					Buy	5/11/11	J		
203. - Intel					Buy	5/11/11	J		
204. - IBM					Buy	5/11/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Intuitive Surgical					Buy	5/11/11	J		
206. - JP Morgan Chase					Buy	5/11/11	J		
207. - Johnson & Johnson					Buy	5/11/11	J		
208. - Johnson & Johnson					Sold (part)	7/7/11	J	A	
209. - Kraft					Buy	5/11/11	J		
210. - Kraft					Sold (part)	12/21/11	J	A	
211. - Estee Lauder					Buy	5/11/11	J		
212. - McDonalds					Buy	5/11/11	J		
213. - McDonalds					Sold (part)	12/21/11	J	A	
214. - McGraw Hill					Buy	5/11/11	J		
215. - Medtronic					Buy	5/11/11	J		
216. - Merck					Buy	5/11/11	J		
217. - Microchip					Buy	5/11/11	J		
218. - Microchip					Sold	9/1/11	J		
219. - Nestle					Buy	5/11/11	J		
220. - News Corp.					Buy	5/11/11	J		
221. - News Corp.					Sold (part)	9/1/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 17 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Novo-Nordisk					Buy	5/11/11	J		
223. - Occidental Petroleum					Buy	5/11/11	J		
224. - Phillip Morris					Buy	5/11/11	J		
225. - Phillip Morris					Sold (part)	12/21/11	J	A	
226. - Praxair					Buy	5/11/11	J		
227. - Prudential Fin.					Buy	5/11/11	J		
228. - Prudential Fin.					Sold (part)	9/1/11	J		
229. - Qualcomm					Buy	5/11/11	J		
230. - Rio Tinto					Buy	5/11/11	J		
231. - Roche Holding					Buy	5/11/11	J		
232. - Royal Dutch					Buy	5/11/11	J		
233. - Target					Buy	5/11/11	J		
234. - Texas Instruments					Buy	5/11/11	J		
235. - Time Warner					Buy	5/11/11	J		
236. - Total					Buy	9/1/11	J		
237. - United Technologies					Buy	5/11/11	J		
238. - Wal-mart					Buy	5/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Wal-mart					Sold (part)	12/21/11	J	A	
240. - Walgreen					Buy	5/11/11	J		
241. - iShares Russell Index Fund					Buy	4/25/11	K		
242. - iShares Russell Index Fund					Sold	5/11/11	K	A	
243. - Powershares mid growth Fund					Buy	4/25/11	J		
244. - Powershares mid growth Fund					Sold	11/1/11	J		
245. - Powershares mid growth Fund					Buy	12/21/11	J		
246. - Dow Jones Intl. Fund					Buy	4/25/11	J		
247. - Dow Jones Intl. Fund					Sold	11/1/11	J		
248. - Dow Jones Intl. Fund					Buy	12/2/11	J		
249. - Nuveen Barclays Fund					Buy	4/25/11	J		
250. - Nuveen Barclays Fund					Sold (part)	12/21/11	J	A	
251. - Vanguard Intl. Fund					Buy	5/11/11	K		
252. - Vanguard Intl. Fund					Sold	11/1/11	K		
253. - Vanguard Intl. Fund					Buy	12/2/11	K		
254. - Vanguard total stock Fund					Buy	11/1/11	L		
255. - Vanguard total stock Fund					Sold	12/1/11	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Vanguard total stock Fund					Buy	12/1/11	J		
257. - Artisan mid cap Fund					Buy	4/25/11	J		
258. - Calamos market neutral Fund					Buy	4/25/11	K		
259. - Calamos market neutral Fund					Sold (part)	12/21/11	K		
260. - Cohen & Steers Fund					Buy	4/25/11	K		
261. - Cohen & Steers Fund					Sold	5/11/11	K		
262. - Cohen & Steers Fund					Buy	12/21/11	J		
263. - Davis NY venture Fund					Buy	4/25/11	K		
264. - Davis NY venture Fund					Sold (part)	5/11/11	K		
265. - Europacific Fund					Buy	4/25/11	K		
266. - Europacific Fund					Sold	5/11/11	K		
267. - Franklin Conv. Fund					Buy	4/25/11	K		
268. - Franklin Conv. Fund					Sold (part)	5/11/11	K		
269. - Henderson Intl. Fund					Buy	4/25/11	K		
270. - Invesco Fund					Buy	10/24/11	J		
271. - Ivy Global Fund					Buy	4/25/11	J		
272. - JP Morgan dynamic Fund					Buy	4/25/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 20 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Lord Abbett bond Fund					Buy	4/25/11	K		
274. - Mainstay large cap. Fund					Buy	4/25/11	K		
275. - Mainstay large cap. Fund					Sold (part)	5/11/11	J		
276. - Nuveen limited term Fund					Buy	4/25/11	K		
277. - Nuveen limited term Fund					Sold (part)	12/21/11	J	A	
278. - Nuveen tradewinds Fund					Buy	4/25/11	K		
279. - Oppenheimer Intl. Fund					Buy	4/25/11	K		
280. - Prudential Jennison Fund					Buy	4/25/11	J		
281. - Royce Fund					Buy	4/25/11	K		
282. - Western assets Fund					Buy	4/25/11	K		
283. - Western assets Fund					Sold (part)	12/2/11	J	A	
284. TRUST #3	F	Int./Div.	P1	T					
285. - Staples					Sold	4/25/11	K	A	
286. - Staples					Buy	5/11/11	J		
287. - Target					Buy (add'l)	5/11/11	J		
288. - Pepsico					Sold	4/25/11	L	E	
289. - Pepsico					Buy	5/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 21 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Procter & Gamble					Sold	4/25/11	K	D	
291. - Procter & Gamble					Buy	5/11/11	J		
292. - Apache									
293. - ExxonMobil					Sold	4/25/11	K	E	
294. - ExxonMobil					Buy	5/11/11	J		
295. - Weatherford					Sold	4/25/11	K	B	
296. - JP Morgan Chase					Sold	12/7/11	K		
297. - Bank of New York					Sold	12/7/11	J		
298. - Wells Fargo					Sold	4/25/11	K	B	
299. - Wells Fargo					Buy	5/11/11	J		
300. - Abbott Labs					Sold	4/25/11	K	B	
301. - Johnson & Johnson					Buy (add'l)	5/11/11	J		
302. - Johnson & Johnson					Sold (part)	12/7/11	K		
303. - Medtronic					Buy (add'l)	11/2/11	J		
304. - Medtronic					Sold	12/7/11	K		
305. - Schein Henry					Sold	4/25/11	K	D	
306. - TEVA Pharmaceutical					Sold	4/25/11	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 22 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - TEVA Pharmaceutical					Buy	5/11/11	J		
308. - Teva Pharmaceutical					Sold	8/11/11	J		
309. - Minnesota Mining									
310. - Danaher									
311. - Emerson Electric									
312. - G.E.					Sold	4/25/11	K		
313. - United Technologies					Sold	4/25/11	L	E	
314. - Accenture									
315. - Adobe					Sold	4/25/11	K		
316. - Automated Data Processing					Sold	4/25/11	K	E	
317. - Intel					Sold	4/25/11	L	C	
318. - IBM					Sold	4/25/11	L	E	
319. - Microsoft					Sold	4/25/11	K	D	
320. - Microsoft					Buy	5/11/11	J		
321. - Air Products					Sold	4/25/11	L	F	
322. - Ecolab					Sold	4/25/11	K	E	
323. - MDU Resources					Sold	12/7/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Bristol '11 3.0					Matured	10/17/11	K	A	
325. - CT State '11 3.125					Matured	12/1/11	K	A	
326. - Southbury CT '11 3.75					Matured	12/15/11	K		
327. - Wethersfield CT '12 3.1									
328. - CT State '12 4.0									
329. - Regional School '12 3.65					Redeemed	7/15/11	K		
330. - N.J. State '13 5.7					Redeemed (part)	05/03/11	J	A	
331. - Easton CT '13 3.625					Redeemed	11/1/11	K		
332. - University CT '14 3.7									
333. - Glastonbury CT '14 3.75									
334. - ATT					Sold	4/25/11	K		
335. - Thermo Fisher					Sold	12/7/11	K		
336. - General Dynamics					Sold	4/25/11	K		
337. - T. Rowe Price					Sold	4/25/11	K	D	
338. - Dentsply					Sold	4/25/11	K		
339. - Telefonica					Sold	4/25/11	K	A	
340. - Apple									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 24 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - CT ST '14 3.0									
342. - Noble					Sold	4/25/11	K		
343. - Oracle					Sold	4/25/11	K	D	
344. - CVS					Sold	4/25/11	K	D	
345. - Nike Class B					Sold	4/25/11	K	D	
346. - Southington CT '18 3.5									
347. - People's United Fin.					Sold	12/7/11	J		
348. - Research in Motion					Sold	4/25/11	K		
349. - CT High '17 3.85					Redeemed (part)	6/23/11	J		
350. - Griswold CT '18 3.0									
351. - Autodesk					Sold	4/25/11	K	E	
352. - Metlife					Sold	4/25/11	K		
353. - Marlborough CT '16 4.0									
354. - SmithBarney Bank Deposit Program									
355. - Admiral					Buy	5/11/11	J		
356. - Admiral					Sold	11/8/11	J		
357. - Air Liquide					Buy	5/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 25 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Allianz					Buy	5/11/11	J		
359. - America Movil					Buy	5/11/11	J		
360. - American Express					Buy	5/11/11	J		
361. - Annaly Capital					Buy	5/11/11	J		
362. - Annaly Capital					Sold	9/13/11	J		
363. - Arm holdings					Buy	5/11/11	J		
364. - Atlas Copco					Buy	5/11/11	J		
365. - BG group					Buy	5/11/11	J		
366. - CR Bard					Buy	5/11/11	J		
367. - CR Bard					Sold	7/5/11	J	A	
368. - CR Bard					Buy	12/13/11	J		
369. - Barrick Gold					Buy	5/11/11	J		
370. - Barrick Gold					Sold (part)	12/7/11	J	A	
371. - Bunge					Buy	5/11/11	J		
372. - CSL Ltd.					Buy	5/11/11	J		
373. - CVS					Buy	5/11/11	J		
374. - Canadian Nat. Ry.					Buy	5/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Canon					Buy	5/11/11	J		
376. - Carnival					Buy	5/11/11	J		
377. - Chevron					Buy	5/11/11	J		
378. - Chevron					Sold (part)	10/5/11	J		
379. - China Res. Ent.					Buy	5/11/11	J		
380. - Chubb					Buy	5/11/11	J		
381. - Cisco					Buy	5/11/11	J		
382. - Cisco					Sold (part)	12/7/11	J		
383. - Cochlear					Buy	5/11/11	J		
384. - Conoco					Buy	5/11/11	J		
385. - DBS Group					Buy	5/11/11	J		
386. - Dassault systems					Buy	5/11/11	J		
387. - Dell					Buy	5/11/11	J		
388. - Diagro					Buy	5/11/11	J		
389. - Diagro					Sold	8/10/11	J		
390. - Dr. Pepper					Buy	5/11/11	J		
391. - EBay					Buy	5/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - EBay					Sold (part)	5/25/11	J		
393. - Encana					Buy	5/11/11	J		
394. - Encana					Sold	10/5/11	J		
395. - Erste group					Buy	5/11/11	J		
396. - Exelon					Buy	5/11/11	J		
397. - Exelon					Sold	5/11/11	J		
398. - Fanuc					Buy	5/11/11	J		
399. - Fresenius					Buy	5/11/11	J		
400. - Gazpro					Buy	5/11/11	J		
401. - Glaxo					Buy	5/11/11	J		
402. - Google					Buy	5/11/11	J		
403. - HSBC					Buy	5/11/11	J		
404. - Hoya					Buy	5/11/11	J		
405. - Icici bank					Buy	5/11/11	J		
406. - Imperial Oil					Buy	5/11/11	J		
407. - Itau					Buy	5/11/11	J		
408. - JGC					Buy	5/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 28 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Jupiter Telecom					Buy	5/11/11	J		
410. - Kimberly Clark					Buy	5/11/11	J		
411. - Li & Fung					Buy	5/11/11	J		
412. - L'Oreal					Buy	5/11/11	J		
413. - LVMH Moet					Buy	5/11/11	J		
414. - Lilly					Buy	5/11/11	J		
415. - Logitech					Buy	5/11/11	J		
416. - Logitech					Sold	6/15/11	J		
417. - Lonza					Buy	5/11/11	J		
418. - Microsoft					Buy	5/11/11	J		
419. - Molson					Buy	5/11/11	J		
420. - MTN group					Buy	5/11/11	J		
421. - Nabors					Buy	10/3/11	J		
422. - Nabors					Sold	11/11/11	J	C	
423. - Nestle					Buy	5/11/11	J		
424. - Newmont					Buy	5/11/11	J		
425. - Newmont					Sold (part)	12/7/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 29 of 66

Name of Person Reporting

Newman, Jon O.

Date of Report

6/4/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Northrop					Buy	5/11/11	J		
427. - Novartis					Buy	5/11/11	J		
428. - Novo-Nordisk					Buy	5/11/11	J		
429. - Novo-Nordisk					Sold	10/24/11	J		
430. - Petroleo					Buy	5/11/11	J		
431. - PNC Financial					Buy	5/11/11	J		
432. - Quest					Buy	5/11/11	J		
433. - Quest					Sold	10/25/11	J		
434. - Qiagen-Eur.					Buy	5/11/11	J		
435. - Roche					Buy	5/11/11	J		
436. - SAP Ag					Buy	5/11/11	J		
437. - Sasol					Buy	5/11/11	J		
438. - Schlumberger					Buy	5/11/11	J		
439. - Schneider					Buy	5/11/11	J		
440. - Schwab					Buy	5/11/11	J		
441. - Sigma-Aldrich					Buy	5/11/11	J		
442. - Sigma-Aldrich					Sold (part)	5/25/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - Sonova					Buy	5/11/11	J		
444. - Suntrust					Buy	5/11/11	J		
445. - Swatch					Buy	5/11/11	J		
446. - Taiwan semiconductors					Buy	5/11/11	J		
447. - Taiwan semiconductors					Sold (part)	5/19/11	J		
448. - Tesco					Buy	5/11/11	J		
449. - Torchmark					Buy	5/11/11	J		
450. - Travelers					Buy	5/11/11	J		
451. - Turkiye Garanti					Buy	5/11/11	J		
452. - Unicharm					Buy	5/11/11	J		
453. - US Bancorp					Buy	5/11/11	J		
454. - WPP Plc					Buy	5/11/11	J		
455. - WPP Plc					Sold (part)	9/2/11	J		
456. - Wal-mart Mexico					Buy	5/11/11	J		
457. - Wal-mart					Buy	5/11/11	J		
458. - iShares Russell 1000 Fund					Buy	4/25/11	M		
459. - iShares Russell 1000 Fund					Sold	5/11/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - iShares MSCI small cap Fund					Buy	5/11/11	K		
461. - iShares MSCI value Fund					Buy	4/25/11	L		
462. - iShares MSCI value Fund					Sold	5/11/11	L		
463. - Vanguard total stock Fund					Buy	12/29/11	J		
464. - Alliance Bernstein small-mid cap Fund					Buy	4/25/11	M		
465. - Alliance Bernstein small-mid cap Fund					Sold (part)	5/11/11	M		
466. - Franklin fed. Fund					Buy	4/25/11	M		
467. - Gabelli equity Fund					Buy	4/25/11	M		
468. - Gabelli equity Fund					Sold (part)	5/11/11	K	A	
469. - Invesco Fund					Buy	10/24/11	K		
470. -Oakmark intl. Fund					Buy	4/25/11	L		
471. - Oakmark intl. Fund					Sold (part)	5/11/11	K		
472. - Lord Abbett Emerg. Fund					Buy	4/25/11	L		
473. - Nuveen Ltd. mun. Fund					Buy	4/25/11	L		
474. - Oppenheimer intl. Fund					Buy	4/25/11	L		
475. -Pimco Real Return Fund					Buy	4/25/11	L		
476. - Thornburg intl. Fund					Buy	4/25/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Tweedy Browne Fund					Buy	4/25/11	L		
478. ■ TRUST #4	E	Int./Div.	P1	T					
479. - Staples					Sold	4/25/11	K	E	
480. - Target					Sold	4/25/11	L	E	
481. - Pepsico					Sold	4/25/11	K	D	
482. - Procter & Gamble									
483. - ExxonMobil									
484. - Noble									
485. - JP Morgan Chase					Sold	4/25/11	J	C	
486. - Bank of New York					Sold	12/7/11	K		
487. - Wells Fargo					Sold	4/25/11	K	C	
488. - Abbott Labs					Sold	4/25/11	K	A	
489. - Johnson & Johnson					Sold	4/25/11	K	D	
490. - Medtronic					Sold	4/25/11	K	B	
491. - Schein Henry					Sold	4/25/11	K	D	
492. - TEVA Pharmaceutical					Sold	4/25/11	K	C	
493. - Teva Pharmaceutical					Buy	5/11/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. - Teva Pharmaceutical					Sold	8/11/11	J		
495. - Danaher									
496. - Emerson Electric					Sold	4/25/11	K	D	
497. - G.E.					Sold	4/25/11	K		
498. - United Technologies									
499. - Accenture									
500. - Automatic Data Processing									
501. - Cisco					Sold	12/7/11	K		
502. - Intel					Sold	4/25/11	K		
503. - IBM					Sold	4/25/11	L	E	
504. - Microsoft					Sold	4/25/11	K		
505. - Ecolab									
506. - MDU Resources					Sold	4/25/11	J		
507. - Bristol CT '11 3.0					Matured	10/17/11	L		
508. - CT State '11 3.8					Matured	11/15/11	L		
509. - Wethersfield CT '12 3.1									
510. - CT State '12 4.0									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Regional School '12 3.65					Redeemed	7/15/11	L		
512. - Easton CT '13 3.625					Redeemed	11/1/11	L		
513. - University CT '14 3.7									
514. - Glastonbury CT '14 3.75									
515. - U.S. Treasury '18 9.0									
516. - CT State '11 3.125					Matured	12/17/11	K	A	
517. - ATT					Sold	4/25/11	J		
518. - Thermo Fisher					Sold	12/7/11	J		
519. - General Dynamics					Sold	4/25/11	J		
520. - Oracle					Sold	4/25/11	J	D	
521. - Apple									
522. - Dentsply					Sold	4/25/11	K	C	
523. - Nike Class B									
524. - Southington CT '18 3.5									
525. - Adobe					Sold	4/25/11	K	A	
526. - SmithBarney Bank Deposit Program									
527. - Admiral					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Admiral					Sold	11/8/11	J		
529. - Air Liquide					Buy	5/10/11	J		
530. - Allianz					Buy	5/10/11	J		
531. - AMC					Buy	5/10/11	J		
532. - AMC					Sold (part)	7/1/11	J	A	
533. - American Movil					Buy	5/10/11	J		
534. - Anadarko					Buy	5/10/11	J		
535. - Anasys					Buy	5/10/11	J		
536. - Arm Holdings					Buy	5/10/11	J		
537. - Atlas Copco					Buy	5/10/11	J		
538. - Autodesk					Buy	5/10/11	J		
539. - Autodesk					Sold	12/7/11	J		
540. - BG group					Buy	5/10/11	J		
541. - Biogen					Buy	5/11/11	J		
542. - Biogen					Sold (part)	12/7/11	J	A	
543. - Blackbaud					Buy	5/10/11	J		
544. - Brown & Brown					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Bunge					Buy	5/10/11	J		
546. - CSL					Buy	5/10/11	J		
547. - Cablevision					Buy	5/10/11	J		
548. - Canadian Nat. Ry.					Buy	5/10/11	J		
549. - Canon					Buy	5/10/11	J		
550. - Carnival					Buy	5/10/11	J		
551. - Cherokee					Buy	5/10/11	J		
552. - China Res. Ent.					Buy	5/10/11	J		
553. - Choice Hotels					Buy	5/10/11	J		
554. - Church & Dwight					Buy	5/10/11	J		
555. - Church & Dwight					Buy	5/10/11	J		
556. - Citrix					Buy	5/10/11	J		
557. - Clarcor					Buy	5/10/11	J		
558. - Cochlear					Buy	5/10/11	J		
559. - Comcast					Buy	5/10/11	J		
560. - Covidien					Buy	5/10/11	J		
561. - Cree					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - CSL					Buy	5/10/11	J		
563. - DBS					Buy	5/10/11	J		
564. - Dassault					Buy	5/10/11	J		
565. - Directv					Buy	5/10/11	J		
566. - Dolby					Buy	5/10/11	J		
567. - Dolby					Sold	12/29/11	J		
568. - Donaldson					Buy	5/10/11	J		
569. - Dresser-Rand					Buy	5/10/11	J		
570. - Eaton Vance					Buy	5/10/11	J		
571. - Encana					Buy	5/10/11	J		
572. - Encana					Sold	11/11/11	J		
573. - Erste group					Buy	5/10/11	J		
574. - Factset Research					Buy	5/10/11	J		
575. - Fanuc					Buy	5/10/11	J		
576. - Federated Invs.					Buy	5/10/11	J		
577. - Fluor					Buy	5/10/11	J		
578. - Fluor					Sold (part)	12/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - Forest Labs.					Buy	5/10/11	J		
580. - Freeport McMoran					Buy	5/10/11	J		
581. - Freeport McMoran					Sold (part)	12/7/11	J		
582. - Fresenius					Buy	5/10/11	J		
583. - Gazprom					Buy	5/10/11	J		
584. - HSBC					Buy	5/10/11	J		
585. - Helco					Buy	5/10/11	J		
586. - Henry (Jack)					Buy	5/10/11	J		
587. - Hoya					Buy	5/10/11	J		
588. - Human Genome					Buy	5/10/11	J		
589. - Icici bank					Buy	5/10/11	J		
590. - Immucor					Buy	5/10/11	J		
591. - Immucor					Sold	8/15/11	J	A	
592. - Imperial Oil					Buy	5/10/11	J		
593. - Isis Pharmaceuticals					Buy	5/10/11	J		
594. - Itau Unibanco					Buy	5/10/11	J		
595. - JGC					Buy	5/13/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - Jupiter					Buy	5/10/11	J		
597. - Landstar					Buy	5/10/11	J		
598. - L3 Comm.					Buy	5/10/11	J		
599. - Li & Fung					Buy	5/10/11	J		
600. - Liberty Media					Buy	5/10/11	J		
601. - Logitech					Buy	5/10/11	J		
602. - Logitech					Sold	6/15/11	J		
603. - Lonza					Buy	5/10/11	J		
604. - L'Oreal					Buy	5/10/11	J		
605. - LVMH Moet					Buy	5/10/11	J		
606. - MSC Indus.					Buy	5/10/11	J		
607. - Microchip					Buy	5/10/11	J		
608. - MTN group					Buy	5/10/11	J		
609. - National Instruments					Buy	5/10/11	J		
610. - Nestle					Buy	5/10/11	J		
611. - Novartis					Buy	5/10/11	J		
612. - Novo-Nordisk					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - Novo Nordisk					Sold	10/14/11	J		
614. - Nucor					Buy	5/10/11	J		
615. - Pall					Buy	5/10/11	J		
616. - Pall					Sold (part)	12/7/11	J		
617. - Petroleo					Buy	5/10/11	J		
618. - Price Smart					Buy	6/13/11	J		
619. - Qiagen					Buy	5/10/11	J		
620. - RBC Bearings					Buy	5/10/11	J		
621. - Roche					Buy	5/10/11	J		
622. - Ross Stores					Buy	5/10/11	J		
623. - Sandisk					Buy	5/10/11	J		
624. - SAP AG					Buy	5/10/11	J		
625. - Sasol					Buy	5/10/11	J		
626. - Schlumberger					Buy	5/10/11	J		
627. - Schneider Elec.					Buy	5/10/11	J		
628. - Seagate Tech.					Buy	5/10/11	J		
629. - Siligan					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - Sirona					Buy	5/10/11	J		
631. - Sonova					Buy	5/10/11	J		
632. - Stericycle					Buy	5/10/11	J		
633. - Stericycle					Sold (part)	6/13/11	J		
634. - Swatch					Buy	5/10/11	J		
635. - Taiwan Semiconductor					Buy	5/10/11	J		
636. - Taiwan Semiconductor					Sold (part)	5/19/11	J		
637. - TE Connectivity					Buy	5/10/11	J		
638. - Techne					Buy	5/10/11	J		
639. - Tesco					Buy	5/10/11	J		
640. - TEVA					Buy	5/10/11	J		
641. - TEVA					Sold	8/11/11	J		
642. - Türkiye Garanti					Buy	5/10/11	J		
643. - Tyco Intl.					Buy	5/10/11	J		
644. - Unicharm					Buy	5/10/11	J		
645. - Unilever					Buy	5/10/11	J		
646. - United Health					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - VCA Antech					Buy	5/10/11	J		
648. - Vertex					Buy	5/10/11	J		
649. - Wal-mart					Buy	5/10/11	J		
650. - Weatherford Intl.					Buy	5/10/11	J		
651. - Wiley					Buy	5/10/11	J		
652. - Wolverine					Buy	5/10/11	J		
653. - World Fuel					Buy	5/10/11	J		
654. - WPP					Buy	5/10/11	J		
655. - WPP					Buy	5/10/11	J		
656. - iShares ussell 3000 Fund					Buy	4/25/11	J		
657. - iShares MSCI Value Fund					Buy	4/25/11	L		
658. - iShares MSCI Value Fund					Sold (part)	5/10/11	L	A	
659. - Vanguard Total Stock Fund					Buy	12/29/11	J		
660. - Alliance Bernstein Fund					Buy	4/25/11	K		
661. - Columbia Acorn Fund					Buy	4/25/11	L		
662. - Columbia Acorn Fund					Sold (part)	5/10/11	L	A	
663. - Franklin tax-free Fund					Buy	4/25/11	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
664. - Franklin Fund					Sold (part)	12/7/11	J	A	
665. - Lord Abbett Emerg. Mrkts. Fund					Buy	4/25/11	K		
666. - Lord Abbett Conv.					Buy	4/25/11	K		
667. - Nuveen Tradewinds					Buy	4/25/11	K		
668. - Pimco					Buy	4/25/11	K		
669. - Pimco					Sold (part)	12/7/11	J	A	
670. - TCW					Buy	4/25/11	K		
671. - Wells Fargo Fund					Buy	4/25/11	K		
672. - Wells Fargo Fund					Sold (part)	5/10/11	K	A	
673. ☐ IRA consisting of Stocks and Corporate Bonds:	E	Int./Div.	P1	T					
674. - Walt Disney					Sold	4/25/11	K	E	
675. - Walt Disney					Buy	5/10/11	J		
676. - Walt Disney					Sold (part)	12/2/11	J		
677. - McDonalds					Sold	4/25/11	L	E	
678. - McDonalds					Buy	5/10/11	J		
679. - Staples					Sold	4/25/11	J	A	
680. - Staples					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. - PepsiCo					Sold	4/25/11	K	C	
682. - Procter & Gamble					Sold	4/25/11	K	E	
683. - Procter & Gamble					Buy	5/13/11	J		
684. - Procter & Gamble					Sold (part)	11/15/11	J		
685. - ExxonMobil					Sold	4/25/11	K	H1	
686. - Exxon Mobil					Buy	5/10/11	J		
687. - Bank of New York									
688. - Johnson & Johnson					Sold	4/25/11	K	E	
689. - Johnson & Johnson					Buy	5/10/11	J		
690. - Johnson & Johnson					Sold (part)	10/19/11	J		
691. - Medtronic					Sold	4/25/11	K	E	
692. - Emerson Electric					Sold	4/25/11	K	D	
693. - G.E.					Sold	4/25/11	J		
694. - G.E.					Buy	12/3/11	J		
695. - Automated Data					Sold	4/25/11	K	E	
696. - Intel					Sold	4/25/11	K	D	
697. - Intel					Buy	7/14/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - Intel					Sold (part)	11/17/11	J	A	
699. - I.B.M.					Sold	4/25/11	K	D	
700. - I.B.M.					Buy	5/10/11	J		
701. - I.B.M.					Sold (part)	12/22/11	J	A	
702. - Microsoft					Sold	4/25/11	K	D	
703. - Microsoft					Buy	7/11/11	K		
704. - Oracle									
705. - Ecolab					Sold	4/25/11	L	F	
706. - Verizon									
707. - Prudential '11 5.1					Matured	12/14/11	K		
708. - Goldman Sachs '12 5.7									
709. - Kimberly Clark '13 5.0									
710. - Pitney Bowes '14 4.875									
711. - Abbott Labs					Sold	4/25/11	K		
712. - United Technologies					Sold	4/25/11	J	C	
713. - United Technologies					Buy	5/24/11	J		
714. - United Technologies					Sold (part)	12/14/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - Danaher Corp.					Sold	4/25/11	K	D	
716. - ATT					Sold	4/25/11	J		
717. - Thermo Fisher					Sold	4/25/11	J	A	
718. - CVS					Sold	4/25/11	J		
719. - CVS					Buy	6/2/11	J		
720. - G.E. '14 5.65									
721. - Target					Sold	4/25/11	J		
722. - Walt Disney '11 5.7					Matured	7/15/11	K		
723. - Accenture					Sold	4/25/11	K	D	
724. - Bell South '16 5.2									
725. - Lowes '15 5.0									
726. - Caterpillar '15 4.75									
727. - Bank of America '16 5.75									
728. - Goldman Sachs M/C Fund									
729. - TEVA					Sold	4/25/11	J		
730. - TEVA					Buy	4/25/11	J		
731. - Adobe					Sold	4/25/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
732. - SmithBarney Bank Deposit Program									
733. - ABB					Buy	5/10/11	J		
734. - ABB					Sold	12/2/11	J		
735. - Aetna					Buy	4/25/11	J		
736. - Air Liquide					Buy	12/2/11	J		
737. - Alcatel					Buy	5/10/11	J		
738. - Allergan					Buy	9/22/11	J		
739. - Allianz					Buy	12/2/11	J		
740. - Allstate					Buy	4/25/11	J		
741. - Altria					Buy	4/25/11	J		
742. - Altria					Sold	9/5/11	J	A	
743. - Amadeus					Buy	6/7/11	J		
744. - Amadeus					Sold	12/2/11	J		
745. - Amazon					Buy	5/10/11	J		
746. - Amazon					Sold (part)	12/14/11	J		
747. - American Movil					Buy	12/2/11	J		
748. - American Express					Buy	4/25/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - American Express					Sold (part)	11/19/11	J	A	
750. - American Tower					Buy	5/10/11	J		
751. - Anadarko					Buy	4/25/11	J		
752. - Anheuser-Busch					Buy	5/10/11	J		
753. - Anheuser-Busch					Sold	10/11/11	J		
754. - Apache					Buy	4/25/11	J		
755. - Apache					Sold (part)	10/5/11	J		
756. - Apple					Buy	5/10/11	J		
757. - Arm Holding					Buy	12/2/11	J		
758. - Astrazeneca					Buy	4/25/11	J		
759. - Atlas Copco					Buy	12/2/11	J		
760. - Autonomy					Buy	5/10/11	J		
761. - Autonomy					Sold	11/2/11	J	A	
762. - AXA					Buy	8/12/11	J		
763. - AXA					Sold	12/2/11	J		
764. - BG Group					Buy	12/2/11	J		
765. - BP					Buy	4/25/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
766. - Baidu					Buy	5/10/11	J		
767. - Baidu					Sold (part)	12/15/11	J		
768. - Banco Bradesco					Buy	10/18/11	J		
769. - Banco Bradesco					Sold	12/15/11	J		
770. - Bank of America					Buy	4/25/11	J		
771. - Bank of China					Buy	5/10/11	J		
772. - Bank of China					Sold	10/4/11	J		
773. - Barclays					Buy	5/10/11	J		
774. - Barclays					Sold	6/23/11	J		
775. - Best Buy					Buy	4/25/11	J		
776. - BHP Billiton					Buy	4/25/11	J		
777. - BHP Billiton					Sold (part)	5/13/11	J		
778. - Blackrock					Buy	11/10/11	J		
779. - Boeing					Buy	5/10/11	J		
780. - Bunge					Buy	12/2/11	J		
781. - Caim					Buy	5/10/11	J		
782. - Caim					Sold	6/6/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
783. - Canadian Nat. Ry.					Buy	12/2/11	J		
784. - Canon					Buy	5/10/11	J		
785. - Canon					Sold (part)	12/2/11	J		
786. - Capitol One					Buy	5/10/11	J		
787. - Capitol One					Sold (part)	12/2/11	J		
788. - Carnival					Buy	4/25/11	J		
789. - Chesapeake Energy					Buy	4/25/11	J		
790. - Chevron					Buy	5/10/11	J		
791. - Chevron					Sold	8/9/11	J		
792. - China Res. Enter.					Buy	12/2/11	J		
793. - Cisco					Buy	4/25/11	J		
794. - Cisco					Sold (part)	12/22/11	J	A	
795. - Citigroup					Buy	4/25/11	J		
796. - Coach					Buy	10/5/11	J		
797. - Cochlear					Buy	12/2/11	J		
798. - Comp. Fin. Richemont					Buy	5/10/11	J		
799. - Comp. Fin. Richemont					Sold	12/2/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
800. - Conoco					Buy	4/25/11	J		
801. - Conoco					Sold (part)	5/13/11	J		
802. - Credit Suisse					Buy	5/10/11	J		
803. - Credit Suisse					Sold	12/2/11	J		
804. - CSX					Buy	5/10/11	J		
805. - CSX					Sold	8/15/11	J		
806. - Danone					Buy	5/10/11	J		
807. - Danone					Sold	12/2/11	J		
808. - Dassault					Buy	12/2/11	J		
809. - DBS Group					Buy	5/10/11	J		
810. - DBS Group					Sold (part)	12/2/11	J		
811. - Devon Energy					Buy	4/25/11	J		
812. - Diageo					Buy	11/4/11	J		
813. - Directv					Buy	5/10/11	J		
814. - Directv					Sold (part)	12/19/11	J		
815. - Don Quijote					Buy	10/25/11	J		
816. - Don Quijote					Sold	12/2/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
817. - Dow Chemical					Buy	5/10/11	J		
818. - Dow Chemical					Sold	9/22/11	J		
819. - DuPont					Buy	5/10/11	J		
820. - DuPont					Sold (part)	9/26/11	J		
821. - Eaton					Buy	4/25/11	J		
822. - EMC					Buy	5/10/11	J		
823. - EMC					Sold (part)	8/18/11	J		
824. - Emerson Elec.					Buy	10/5/11	J		
825. - Ensco					Buy	9/27/11	J		
826. - Ensco					Sold (part)	11/15/11	J	A	
827. - Erste					Buy	12/2/11	J		
828. - Express Scripts					Buy	5/10/11	J		
829. - Express Scripts					Sold (part)	12/2/11	J		
830. - Fanuc					Buy	12/2/11	J		
831. - FedEx					Buy	4/25/11	J		
832. - Fiat					Buy	5/10/11	J		
833. - Fiat					Sold	9/9/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
834. - Fifth Third Bank					Buy	11/9/11	J		
835. - Ford					Buy	5/10/11	J		
836. - Ford					Sold	8/5/11	J		
837. - Freeport McMoran					Buy	5/10/11	J		
838. - Freeport McMoran					Sold	6/15/11	J		
839. - Fresenius					Buy	12/2/11	J		
840. - Gazprom					Buy	12/2/11	J		
841. - General Motors					Buy	5/10/11	J		
842. - General Motors					Sold (part)	6/2/11	J		
843. - Gilead					Buy	12/5/11	J		
844. - Goldman Sachs					Buy	4/25/11	J		
845. - Goldman Sachs					Sold (part)	9/2/11	J		
846. - Google					Buy	5/10/11	J		
847. - Halliburton					Buy	5/10/11	J		
848. - Halliburton					Sold (part)	9/20/11	J		
849. - Hartford Fin. Serv.					Buy	4/25/11	J		
850. - Hewlett Packard					Buy	5/10/11	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
851. - Hewlett Packard					Sold	5/16/11	J		
852. - Home Depot					Buy	10/18/11	J		
853. - Hoya					Buy	5/10/11	J		
854. - Hoya					Sold (part)	5/25/11	J		
855. - HSBC					Buy	5/10/11	J		
856. - HSBC					Sold (part)	6/24/11	J		
857. - Icici Bank					Buy	12/2/11	J		
858. - Imperial Oil					Buy	12/2/11	J		
859. - Intl. PWR					Buy	5/10/11	J		
860. - Intl. PWR					Sold	12/2/11	J		
861. - Itau					Buy	12/2/11	J		
862. - JGC					Buy	12/2/11	J		
863. - JP Morgan Chase					Buy	4/25/11	J		
864. - JP Morgan Chase					Sold (part)	5/16/11	J		
865. - Juniper					Buy	5/13/11	J		
866. - Juniper					Sold	9/20/11	J		
867. - Kraft					Buy	5/10/11	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- *Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
868. - Ktaft					Sold (part)	12/2/11	J		
869. - Kubota					Buy	5/10/11	J		
870. - Kubota					Sold	12/2/11	J		
871. - Li & Fung					Buy	12/2/11	J		
872. - Lonza					Buy	12/2/11	J		
873. - Lowe's					Buy	4/25/11	J		
874. - Luxottica					Buy	5/10/11	J		
875. - Luxottica					Sold	12/2/11	J		
876. - LVMH Moet					Buy	12/2/11	J		
877. - Makita					Buy	5/10/11	J		
878. - Makita					Sold	12/2/11	J		
879. - Mastercard					Buy	5/10/11	J		
880. - Mastercard					Sold (part)	7/19/11	J	A	
881. - Merck					Buy	12/20/11	J		
882. - Metlife					Buy	5/10/11	J		
883. - Metlife					Sold (part)	9/6/11	J		
884. - Metro AG					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000 M = \$100,001 - \$250,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

885. - Metro AG					Sold	8/16/11	J		
886. - Michelin					Buy	5/10/11	J		
887. - Michelin					Sold	12/2/11	J		
888. - Microsoft					Buy	7/11/11	J		
889. - Monsanto					Buy	11/15/11	J		
890. - MTN Group					Buy	12/2/11	J		
891. - Nestle					Buy	12/2/11	J		
892. - Netapp					Buy	5/10/11	J		
893. - Netapp					Sold	8/18/11	J		
894. - Newmont					Buy	4/25/11	J		
895. - News Corp.					Buy	7/21/11	J		
896. - Nike					Buy	11/10/11	J		
897. - Northrop Grumman					Buy	4/25/11	J		
898. - Novartis					Buy	12/2/11	J		
899. - Occidental Petroleum					Buy	4/25/11	J		
900. - Oracle					Buy	5/10/11	J		
901. - Oracle					Sold (part)	12/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
902. - Peabody Energy					Buy	5/10/11	J		
903. - Peabody Energy					Sold	8/23/11	J		
904. - Petroleo					Buy	5/10/11	J		
905. - Petroleo					Sold (part)	12/2/11	J		
906. - Pfizer					Buy	4/25/11	J		
907. - Pfizer					Sold (part)	10/5/11	J		
908. - Phillip Morris					Buy	4/25/11	J		
909. - Ping AN Ins.					Buy	5/10/11	J		
910. - Ping AN Ins.					Sold	7/12/11	J		
911. - PNC Financial					Buy	4/25/11	J		
912. - Precision Castparts					Buy	5/10/11	J		
913. - Prudential					Buy	7/15/11	J		
914. - Prudential					Sold	12/2/11	J		
915. - Qiagen					Buy	12/2/11	J		
916. - Qualcomm					Buy	5/10/11	J		
917. - Qualcomm					Sold (part)	8/26/11	J		
918. - Randstad					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
919. - Randstad					Sold	11/4/11	J		
920. - Red Hat					Buy	5/10/11	J		
921. - Red Hat					Sold	8/2/11	J		
922. - Rio Tinto					Buy	4/25/11	J		
923. - Rio Tinto					Sold	12/2/11	J		
924. - Roche					Buy	12/2/11	J		
925. - Royal Dutch					Buy	5/10/11	J		
926. - Royal Dutch					Sold	11/3/11	J		
927. - St. Jude Medical					Buy	5/10/11	J		
928. - St. Jude Medical					Sold	9/26/11	J		
929. - Saipem					Buy	5/10/11	J		
930. - Saipem					Sold	12/2/11	J		
931. - Sands China					Buy	5/10/11	J		
932. - Sands China					Sold	12/2/11	J		
933. - SAP					Buy	8/15/11	J		
934. - SAO					Buy	12/2/11	J		
935. - Sasol					Buy	12/2/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
936. - Schlumberger					Buy	5/10/11	J		
937. - Schlumberger					Sold (part)	9/20/11	J		
938. - Schneider Elec.					Buy	12/2/11	J		
939. - Seadrill					Buy	6/6/11	J		
940. - Seadrill					Sold	12/2/11	J	A	
941. - Serco					Buy	5/10/11	J		
942. - Serco					Sold	12/2/11	J		
943. - Shire					Buy	5/10/11	J		
944. - Shire					Sold	10/20/11	J		
945. - SGS					Buy	5/10/11	J		
946. - SGS					Sold	12/2/11	J		
947. - Softbank					Buy	5/10/11	J		
948. - Softbank					Sold	12/2/11	J		
949. - Sonova					Buy	12/2/11	J		
950. - Starwood					Buy	4/25/11	J		
951. - Starwood					Sold	8/4/11	J		
952. - Suntrust					Buy	5/10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
953. - Swatch					Buy	12/2/11	J		
954. - Syngenta					Buy	8/26/11	J		
955. - Syngenta					Sold	12/2/11	J		
956. - Taiwan Semiconductors					Buy	12/2/11	J		
957. - Tesco					Buy	12/2/11	J		
958. - Transocean					Buy	10/25/11	J		
959. - Transocean					Sold	12/14/11	J		
960. - Turkiye					Buy	12/2/11	J		
961. - Unicharm					Buy	12/2/11	J		
962. - Unilever NV NY					Buy	5/10/11	J		
963. - Unilever NV NY					Sold	12/2/11	J		
964. - Unilever PC					Buy	12/2/11	J		
965. - United Health Group					Buy	4/25/11	J		
966. - United Health Group					Sold (part)	10/18/11	J		
967. - United Parcel					Buy	5/10/11	J		
968. - United Parcel					Sold (part)	12/2/11	J		
969. - United Technologies					Buy	5/24/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
970. - United Technologies					Sold (part)	12/14/11	J		
971. - Union Pacific					Buy	5/10/11	J		
972. - Union Pacific					Sold (part)	8/2/11	J		
973. - US Bancorp					Buy	5/10/11	J		
974. - Valero Energy					Buy	5/10/11	J		
975. - Viacom					Buy	5/10/11	J		
976. - Viacom					Sold (part)	10/5/11	J		
977. - Vodafone					Buy	9/27/11	J		
978. - Vodafone					Sold	12/2/11	J		
979. - Walmart de Mexico					Buy	12/2/11	J		
980. - Wellpoint					Buy	5/10/11	J		
981. - Wells Fargo					Buy	4/25/11	J		
982. - William Demant					Buy	5/10/11	J		
983. - William Demant					Sold	12/2/11	J		
984. - WPP					Buy	11/4/11	J		
985. - Xstrata					Buy	5/10/11	J		
986. - Xstrata					Sold	12/2/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
987. - Zimmer Holdings					Buy	4/25/11	J		
988. - iShares Barclays 7-10 Fund					Buy	5/10/11	K		
989. - iShares Barclays 7-10 Fund					Sold (part)	12/2/11	J	A	
990. - iShares Treasury Fund					Buy	5/10/11	J		
991. - iShares Treasury Fund					Sold (part)	12/2/11	J	A	
992. - iShares MSCI EAFE Index Fund					Buy	4/25/11	L		
993. - iShares MSCI EAFE Index Fund					Sold (part)	5/10/11	L	A	
994. - iShares Russell 1000 Value Fund					Buy	4/25/11	M		
995. - iShares Russell 1000 Value Fund					Sold (part)	5/10/11	L	A	
996. - iShares Russell 1000 Index Fund					Buy	4/25/11	L		
997. - iShares Iboxx Fund					Buy	4/25/11	K		
998. - Vanguard Utilities Fund					Buy	4/25/11	L		
999. - Invesco mid cap Fund					Buy	4/25/11	L		
1000. - Invesco AIM Fund					Buy	10/24/11	J		
1001. - Columbia Marsico Fund					Buy	4/25/11	L		
1002. - Columbia Marsico Fund					Sold	5/10/11	L	A	
1003. - Eaton Vance Fund					Buy	4/25/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1004. - Western Assets Fund					Buy	4/25/11	K		
1005. - Lord Abbett Bond Fund					Buy	4/25/11	K		
1006. - Oppenheimer Intl. Fund					Buy	4/25/11	K		
1007. - Pimco Total Return Fund					Buy	4/25/11	K		
1008. - Pimco Real Return Fund					Buy	4/25/11	J		
1009. - Pimco Real Return Fund					Sold (part)	5/10/11	J	A	
1010.									
1011.									
1012.									
1013.									
1014.									
1015.									
1016.									
1017.									
1018.									
1019.									
1020.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1021.									
1022.									
1023.									
1024.									
1025.									
1026.									
1027.									
1028.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, lines 1, 7, 54, and 75 are headers.

In Part VII, lines 76 and 78: These interests in real property, formerly listed as [REDACTED] interests, became full interests upon the death [REDACTED]. In addition, the item on line 78 [REDACTED] that was occasionally rented and therefore reportable, but during 2011 (and for several previous years) it was not rented, [REDACTED] and hence is not reportable, and will not be reported in future years.

In Part VII, lines 165, 338, 522, the audit report says Thrift Savings Plan are not reportable, but the entry "Dentsply" on these lines is a stock.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544