

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, Mark E.	2. Court or Organization United States District Court, N. D. Fla.	3. Date of Report 06/08/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 111 N. Adams Street Tallahassee, FL 32301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Holland & Knight - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Active Cash Asset Account	A	Interest	M	T					
2. Bank of America Bank Accounts	A	Interest	O	T					
3. American Commerce Bank Accounts	B	Interest	M	T					
4. Holland & Knight - Capital Account	B	Interest	N	T	Buy (add'l)	09/30/20	K		
5. Morgan Stanley (Investment Account #3 - Listed Individually below) (H)									
6. - SPDR S&P 500 ETF Trust (SPY) (Investment Account #3)	A	Dividend	K	T					
7. - Morgan Stanley Cash Account (Investment Account #3)	A	Interest	J	T					
8. - Goldman Activebeta US LC ETF (GSLC) (Investment Account #3)	A	Dividend	J	T	Buy	04/01/20	J		
9. - Robo Global Robotics & Aut ETF (ROBO) (Investment Account #3)	A	Dividend	J	T	Buy	02/10/20	J		
10.					Buy (add'l)	06/03/20	J		
11. - AB Concentrated GW Adv (WPSGX) (Investment Account #3)	A	Dividend	J	T	Buy	04/01/20	J		
12.					Buy (add'l)	05/06/20	J		
13.					Buy (add'l)	07/01/20	J		
14. - Cohen & Steers Low Dur P&I I (LPXIX) (Investment Account #3)	A	Dividend	J	T	Buy	02/10/20	J		
15.					Buy (add'l)	05/06/20	J		
16.					Buy (add'l)	06/30/20	J		
17. - Delaware Healthcare Inst (DLHIX) (Investment Account #3)	A	Dividend	J	T	Buy	02/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	03/19/20	J		
19.					Buy (add'l)	06/03/20	J		
20. - Delaware Value Instl (DDVIX) (Investment Account #3)	A	Dividend	K	T	Buy	03/03/20	J		
21.					Buy (add'l)	05/06/20	J		
22.					Buy (add'l)	06/03/20	J		
23.					Buy (add'l)	07/01/20	J		
24. - MFS Intl Diversification I (MDIIX) (Investment Account #3)	A	Dividend	J	T	Buy	02/10/20	J		
25.					Buy (add'l)	04/01/20	J		
26.					Buy (add'l)	07/01/20	J		
27. - PGIM SHRT DUR HGH YLD Inc Z (HYSZX) (Investment Account #3)	A	Dividend	J	T	Buy	04/07/20	J		
28.					Buy (add'l)	04/28/20	J		
29.					Buy (add'l)	05/06/20	J		
30. - Cohen & Steers Realty SHS Inc (CSRSX) (Investment Account #3)	A	Dividend			Buy	02/10/20	J		
31.					Sold	04/07/20	J		
32. - E V Sht Duration Govt Inc I (EILDIX) (Investment Account #3)	A	Dividend			Buy	02/10/20	J		
33.					Sold	02/26/20	J	A	
34. - IShares Mortgage Real Estate C (REM) (Investment Account #3)	A	Dividend			Buy	02/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	04/07/20	J		
36. - IShares SP Smallcap 600 Index (IJR) (Investment Account #3)		None			Buy	02/10/20	J		
37.					Sold	03/19/20	J		
38. - Pacific Core Income Adv (PLIDX) (Investment Account #3)	A	Dividend			Buy	03/03/20	J		
39.					Sold	05/06/20	J		
40. - PGIM Total Return Bond Z (PDBZX) (Investment Account #3)	A	Dividend			Buy	03/03/20	J		
41.					Sold	04/28/20	J		
42. Morgan Stanley (Investment Account #2 - Listed Individually below) (H)									
43. - SPDR S&P 500 ETF Trust (SPY) (Investment Account #2)	A	Dividend	K	T					
44. - Morgan Stanley Cash Account (Investment Account #2)	A	Interest	J	T					
45. - Goldman Activebeta US LC ETF (GSLC) (Investment Account #2)	A	Dividend	J	T	Buy	04/01/20	J		
46. - Robo Global Robotics & Aut ETF (ROBO) (Investment Account #2)	A	Dividend	J	T	Buy	02/10/20	J		
47.					Buy (add'l)	06/03/20	J		
48. - AB Concentrated GW Adv (WPSGX) (Investment Account #2)	A	Dividend	J	T	Buy	04/01/20	J		
49.					Buy (add'l)	05/06/20	J		
50.					Buy (add'l)	07/01/20	J		
51. - Cohen & Steers Low Dur P&I I (LPXIX) (Investment Account #2)	A	Dividend	J	T	Buy	02/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	05/06/20	J		
53.					Buy (add'l)	06/03/20	J		
54. - Delaware Healthcare Inst (DLHIX) (Investment Account #2)	A	Dividend	J	T	Buy	02/10/20	J		
55.					Buy (add'l)	03/19/20	J		
56.					Buy (add'l)	06/03/20	J		
57. - Delaware Value Instl (DDVIX) (Investment Account #2)	A	Dividend	K	T	Buy	03/03/20	J		
58.					Buy (add'l)	05/06/20	J		
59.					Buy (add'l)	06/03/20	J		
60.					Buy (add'l)	07/01/20	J		
61. - MFS Intl Diversification I (MDIIX) (Investment Account #2)	A	Dividend	J	T	Buy	02/10/20	J		
62.					Buy (add'l)	04/01/20	J		
63.					Buy (add'l)	07/01/20	J		
64. - PGIM SHRT DUR HGH YLD Inc Z (HYSZX) (Investment Account #2)	A	Dividend	J	T	Buy	04/07/20	J		
65.					Buy (add'l)	04/28/20	J		
66.					Buy (add'l)	05/06/20	J		
67. - Cohen & Steers Realty SHS Inc (CSRSX) (Investment Account #2)	A	Dividend			Buy	02/10/20	J		
68.					Sold	04/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. - E V Sht Duration Govt Inc I (EILDX) (Investment Account #2)	A	Dividend			Buy	02/10/20	J		
70.					Sold	02/26/20	J	A	
71. - IShares Mortgage Real Estate C (REM) (Investment Account #2)	A	Dividend			Buy	02/26/20	J		
72.					Sold	04/07/20	J		
73. - IShares SP Smallcap 600 Index (IJR) (Investment Account #2)		None			Buy	02/10/20	J		
74.					Sold	03/19/20	J		
75. - Pacific Core Income Adv (PLIDX) (Investment Account #2)	A	Dividend			Buy	03/03/20	J		
76.					Sold	05/06/20	J		
77. - PGIM Total Return Bond Z (PDBZX) (Investment Account #2)	A	Dividend			Buy	03/03/20	J		
78.					Sold	04/28/20	J		
79. The Walt Disney Company	A	Dividend	J	T					
80. 529 College Savings Plan #1 (American 529 US Govt Mmkt A) (AAFXX)	B	Dividend	N	T	Buy (add'l)	07/08/20	L		
81.					Sold (part)	11/18/20	J	C	
82.					Sold (part)	12/11/20	J	A	
83. 529 College Savins Plan #1 (American WA Mutual 529A) (CWMAX)	A	Dividend			Buy (add'l)	01/20/20	J		
84.					Buy (add'l)	01/31/20	J		
85.					Buy (add'l)	02/28/20	J		

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86.					Buy (add'l)	04/01/20	J		
87.					Buy (add'l)	05/01/20	J		
88.					Buy (add'l)	06/01/20	J		
89.					Buy (add'l)	07/01/20	J		
90.					Sold	07/08/20	L	D	
91. 529 College Savings Plan #1 (American WA Mutual 529C) (CWMCX)	A	Dividend			Sold	07/08/20	K		
92. 529 College Savings Plan #1 (American Amcap 529A) (CAFAX)	A	Dividend	J	T	Buy (add'l)	11/18/20	J		
93. 529 College Savings Plan #1 (American 529 US Govt Mmkt C) (CCFXX)		None			Buy	07/08/20	K		
94.					Sold	12/11/20	K		
95. 529 College Savings Plan #2 (American 529 US Govt Mmkt A) (AAFXX)	B	Dividend	N	T	Buy (add'l)	07/08/20	L		
96.					Sold (part)	11/19/20	J	B	
97. 529 College Savings Plan #2 (American WA Mutual 529A) (CWMAX)	B	Dividend			Buy (add'l)	01/02/20	J		
98.					Buy (add'l)	01/31/20	J		
99.					Buy (add'l)	02/28/20	J		
100.					Buy (add'l)	04/01/20	J		
101.					Buy (add'l)	05/01/20	J		
102.					Buy (add'l)	06/01/20	J		

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103.					Buy (add'l)	07/01/20	J		
104.					Sold	07/08/20	L	D	
105. 529 College Savings Plan #2 (American WA Mutual 529C) (CWMCX)	A	Dividend			Sold	07/08/20	K		
106. 529 College Savings Plan #2 (American Amcap 529A) (CAFAX)	A	Dividend	J	T	Buy	11/18/20	J		
107. 529 College Savings Plan #2 (American 529 US Govt Mmkt C) (CCFXX)		None			Buy	07/08/20	K		
108.					Sold	12/02/20	K		
109. Morgan Stanley Retirement Account #1 (Listed Individually below) (H)									
110. - Morgan Stanley Bank Cash Account (Retirement Account #1)	A	Interest	J	T					
111. - IShares Russell 3000 ETF (IWV) (Retirement Account #1)	A	Dividend	K	T					
112. - SPDR DJIA Trust (DIA) (Retirement Account #1)	A	Dividend	K	T					
113. - SPDR S&P 500 ETF Trust (SPY) (Ret. Acct #1)	A	Dividend	K	T					
114. - Vanguard Mid-Cap ETF Index (VO) (Retirement Account #1)	A	Dividend	J	T					
115. - Vanguard Small Cap ETF (VB) (Retirement Account #1)	A	Dividend	J	T	Buy (add'l)	02/06/20	J		
116. - IShares S&P 500 Val ETF (IVE) (Retirement Account #1)	A	Dividend	K	T	Buy (add'l)	08/10/20	J		
117. - Vangurd FTSE Developed Mkts E (VEA) (Retirement Account #1)	A	Dividend	K	T					
118. Morgan Stanley Retirement Account #2 (Listed Individually below) (H)									
119. - Morgan Stanley Bank Cash Account (Retirement Account #2)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Walker, Mark E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - IShares Russell 3000 ETF (IWV) (Retirement Account #2)	A	Dividend	K	T					
121. - SPDR DJIA Trust (DIA) (Retirement Account #2)	A	Dividend	K	T					
122. - SPDR S&P 500 EFT Trust (SPY) (Retirement Account #2)	A	Dividend	K	T					
123. - Vanguard Mid-Cap ETF Index (VO) (Retirement Account #2)	A	Dividend	J	T					
124. - Vanguard Small Cap ETF (VB) (Retirement Account #2)	A	Dividend	J	T	Buy (add'l)	08/10/20	J		
125. - IShares S&P 500 Val ETF (IVE) (Retirement Account #2)	A	Dividend	K	T					
126. - Vanguard FTSE Developed Mkts E (VEA) (Retirement Account #2)	A	Dividend	K	T					
127. Morgan Stanley Retirement Account #3 (Listed Individually below) (H)									
128. - Morgan Stanley Bank Cash Account (Retirement Account #3)	A	Interest	J	T					
129. - IShares Russell 3000 ETF (IWV) (Retirement Account #3)	A	Dividend	K	T					
130. - SPDR DJIA Trust (DIA) (Retirement Account #3)	A	Dividend	K	T					
131. - SPDR S&P 500 EFT Trust (SPY) (Retirement Account #3)	A	Dividend	K	T					
132. - Vanguard Mid-Cap ETF Index (VO) (Retirement Account #3)	A	Dividend	J	T					
133. - Vanguard Small Cap ETF (VB) (Retirement Account #3)	A	Dividend	J	T	Buy (add'l)	02/06/20	J		
134.					Buy (add'l)	08/10/20	J		
135. - IShares S&P 500 Val ETF (IVE) (Retirement Account #3)	A	Dividend	K	T					
136. - Vanguard FTSE Developed Mkts E (VEA) (Retirement Account #3)	A	Dividend	K	T	Buy (add'l)	02/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Fidelity Retirement Account #4 (Listed Individually below) (H)									
138. - FID Contrafund K6 (Retirement Acct #4) (formerly FID Contrafund K)	D	Dividend	P1	T	Buy (add'l)	01/31/20	J		
139.					Buy (add'l)	06/25/20	J		
140. - Harbor Cap APP Inst (Retirement Account #4)	G	Dividend	P1	T	Buy (add'l)	01/31/20	J		
141.					Buy (add'l)	06/25/20	J		
142. - MFS NEW Disc Val R4 (Retirement Account #4)	D	Dividend	N	T	Buy (add'l)	01/31/20	J		
143.					Buy (add'l)	06/25/20	J		
144. T. Rowe Price Investment Account (Listed Individually Below) (H)									
145. - T. Rowe Price Equity Index 500	C	Dividend	N	T	Buy (add'l)	08/14/20	J		
146.					Buy (add'l)	09/11/20	J		
147.					Buy (add'l)	10/06/20	J		
148.					Buy (add'l)	11/09/20	J		
149.					Buy (add'l)	12/04/20	J		
150.					Buy (add'l)	12/11/20	J		
151. - T. Rowe Price Retirement 2035	E	Dividend	N	T	Buy (add'l)	08/14/20	J		
152.					Buy (add'l)	09/11/20	J		
153.					Buy (add'l)	10/06/20	J		

1 Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2 Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3 Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	11/09/20	J		
155.					Buy (add'l)	12/04/20	J		
156.					Buy (add'l)	12/22/20	K		
157. Morgan Stanley (Investment Account #1 - Listed Individually below) (H)									
158. - Morgan Stanley Bank Cash Account (Investment Account #1)	A	Interest	J	T					
159. - IShares CORE S&P 500 ETF (IVV) (Investment Account #1)	A	Dividend	K	T	Buy (add'l)	03/19/20	J		
160.					Buy (add'l)	11/04/20	J		
161. - IShares S&P 500 Grwth ETF (IVW) (Investment Account #1)	A	Dividend	L	T	Sold (part)	10/07/20	J	C	
162. - IShares S&P 500 VAL ETF (IVE) (Investment Account #1)	B	Dividend	L	T					
163. - IShares S&P Midcap 400 Index (IJH) (Investment Account #1)		None			Sold	03/19/20	J	A	
164. - IShares SP Smallcap 600 Index (IJR) (Investment Account #1)		None			Buy (add'l)	02/06/20	J		
165.					Sold	03/19/20	J		
166. - Vanguard FTSE Developed Mkts E (VEA) (Investment Account #1)	A	Dividend			Buy (add'l)	02/06/20	J		
167.					Sold	10/07/20	K	A	
168. - Pacific Core Income Adv (PLIDX) (Investment Account #1)	A	Dividend			Sold	11/04/20	J	A	
169. - IShares MSCI EAFE ETF (EFA) (Investment Account #1)	A	Dividend			Sold	10/07/20	K	B	
170. - IShares Preferred & Income S (PFF) (Investment Account #1)	A	Dividend			Sold	04/06/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Delaware Healthcare Inst (DLHIX) (Investment Account #1)	B	Dividend	K	T	Buy (add'l)	03/19/20	J		
172.					Buy (add'l)	08/05/20	J		
173.					Buy (add'l)	10/07/20	J		
174. - PGIM Total Return Bond Z (PDBZX) (Investment Account #1)	A	Dividend			Sold	04/28/20	J	A	
175. - Robo Global Robotics & Aut EFT (ROBO) (Investment Account #1)	A	Dividend	K	T	Buy (add'l)	10/07/20	J		
176.					Buy (add'l)	11/04/20	J		
177. - Cohen & Steers Realty SHS Inc (CSRSX) (Investment Account #1)	A	Dividend			Sold	04/03/20	J		
178. - Delaware Value Instl (DDVIX) (Investment Account #1)	A	Dividend	K	T	Sold (part)	03/31/20	J		
179.					Buy (add'l)	09/02/20	J		
180.					Buy (add'l)	11/04/20	J		
181.					Buy (add'l)	12/02/20	J		
182. - E V Sht Duration Govt Inc I (EILDIX) (Investment Account #1)	A	Dividend			Sold	02/26/20	J		
183. - AB Concentrated GW Adv (WPSGX) (Investment Account #1)	A	Dividend	J	T	Buy	03/31/20	J		
184. - Cohen & Steers Low Dur P&I I (LPXIX) (Investment Account #1)	A	Dividend	K	T	Buy	04/06/20	K		
185.					Buy (add'l)	08/05/20	J		
186.					Buy (add'l)	11/04/20	J		
187.					Buy (add'l)	12/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Walker, Mark E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - MFS Intl Diversification I (MDIIX) (Investment Account #1)	A	Dividend	K	T	Buy	09/02/20	J		
189.					Buy (add'l)	10/07/20	K		
190.					Buy (add'l)	11/04/20	J		
191. - PGIM SHRT DUR HGH YLD Inc Z (HYSZX) (Investment Account #1)	A	Dividend	K	T	Buy	04/03/20	J		
192.					Buy (add'l)	04/28/20	J		
193.					Buy (add'l)	08/05/20	J		
194.					Buy (add'l)	10/07/20	J		
195.					Buy (add'l)	12/02/20	J		
196. - IShares Mortgage Real Estate C (REM) (Investment Account #1)	A	Dividend			Buy	02/26/20	J		
197.					Sold	04/03/20	J		
198. Morgan Stanley Retirement Account #5 (Listed Individually below) (H)									
199. - Invesco S&P 500 Index C (SPICX) (Retirement Account #5)	A	Dividend	J	T	Buy	03/12/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trust Notes:

Line 138 - FID Contrafund K had a share class exchange and is now listed as FID Contrafund K6

Line 170 - iShares Preferred & Income S (PFF) had a name change and was formerly listed as iShares S&P US PFD Stk IDX FD (PFF)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark E. Walker**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544