

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Watkins, William K.	2. Court or Organization U.S. District Court, M.D. AL	3. Date of Report 11/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address U.S. Courthouse 1 Church Street, B300 Montgomery, AL 36104		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Manager/Sole Member	Rosewood Martin, LLC
2. Director, Shareholder, Officer	Powermaster, Inc.
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	W-2 wages
2. 2020	1099-MISC Non-employee income - Training fee
3. 2020	1099-MISC Non-employee income - Training fee
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Troy Bank & Trust Company	Turkey Mountain Real Estate Loan	K
2. Troy Bank & Trust Company	Line of Credit	L
3. Wells Fargo Bank	Line of Credit	J
4. Wells Fargo Bank Overdraft Protection	Line of Credit for overdraft protection on checking account at Wells Fargo Bank (Part VII, Line 17)	J
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Pike Co. AL. Farm #1		None	O	W					
2. Farm (1/4 interest), Coffee County, AL		None	L	W					
3. North Carolina, Jackson Co. Lot 1 (Appraisal 7/23/04)		None	M	W					
4. North Carolina, Jackson Co. Lot 2		None	K	W					
5. North Carolina Land, Jackson Co. (Appraisal 8/8/02)		None	N	W					
6. Barn Property Troy, AL Pike County, AL		None	K	W					
7. Pike County. AL Farm #3		None	L	W					
8. Pike County. AL Farm #4 - Mineral Rights		None	J	W					
9. Pike Coounty AL Farm #5 - Mineral Rights		None	J	W					
10. Pike County. AL Farm #6 (19% interest) (Known as Turkey Mountain LLC)	A	Distribution	K	W					
11. Pike County AL Farm #6 (19% interest) Mineral Rights		None	J	W					
12. WE, LLC (50% interest)		None	J	W					
13. Powermaster, Inc. (1/3 interest)		None	K	W					
14. Wells Fargo Bank.	A	Interest	J	T					
15. Principal Life Ins Co "Universal Life Protector" Universal Life Policy	A	Int./Div.	J	T					
16. Northwestern Mutual TM 010 Extraordinary Life		None	J	T					
17. Ameriprise Financial Services, LLC Brokerage IRA #2 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Ameriprise Financial Services, LLC Brokerage IRA #2 Brokerage Cash	B	Int./Div.	J	T					
19. American Funds - Capital Income Builder Fund Class F CIBFX IRA #2	D	Dividend	M	T					
20. American Funds INVESTMENT COMPANY OF AMERICA CL C AICFX - IRA #2	A	Dividend	J	T					
21. Ameriprise Financial Services Active Portfolio Advisory IRA #1 (H)					Distributed (part)	10/16/20	L		
22. AMERICAN CENTURY MID CAP VALUE INVESTOR CL IRA #1	A	Dividend	J	T	Sold (part)	05/19/20	J	A	
23. AMERICAN CENTURY MID CAP VALUE INVESTOR CL IRA #1	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
24. AMERICAN CENTURY MID CAP VALUE INVESTOR CL IRA #1	A	Dividend	J	T	Buy (add'l)	03/07/20	J		
25. BLACKROCK HIGH YIELD BOND INS CL BHYIX IRA #1	A	Dividend			Sold	02/14/20	J	A	
26. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL BSIIX IRA #1	A	Dividend	J	T	Buy (add'l)	05/15/20	J		
27. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL BSIIX IRA #1	A	Dividend	J	T	Buy (add'l)	08/14/20	J		
28. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL BSIIX IRA #1	A	Dividend	J	T	Sold (part)	10/14/20	J	A	
29. BLACKROCK STRATEGIC INCOME OPPTYS INSTL CL BSIIX IRA #1	A	Dividend	J	T	Buy (add'l)	11/12/20	J		
30. MULTI MANAGER VALUE STRATEGIES CL Z CZMCX IRA #1	A	Dividend	J	T	Sold (part)	02/14/20	J	A	
31. MULTI MANAGER VALUE STRATEGIES CL Z CZMCX IRA #1	A	Dividend	J	T	Buy (add'l)	03/06/20	J		
32. MULTI MANAGER VALUE STRATEGIES CL Z CZMCX IRA #1	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
33. MULTI MANAGER VALUE STRATEGIES CL Z CZMCX IRA #1	A	Dividend	J	T	Buy (add'l)	05/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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34. MULTI MANAGER VALUE STRATEGIES CL Z CZMCX IRA #1	A	Dividend	J	T	Sold (part)	08/14/20	J	A	
35. MULTI MANAGER VALUE STRATEGIES CL Z CZMCX IRA #1	A	Dividend	J	T	Sold (part)	10/14/20	J	A	
36. MULTI MANAGER VALUE STRATEGIES CL Z CZMCX IRA #1	A	Dividend	J	T	Sold (part)	11/12/20	J	A	
37. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z CTRZX IRA #1	C	Dividend	J	T	Buy (add'l)	02/14/20	J		
38. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z CTRZX IRA #1	C	Dividend	J	T	Sold (part)	03/06/20	J	A	
39. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z CTRZX IRA #1	C	Dividend	M	T	Sold (part)	03/25/20	J	A	
40. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z CTRZX IRA #1	C	Dividend	M	T	Sold (part)	05/15/20	J	A	
41. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z CTRZX IRA #1	C	Dividend	M	T	Buy (add'l)	08/18/20	J		
42. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z CTRZX IRA #1	C	Dividend	M	T	Sold (part)	10/14/20	L	A	
43. MULTI MANAGER TOTAL RETURN BOND STRATEGIES CL Z CTRZX IRA #1	C	Dividend	M	T	Sold (part)	11/12/20	J	A	
44. MULTI MANAGER GROWTH STRATEGIES CL Z CZMGX IRA #1	A	Dividend	J	T	Sold (part)	02/14/20	J	A	
45. MULTI MANAGER GROWTH STRATEGIES CL Z CZMGX IRA #1	A	Dividend	J	T	Buy (add'l)	03/06/20	J		
46. MULTI MANAGER GROWTH STRATEGIES CL Z CZMGX IRA #1	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
47. MULTI MANAGER GROWTH STRATEGIES CL Z CZMGX IRA #1	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
48. MULTI MANAGER GROWTH STRATEGIES CL Z CZMGX IRA #1	A	Dividend	J	T	Sold (part)	08/14/20	J	A	

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49. MULTI MANAGER GROWTH STRATEGIES CL Z CZMGX IRA #1	A	Dividend	J	T	Sold (part)	10/14/20	J	A	
50. MULTI MANAGER GROWTH STRATEGIES CL Z CZMGX IRA #1	A	Dividend	J	T	Sold (part)	11/12/20	J	A	
51. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL CMIEX IRA #1	A	Dividend	J	T	Buy (add'l)	03/06/20	J		
52. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL CMIEX IRA #1	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
53. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL CMIEX IRA #1	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
54. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL CMIEX IRA #1	A	Dividend	J	T	Sold (part)	08/14/20	J	A	
55. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL CMIEX IRA #1	A	Dividend	J	T	Sold (part)	10/14/20	J	A	
56. MULTI MANAGER INTL EQUITY STRATEGIES INSTL CL CMIEX IRA #1	A	Dividend	J	T	Sold (part)	11/12/20	J	A	
57. ISHARES CORE S&P SMALL CAP ETF IJR IRA #1	A	Dividend			Buy (add'l)	03/06/20	J		
58. ISHARES CORE S&P SMALL CAP ETF IJR IRA #1	A	Dividend			Sold	05/15/20	J	A	
59. ISHARES CORE MSCI EMERGING MARKETS ETF IRA #1	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
60. ISHARES CORE MSCI EMERGING MARKETS ETF IRA #1	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
61. COLUMBIA INCOME OPPTY INSTL CL CIOZX IRA #1	A	Dividend			Sold	05/15/20	J	A	
62. COLUMBIA INCOME OPPTY INSTL CL CIOZX IRA #1	A	Dividend			Sold (part)	03/25/20	J	A	
63. COLUMBIA INCOME OPPTY INSTL CL CIOZX IRA #1	A	Dividend			Sold (part)	08/14/20	J	A	
64. COLUMBIA INCOME OPPTY INSTL CL CIOZX IRA #1	A	Dividend			Sold	10/14/20	J	A	
65. VANGUARD EXTENDED MKT ETF VXF IRA #1	A	Dividend	J	T	Buy	05/15/20	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
66. VANGUARD EXTENDED MKT ETF VXF IRA #1	A	Dividend	J	T	Buy	05/15/20	J		
67. VANGUARD EXTENDED MKT ETF VXF IRA #1	A	Dividend	J	T	Sold (part)	08/14/20	J	A	
68. Ameriprise Bank FSB Money Market Fund IRA #1	A	Int./Div.	J	T					
69. AMERIPRISE FINANCIAL IRA #3 (H)	F	Distribution	J	T					
70. AMERIPRISE FINANCIAL IRA #3 BROKERAGE CASH	A	Interest	J	T	Open	10/16/20	L		
71. Troy Bank & Trust Company	A	Interest	J	T					
72. Real Property Parcel #A, Pike Road AL Assessed Value \$34,800		None	K	S					
73. Real Property Parcel # B, Pike Road AL Assessed Value \$25,700		None	K	S					
74. CollegeCounts Alabama 529 Account Plan 1	A	Dividend	J	T					
75. CollegeCounts Alabama 529 Account Plan 2	A	Dividend	J	T					
76.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII

Line 18 is the Header line and indicates the total value of all positions in IRA # 2 held at Ameriprise Financial Services, Inc. There were no distributions from the account during the year.

Line 21 is the Header account for the securities transactions shown on Lines 22 - 68. IRA #1 is a fee-based advisory account managed by Wilshire Associates on the Ameriprise Financial platform and the owner of the account is Judge Watkins. This account does not allow the beneficial owner to make any investment selections or trades as it is managed by Wilshire Associates according to the owner's stated suitability profile and investment objectives. The owner may only add cash to the account or request a cash withdrawal; trades and securities are determined by the account manager, Wilshire Associates. There were no individual stocks held in the account at any point during the year.

Line 70 is the Header for Ameriprise Financial Services IRA #3. The account was funded with a partial transfer from IRA#1. A normal distribution was then made from this account but was rolled back in within 60 days (rollover occurred in 2021).

Line 72, use of value method code "S" (assessment) in Column C(2) requires inclusion of the assessed value (not a value code) in Column A or in Part VIII.

Line 73 is 529 Plan College Savings account and is not self-directed. The owner may only may only choose risk level of Low, Moderate, or High. On December 31, 2020, this account was invested in the Moderate Age-Based 06-08 - A fund

Line 74 is 529 Plan College Savings account and is not self-directed. The owner may only may only choose risk level of Low, Moderate, or High. On December 31, 2020, this account was invested in the Moderate Age-Based 10-11 - A fund

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William K. Watkins**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544