

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wiedemann, Erin L.	2. Court or Organization U.S. District Court - Western District of Arkansas	3. Date of Report 04/20/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. District Court 35 East Mountain Fayetteville, AR 72701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Co-Trustee	Trust #1 (reportable assets are listed in Part VII)
2. Co-Trustee	Trust #2 (reportable assets are listed in Part VII)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Wiedemann, Erin L.

Date of Report

04/20/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	B&G Foods (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Wiedemann, Erin L.

Date of Report

04/20/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Wiedemann, Erin L.

Date of Report

04/20/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Arvest Bank (cash)	A	Interest	K	T					
3. Rental Property #1 Fayetteville, AR	E	Rent	M	W					
4. Rental Property #2 Fayetteville, AR	E	Rent	N	W					
5. Account #1 (H)									
6. LPL Financial Cash Sweep (cash)	A	Interest	K	T					
7. Exxon Mobil Corp (XOM)	A	Dividend	J	T					
8. Alps Alerian MLP ETF (AMLPL)	A	Dividend	J	T					
9. Fidelity MSCI Energy Index ETF (FENY)	A	Dividend	J	T					
10. Invesco QQQ ETF (QQQ)	A	Dividend	K	T	Buy (add'l)	02/28/20	J		
11. IShares MSCI Emerging Markets ETF (EEM)	A	Dividend	K	T					
12. IShares Russell 2000 ETF (IWM)		None	K	T	Buy (add'l)	12/23/20	J		
13. JPMorgan Liquid Asset Money Market Premier Cl (PJLXX) (cash equiv)	A	Dividend			Sold	03/06/20	K		
14. Select Sector Communication Services SPDR ETF (XLC)		None			Sold	03/03/20	J	A	
15.					Buy	04/13/20	J		
16.					Sold	06/19/20	J	B	
17. Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	J	T	Buy	05/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Sector Technology Select Sector SPDR (XLK)	A	Dividend			Buy (add'l)	03/09/20	J		
19.					Sold	07/14/20	J	C	
20. Select Sector Tr Real Estate SPDR (XLRE)	A	Dividend			Sold	04/08/20	J		
21. Select Utilities Select Sector SPDR ETF (XLU)	A	Dividend			Sold	04/06/20	J		
22. Sector Materials Select Sector SPDR (XLB)	A	Dividend	J	T	Buy	11/20/20	J		
23. SPDR S&P 500 (SPY)	A	Dividend	K	T	Buy	02/24/20	J		
24.					Buy (add'l)	03/06/20	J		
25. Vanguard Growth ETF (VUG)	A	Dividend	K	T	Buy (add'l)	03/11/20	J		
26.					Sold (part)	04/17/20	J	C	
27.					Buy (add'l)	09/21/20	J		
28.					Buy (add'l)	10/26/20	J		
29. Vanguard Mid Cap Growth ETF (VOT)	A	Dividend	K	T	Buy	09/02/20	J		
30.					Buy (add'l)	11/04/20	J		
31. Vanguard Value ETF (VTV)	A	Dividend			Buy	01/27/20	J		
32.					Sold (part)	09/02/20	J	A	
33.					Sold	10/01/20	K		
34. SPDR Dow Jones Industrial Average ETF (DIA)	A	Dividend			Sold	01/08/20	K	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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Wiedemann, Erin L.

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35. Account #2 (H)									
36. (XFS Energy & Power Fund (30264D109)		None	J	T					
37. Account #3 (H)									
38. LPL Financial Cash Sweep (cash)	A	Interest	M	T	Open	10/14/20	M		
39. Apple Inc (AAPL)	A	Dividend	J	T	Buy	10/30/20	J		
40. AB Income Advisor CI (ACGYX)	A	Dividend			Buy (add'l)	10/22/20	J		
41.					Sold	12/22/20	K	A	
42. Alps Alerian MLP ETF New (AMLP)	A	Dividend	J	T					
43. Fidelity MSCI Energy Index ETF (FENY)	A	Dividend	J	T					
44. Invesco QQQ ETF (QQQ)	A	Dividend	K	T	Buy (add'l)	10/26/20	K		
45. IShares MSCI Emerging Markets ETF (EEM)	A	Dividend	K	T					
46. IShares Russell 2000 ETF (IWM)		None	K	T	Buy	12/23/20	K		
47. John Hancock Investment Grade Bond CI I (TIUSX)	A	Dividend	K	T	Buy (add'l)	10/22/20	J		
48. Lord Abbett Short Duration Income CI F (LDLFX)		None	K	T	Buy	12/22/20	K		
49. PGIM Total Return Bond CI Z (PDBZX)	A	Dividend	K	T	Buy (add'l)	10/22/20	J		
50. Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	J	T					
51. Sector Materials Select Sector SPDR (XLB)	A	Dividend	K	T	Buy	11/20/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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Wiedemann, Erin L.

Date of Report

04/20/2021

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52. SPDR S&P 500 ETF (SPY)		None	K	T					
53. Vanguard Growth ETF (VUG)	A	Dividend	K	T					
54. Vanguard Mid Cap Growth ETF (VOT)	A	Dividend	K	T	Buy (add'l)	11/04/20	K		
55. Account #4 (H)									
56. Archbest Corp (ARCB)	A	Dividend	K	T					
57. Account #5 (H)									
58. LPL Financial Insured Cash Deposit Account (cash)	A	Dividend	L	T					
59. First Trust Low Duration Opportunities (LMBS)	A	Dividend	J	T	Buy	12/23/20	J		
60. AB Income Advisor Cl (ACGYX)	A	Dividend			Buy	01/24/20	K		
61.					Sold	12/22/20	K	A	
62. Columbia Strategic Income Instl Cl (LSIZX)	A	Dividend			Sold (part)	01/24/20	J	A	
63.					Sold	03/11/20	J	A	
64. Invesco QQQ ETF (QQQ)	A	Dividend	J	T	Buy (add'l)	02/28/20	J		
65.					Buy (add'l)	10/26/20	J		
66. iShares Russell 2000 (IWM)		None	J	T	Buy	12/23/20	J		
67. iShares MSCI Emerging Markets ETF (EEM)	A	Dividend	K	T					
68. JPMorgan Liquid Assets Money Market (PJLXX) (cash equiv)	A	Dividend			Sold	02/28/20	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Wiedemann, Erin L.

Date of Report

04/20/2021

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69. John Hancock Investment Grade Bond Cl I (TIUSX)	A	Dividend	K	T	Buy	08/05/20	J		
70.					Buy (add'l)	12/22/20	J		
71. Lord Abbett Short Duration Income LCl F (LDLFX)	A	Dividend	J	T					
72. Permanent Portfolio Cl I (PRPFX)	A	Dividend	K	T					
73. PGIM Total Return Bond Cl Z (PDBZX)	A	Dividend	K	T	Sold (part)	01/24/20	J		
74. Putnam Diversified Income Cl Y (PDVYX)	A	Dividend			Sold (part)	01/24/20	J		
75.					Sold	12/22/20	J		
76. Select Sector Comm Services SPDR ETF (XLC)		None			Sold	02/26/20	J	A	
77.					Buy	04/13/20	J		
78.					Sold	06/19/20	J	B	
79. Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	J	T	Buy	05/04/20	J		
80. Sector Materials Select Sector SPDR (XLB)	A	Dividend	J	T	Buy	11/20/20	J		
81. Sector Technology Select Sector SPDR (XLK)	A	Dividend			Buy	03/09/20	J		
82.					Sold	07/14/20	J	B	
83. Select Sector Tr Real Estate SPDR (XLRE)	A	Dividend			Sold	04/08/20	J		
84. Select Utilities Select Sector SPDR (XLU)	A	Dividend			Sold	04/06/20	J		
85. SPDR S&P 500 ETF (SPY)	A	Dividend	K	T	Buy (add'l)	03/06/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

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04/20/2021

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86. Vanguard Growth ETF (VUG)	A	Dividend	K	T	Buy (add'l)	02/24/20	J		
87.					Buy (add'l)	03/11/20	J		
88.					Sold (part)	04/17/20	J	B	
89.					Buy (add'l)	09/21/20	J		
90. Vanguard Mid Cap Growth ETF (VOT)	A	Dividend	K	T	Buy	09/03/20	J		
91.					Buy (add'l)	11/04/20	J		
92. Vanguard Value ETF (VTI)	A	Dividend			Buy (add'l)	01/29/20	J		
93.					Sold (part)	09/03/20	J	A	
94.					Sold	10/01/20	K		
95. Watermark Lodging Trust Inc Cl (94184W209)		None	J	T					
96. SPDR Dow Jones Industrial Average (DIA)	A	Dividend			Sold	01/08/20	K	C	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Wiedemann, Erin L.

Date of Report

04/20/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Wiedemann, Erin L.

Date of Report

04/20/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Erin L. Wiedemann**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544