

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zive, Gregg W.	2. Court or Organization U.S. Bankruptcy Court - Nevada	3. Date of Report 07/27/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S Courthouse 300 Booth Street, 5th Floor Reno, NV 89509		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee Emeritus	University of Nevada, Reno Foundation
2. Advisory Board	Nevada Land Trust
3. Limited Partner	Plumas Garden Partners, Reno NV
4. Trustee	Trust #3
5. Trustee	Trust #4 (see Part VIII for comments)
6. Leadership Board	KUNR

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Zive, Gregg W.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Board of Regents Nevada State Higher Education-teaching	\$2,775.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Plumas Garden Partners, Reno, Nevada	A	Interest	K	R					See comments (Part VIII)
2.	E	Rent							
3. City National Bank Money Market, Reno NV	A	Interest	J	T					
4. Wells Fargo Advisors IRA (H)									See comments (Part VIII)
5. -Wells Fargo Bank Deposit	A	Interest	K	T					
6. - Lehman Bros. Holding		None	J	T					
7. - Chevron Corporation	B	Dividend	K	T					
8. - Europacific Growth Fund	A	Dividend	K	T					
9. - First TR Dorsey ET Wright Focus Five Fd	A	Dividend	K	T					
10. - Ishares ET Russell 2000 Growth	A	Dividend	K	T					
11. - Ishares ET Russell 1000 Value	A	Dividend	K	T	Sold (part)	06/18/20	K	B	
12. - Ishares ET Russell 1000 Growth	A	Dividend	K	T					
13. - Ishares Russell 2000 Value	A	Dividend	K	T					
14. - SPDR S&P Dividend ET	A	Dividend	K	T					
15. - Vanguard Health Care ET	A	Dividend	K	T					
16. - Vanguard Information ET	A	Dividend	K	T					
17. - Baron Select Funds Real Estate FD	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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18. - Baron Invt Funds Trust Small Cap FD	C	Dividend	K	T					
19. - Baron Select Funds Partners FD	C	Dividend	L	T	Sold (part)	12/09/20	K	D	
20. - Brandes Invt TR Emerging Mkts Value FD (Class I)	A	Dividend	K	T					
21. - Brandes Invt TR Instl Equity FD (Class I)	A	Dividend	K	T					
22. - Federated Hermes Strategic Value FD (Formerly Federated Equity FDS)	B	Dividend	K	T					
23. - Fidelity ADV New Insights (Class I)	B	Dividend	K	T					
24. - JP Morgan Mid Cap Value FD (Class L)	C	Dividend	K	T					
25. - Prudential Invt Port12 Global Real Estate FD (Class Z)	A	Dividend			Sold	11/09/20	K		
26. - Thornburg Invt TR Invt Income Builder FD (Class I)	A	Dividend	K	T					
27. - Vanguard Horizon FDS Strategic Small Cap Equity FD	A	Dividend	K	T					
28. - Columbia Seligman Cmmn & Information FD Ins. Class	C	Dividend	K	T					
29. - JP Morgan TR II Equity Income Fund I CL		None	K	T	Buy	12/29/20	K		
30. - Federated Hermes Kaufmann Large Cap Fund CL IS	A	Dividend	K	T	Buy	06/08/20	K		
31. Brokerage #1 (H)									
32. - Boeing Company	A	Dividend	K	T					
33. - Coca-Cola	B	Dividend	K	T					
34. - Wells Fargo Bank Deposit	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. - AB Mun Income FD Inc High Income Mun	B	Int./Div.	K	T					
36. - Eaton Vance Muns TR Municipal Opp (Class I)	A	Int./Div.	K	T					
37. - Eaton Vance Muns TR Natl Muns Income FD	B	Int./Div.	K	T					
38. - Federated Incm Secs TR Muni & STK Advantage FD Instl	A	Int./Div.			Sold	02/04/20	K	B	
39. - Franklin Fed Tax Free Income Fund	A	Interest	K	T					
40. - TCW FDS Inc Emerging Mkts Income FD (Class I)	B	Dividend	K	T					
41. - Nuveen Enhanced AMT Free Municipal Credit Oppty FD	B	Int./Div.	K	T					
42. - Federated Hermes Instl TR High Yield Bond Fund Instl Class IS	B	Dividend	K	T	Buy	04/20/20	K		
43.					Buy (add'l)	06/18/20	J		
44. - PGIM Short Term Corp Bond Class Z	A	Dividend	J	T	Buy	06/18/20	J		
45. - Thornburg Invt Trust LDT Term Muni Fund Class I	A	Int./Div.	J	T	Buy	06/18/20	J		
46. Trust #3 (H)									See Comments (Part VIII)
47. -City National Bank Money Mkt, Reno NV	A	Interest	J	T					
48. - Brokerage #1									
49. -Money Market Fund-Stifel Nicolaus	A	Interest	J	T					
50. - Franklin Income Fund CL A	A	Dividend			Sold	10/05/20	J		
51. - Nuveen All American Muni Bond FD CL A	C	Dividend			Sold (part)	09/21/20	K	A	

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52.					Sold	10/05/20	L	C	
53. - Federated Stat Value Dividend CL A	B	Dividend			Sold	10/05/20	L		
54. - AIM Invesco Equity and Income CL A	A	Dividend			Sold	10/05/20	L		
55. - Nuveen High Yield Muni Bond	A	Dividend			Sold	10/05/20	J		
56. - Invesco Developing Markets CL Y	A	Dividend	J	T	Buy	10/05/20	J		
57. - Invesco Intl Growth CL Y	A	Dividend	J	T	Buy	10/05/20	J		
58. - American Beacon Intl Equity	A	Dividend	J	T	Buy	10/05/20	J		
59. - American US Govt Securities CL F2	A	Dividend	K	T	Buy	10/05/20	K		
60. - Blackrock Equity Dividend Instl CL	A	Dividend	K	T	Buy	10/05/20	J		
61.					Buy (add'l)	11/23/20	J		
62. - Columbia LTD Duration Credit Instl CL	A	Dividend	J	T	Buy	10/05/20	J		
63. - Columbia Contrarian Core Instl CL	A	Dividend	J	T	Buy	10/05/20	J		
64. - Dodge and Cox Income	A	Dividend	K	T	Buy	10/05/20	K		
65. - FMI Instl CL	A	Dividend	J	T	Buy	10/05/20	J		
66. - Goldman Sachs Emerging Markets Equity Insights Instl CL	A	Dividend	J	T	Buy	10/05/20	J		
67. - Harbor Bond Instl CL	A	Dividend	K	T	Buy	10/05/20	K		
68. - Janus Henderson Equity Income CL I (formerly Henderson Equity Inc)	A	Dividend	J	T	Buy	10/05/20	J		

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69. - John Hancock Disciplined Value CL I	A	Dividend	K	T	Buy	10/05/20	J		
70. - JP Morgan US Equity CL L	A	Dividend	J	T	Buy	10/05/20	J		
71. - JP Morgan Govt Bond CL I	A	Dividend	K	T	Buy	10/05/20	K		
72. - Loomis Sayles LTD Term Govt & Agency CL Y	A	Dividend	J	T	Buy	10/05/20	J		
73. - Lord Abbett High Yield CL F	A	Dividend	J	T	Buy	10/05/20	J		
74. - MFS Intl Growth CL I	A	Dividend	J	T	Buy	10/05/20	J		
75. - MFS Growth CL I	A	Dividend	J	T	Buy	10/05/20	J		
76. -Meridian Small Cap Growth Investor CL	A	Dividend	J	T	Buy	10/05/20	J		
77. - Nuveen Small Cap Value CL I	A	Dividend	J	T	Buy	10/05/20	J		
78. - PIMCO Long Term US Govt CL 12	A	Dividend	J	T	Buy	10/05/20	J		
79. - PIMCO Investment Grade Credit Bond CL 12	A	Dividend	J	T	Buy	10/05/20	J		
80. -PGIM High Yield CL Z	A	Dividend	J	T	Buy	10/05/20	J		
81. -T Rowe Price Blue Chip Growth Investor CL	A	Dividend	J	T	Buy	10/05/20	J		
82. - TCW Total Return CL I	A	Dividend	J	T	Buy	10/05/20	J		
83. -Vanguard Long Term Treasury Admiral CL	A	Dividend	J	T	Buy	10/05/20	J		
84. -Vanguard Short Term Federal Admiral CL	A	Dividend	J	T	Buy	10/05/20	J		
85. -Virtus KAR Small Cap Growth CL I	A	Dividend	J	T	Buy	10/05/20	J		

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86. -Virtus Ceredex Small Cap Value Equity CL I	A	Dividend	J	T	Buy	10/05/20	J		
87. Nevada State Bank, Reno, NV Money Market	A	Interest	M	T					
88. RBC Dain Rauscher IRA (H)									See Comments (Part VIII)
89. -RBC Bank Deposit	A	Interest	J	T					
90. -Invesco Select Real Estate Income Fund	A	Dividend	J	T					
91. - Growth Fund of America	A	Dividend	K	T					
92. - Janus Henderson Global Intl Opps Cl C	A	Dividend	K	T					
93. - Franklin Founding FD Alloc A	B	Dividend	K	T					
94. - Columbia FDS Ser TR II Mass Seligman Comm & Info CL C	B	Dividend	K	T					
95. - Ivy FDS Inc Small Cap Growth FD CL C	B	Dividend	J	T					
96. - Northern LTS FD TR Deer PK Total Rtn CL A	A	Dividend	J	T					
97. - UIT FT MLP Closed End Ser 27 Portfolio Monthly	A	Dividend	J	T					
98. [REDACTED]		None	J	T					
99. Wells Fargo Bank, N.A., Reno, NV		None	K	T					
100. Brokerage #2 (H)									
101. - Bank of China New York City CD	C	Interest			Redeemed	04/24/20	M		
102. - Ally Bank Midvale Utah CD		None	M	T	Buy	04/30/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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103. City National Bank Checking, Reno NV		None	M	T					
104. City National Bank Money Market #2, Reno NV	A	Interest	M	T					
105. Brokerage #3 (H)									
106. - Berkshire Bank Pittsfield MA CD	B	Interest			Redeemed	01/02/20	M		
107. - Bank of America NA CD	B	Interest			Redeemed	04/03/20	M		
108. -TCF National Bank CD	B	Interest			Redeemed	07/02/20	M		
109. - City National Bank Deposit Sweep Program (x)	A	Interest	N	T					
110. - Goldman Sachs BK USA CD	B	Interest			Buy	01/02/20	M		
111.					Redeemed	10/26/20	M		
112. - Bank OZK CD	A	Interest	M	T	Buy	01/15/20	M		

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Zive, Gregg W.

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Filer's spouse also has a financial disclosure report requirement. Filer and spouse maintain assets as sole and separate property. Filer does not receive income from any assets of the spouse, nor does filer receive or expect to receive any benefit from said assets. Additionally, filer and spouse file separate tax returns. Therefore, it is both the filer and spouse's understanding that they are not required to report any information relating to each other on their disclosure report based on guidance received in 2018. Spouse has properly filed a separate financial disclosure report.

Part I Line 5. Filer became a trustee of this trust in 2016. Filer did not create the trust, does not receive income from this trust, nor does he have a beneficial interest in this trust. Therefore, no assets are reported in Part VII.

Part VII Line 1. 6.25 % limited partnership interest; apartment complex. Original purchase price of \$50,000 in April 1994.

Part VII Line 4. No RMD's taken in 2020.

Part VII. Line 46. This trust was created February 12, 2007. 100% beneficial interest in this trust.

Part VII. Line 88. Beneficiary of this decedent IRA. No RMD's taken in 2020.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Zive, Gregg W.

Date of Report

07/27/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregg W. Zive**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544