

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zilly, Thomas S.	2. Court or Organization United States District Court	3. Date of Report 05/13/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 700 Stewart Street, Suite 15229 Seattle, WA 98101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Zilly, Thomas S.

Date of Report

05/13/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Zilly, Thomas S.	05/13/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Zilly, Thomas S.

Date of Report

05/13/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. AADV M.M. Fund/Key Bank	A	Interest	J	T					
2. Microsoft	E	Dividend	P1	T	Buy (add'l)	09/02/20	K		
3. Microsoft					Sold (part)	03/13/20	N	G	
4. Merck Co.	B	Dividend	K	T					
5. OHTA DBA Whispering Pines Property, El Paso, Texas	B	Rent	J	W					San Francisco, Cal located
6. Trust 1	D	Interest	N	T					See Note 1
7. Trust 2	B	Interest	M	T					See Note 1
8. Amgen Incorporated	D	Dividend	N	T					
9. Amazon.com Inc.		None	P1	T					
10. Schwab MM	B	Interest	N	T					
11. Key Bank Account	A	Interest	K	T					
12. Paccar Inc.	A	Dividend			Sold	09/08/20	K	C	
13. Valero Energy Corp.	B	Dividend			Sold	03/25/20	K	D	
14. Pembina Pipeline Corp.	B	Dividend			Sold	03/25/20	J	B	
15. Apple	D	Dividend	N	T	Sold (part)	02/22/19	M	D	
16. Apple					Buy (add'l)	08/31/20	K		
17. Alphabet C		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Zilly, Thomas S.

Date of Report

05/13/2021

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Alphabet, Inc. A		None	M	T					
19. Vanguard Mid Cap ETF (VOE)	B	Dividend	K	T					
20. Vanguard S&P 500 ETF (VOO)	B	Dividend	N	T	Buy (add'l)	07/24/20	M		
21. Schwab Div ETF (SCHD)	B	Dividend	L	T					
22. Lockheed Martin	B	Dividend	L	T					
23. Raytheon Co.	B	Dividend			Sold	03/23/20	L	A	
24. I Shares US Aerospace	B	Dividend			Sold	03/24/20	M	E	
25. Caterpillar	B	Dividend			Sold	02/28/20	L	D	
26. Biogen		None			Sold	09/02/20	K	A	
27. Uber Technology		None			Sold	08/31/20	K	A	
28. Moderna		None	J	T	Buy	12/04/20	J		
29. pfizer		None	J	T	Buy	12/04/20	J		
30. Tesla		None	K	T	Buy	09/02/20	K		
31. Gilead Sciences		None			Buy	04/16/20	K		
32. Gilead Sciences					Sold	08/31/20	K	A	
33. VanGuard total Bond	A	Dividend	L	T	Buy	01/07/20	K		
34. Vamizi		None	K	T	Buy	10/16/19	K		Not reported in 2019 repor

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FINANCIAL DISCLOSURE REPORT

Page 6 of 7

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Date of Report

05/13/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: [REDACTED] is beneficiary only of Trust 1 and 2. Trusts held interest in various stocks. Reporting individual's [REDACTED] received income from Trusts. Total income to [REDACTED] from all Trusts had income code D.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Zilly, Thomas S.

Date of Report

05/13/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas S. Zilly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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