

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Slomsky, Joel H.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 04/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge-Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 601 Market Street Philadelphia, Pennsylvania 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Dean's Distinguished Fellow	Villanova University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2020	Joel Harvey Slomsky Individual Retirement Account (IRA), control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Villanova University Law School - Teaching Income	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	--Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. --Vanguard Wellington Fund	D	Dividend	M	T					
3. --Verizon Communications Inc. (Common Stock)	C	Dividend	L	T					
4. --Verizon Communications Inc. (Common Stock)					Buy (add'l)	03/19/20	J		
5. --Verizon Communications Inc. (Common Stock)					Buy (add'l)	06/30/20	J		
6. --Franklin Income Fund	C	Dividend	L	T					
7. --iShares Preferred Stock Index Fund	C	Dividend	L	T					
8. --AT & T Inc. (Common Stock)	C	Dividend	L	T					
9. --Federation Prime Cash Obligations Fund	A	Dividend	L	T					
10. --Federated Prime Cash Obligations Fund					Distributed (part)	01/02/20	L		
11. --Federated Prime Cash Obligations Fund					Distributed (part)	09/14/20	J		
12. --Federated Prime Cash Obligations Fund					Distributed (part)	10/12/20	J		
13. --Wilshire Reit (ETF)	A	Dividend	K	T					
14. --Vanguard Wellsley Fund	C	Dividend	M	T					
15. --Comcast (Common Stock)	B	Dividend	L	T					
16. --Comcast (Common Stock)					Buy (add'l)	03/19/20	J		
17. --Comcast (Common Stock)					Buy (add'l)	03/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. --Bank America NA Charlotte NC (CD)	C	Interest			Redeemed	08/17/20	M	A	
19. --BMW Bank North America Salt Lake (CD)	A	Interest	K	T					
20. --TIAA FSB Jacksonville Fla (CD)	B	Interest			Redeemed	10/19/20	L	A	
21. --Ally Bank (CD)	A	Interest	L	T					
22. --Morgan Stanley Pvt Bank Purchase (CD)	A	Interest	L	T					
23. --Capital One Nat'l Assn. Va (CD)	A	Interest	L	T					
24. --Goldman Sachs Bank USA (CD)	A	Interest	M	T					
25. --Sallie Mae Bank Salt Lake City Utah (CD)	A	Interest	L	T					
26. --Wells Fargo Bank Nat'l Assn (CD)	C	Interest			Redeemed	11/18/20	M	A	
27. --Sallie Mae Bank Salt Lake City Utah (CD)	B	Interest	M	T					
28. --Morgan Stanley Bank NA (CD)	A	Interest	L	T					
29. --New York Community Bank (CD)		None	M	T	Buy	11/23/20	M		
30. --SAFRA Nat'l Bank New York NY (CD)		None	M	T	Buy	10/06/20	M		
31. Flexible Annuity Plan (H)									
32. --Mutual of America Conservative Allocation Fund		None	L	T					
33. --Mutual of America Moderate Allocation Fund		None	K	T					
34. --Mutual of America 2020 Retirement Fund		None	K	T					

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35. --Mutual of America General Account	A	Interest	L	T					
36. Tax Deferred Annuity (H)									
37. --Mutual of America Conservative Allocation Fund		None	M	T					
38. --Mutual of America Interest Accumulation Account	D	Interest	N	T					
39. Citizens Bank Checking Account		None	K	T					
40. PNC Bank Checking Account	A	Interest	J	T					
41. PNC Bank Money Market Account	A	Interest	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. For the Flexible Annuity Plan and the Tax Deferred Annuity holdings listed in Part VII with no income, "none" was listed because the statements do not provide the income attributable to those assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joel H. Slomsky**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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