

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Baxter, Susan P.	2. Court or Organization Western District of Pennsylvania	3. Date of Report 03/16/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Court Judge/FT	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. District Court 17 South Park Row Room A 280 Erie, PA 16501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	- Salary & Bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. PNC Bank, N.A.	Portfolio Line of Credit	K
2. Chase Bank	Credit Card	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank Checking/Money Market Accounts	A	Interest	M	T					
2. Navy Federal Credit Union Account	A	Interest	J	T					
3. PA Tuition Account 529 - Guaranteed Savings Plan		None			Sold	02/25/20	J		
4. [REDACTED], City of Erie, Erie County, PA	E	Rent	K	W					
5. [REDACTED], City of Erie, Erie County, PA	C	Rent			Sold	10/05/20	K	E	
6. U.S. Savings Bonds - Series EE Bonds	A	Interest	J	T					
7. PNC WM ACCOUNTS: (H)									
8. - Harding Loevner International Equity (HLMIX)		None			Sold (part)	06/11/20	J	A	
9.					Sold	06/18/20	J	A	
10. - IShares MSCI Emerging Markets ETF (EEM)		None			Sold (part)	02/27/20	J	A	
11.					Sold	06/12/20	J	A	
12. - IShares Core S&P Mid-Cap ETF (IJH)	A	Dividend	J	T	Buy (add'l)	02/27/20	J		
13.					Sold (part)	02/27/20	J	A	
14.					Sold (part)	06/12/20	J	B	
15.					Sold (part)	07/16/20	J	A	
16.					Sold (part)	09/23/20	J	A	
17.					Sold (part)	12/21/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	12/31/20	J	A	
19. - IShares Core S&P Small-Cap ETF (IJR)	A	Dividend	J	T	Buy (add'l)	01/23/20	J		
20.					Buy (add'l)	02/27/20	J		
21.					Sold (part)	04/03/20	J		
22.					Sold (part)	04/06/20	J		
23.					Buy (add'l)	04/21/20	J		
24.					Sold (part)	06/12/20	J		
25.					Sold (part)	07/16/20	J		
26.					Sold (part)	09/23/20	J	A	
27.					Sold (part)	12/21/20	J	A	
28.					Sold (part)	12/31/20	J	A	
29. - TRowe Price Growth Stock Fd (PRGFX)	B	Dividend	K	T	Sold (part)	02/26/20	J	A	
30.					Buy (add'l)	04/20/20	J		
31.					Sold (part)	06/11/20	J	B	
32.					Sold (part)	12/14/20	J	A	
33.					Sold (part)	12/18/20	J	C	
34.					Sold (part)	12/30/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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35. - TRowe Price Summit Muni Fd (PRINX)		None			Sold	02/26/20	J	A	
36. - Vanguard Inter-Term Tax Exempt Fd (VWIUX)	B	Dividend	M	T	Buy (add'l)	01/23/20	J		
37.					Sold (part)	02/26/20	J	A	
38.					Sold (part)	04/06/20	J		
39.					Buy (add'l)	12/18/20	J		
40.					Buy (add'l)	12/30/20	J		
41. - Fidelity Government Money Market Fd (FIGXX)	A	Dividend			Sold (part)	01/22/20	J		
42.					Sold	03/27/20	J		
43. - Baird Aggregate Bond Fd #72 (BAGIX)	A	Dividend	J	T	Buy (add'l)	09/22/20	J		
44.					Buy (add'l)	12/18/20	J		
45.					Buy (add'l)	12/30/20	J		
46. - Dodge & Cox Income Fd #147 (DODIX)	A	Dividend	J	T	Buy (add'l)	12/18/20	J		
47.					Buy (add'l)	12/30/20	J		
48. - Vanguard Growth ETF (VUG)	A	Dividend	J	T	Sold (part)	02/27/20	J	C	
49.					Sold (part)	06/12/20	J	C	
50. - Vanguard International Value Fd #46 (VTRIX)	A	Dividend	K	T	Buy (add'l)	01/23/20	J		
51.					Buy (add'l)	02/26/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	04/02/20	J		
53.					Buy (add'l)	04/03/20	J		
54.					Buy (add'l)	04/06/20	J		
55.					Buy (add'l)	04/20/20	J		
56.					Sold (part)	06/11/20	J		
57.					Sold (part)	12/18/20	J	A	
58.					Sold (part)	12/30/20	J	A	
59. - IShares MSCI EAFE ETF (EFA)	A	Dividend	J	T	Buy (add'l)	04/21/20	J		
60.					Sold (part)	06/12/20	J		
61. - IShares MSCI EAFE Small Cap ETF (SCZ)	A	Dividend	J	T	Buy (add'l)	01/23/20	J		
62.					Buy (add'l)	02/27/20	J		
63.					Sold (part)	04/03/20	J		
64.					Sold (part)	04/06/20	J		
65.					Buy (add'l)	04/21/20	J		
66.					Sold (part)	06/12/20	J		
67.					Sold (part)	07/16/20	J		
68.					Sold (part)	12/31/20	J	A	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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69. - WCM Focused Intl Growth Fd (WCMIX)	A	Dividend	J	T	Buy (add'l)	04/20/20	J		
70.					Sold (part)	12/18/20	J	B	
71.					Sold (part)	12/30/20	J	A	
72. - Edgewood Growth Fd (EGFIX)	A	Dividend	J	T	Sold (part)	02/26/20	J	A	
73.					Buy (add'l)	04/20/20	J		
74.					Buy (add'l)	07/15/20	J		
75.					Sold (part)	12/18/20	J	A	
76. - Cambiar Intl Equity Fd (CAMYX)	A	Dividend			Buy (add'l)	01/22/20	J		
77.					Buy (add'l)	02/26/20	J		
78.					Sold (part)	04/02/20	J		
79.					Sold (part)	04/03/20	J		
80.					Sold (part)	06/11/20	J		
81.					Sold (part)	12/18/20	J	A	
82.					Sold	12/30/20	J	A	
83. - Lazard Global Listed Infrastructure Fd #1243 (GLIFX)	A	Dividend	J	T	Buy (add'l)	01/22/20	J		
84.					Buy (add'l)	02/26/20	J		
85.					Buy (add'l)	04/20/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86.					Sold (part)	06/11/20	J		
87.					Sold (part)	12/18/20	J		
88.					Sold (part)	12/30/20	J		
89. - Harbor Large Cap Value Fd (HAVLX)	B	Dividend	K	T	Buy (add'l)	01/22/20	J		
90.					Sold (part)	02/26/20	J	A	
91.					Sold (part)	06/11/20	J	A	
92.					Buy (add'l)	07/15/20	J		
93.					Buy (add'l)	09/22/20	J		
94.					Sold (part)	12/18/20	J	B	
95.					Sold (part)	12/30/20	J	B	
96. - Principal Mid Cap Fund R6 (PMAQX)	A	Dividend	J	T	Buy (add'l)	01/22/20	J		
97.					Buy (add'l)	02/26/20	J		
98.					Sold (part)	04/02/20	J		
99.					Sold (part)	12/18/20	J	A	
100. - PNC Bank NA Deposit Sweep Money Market	A	Interest	J	T					
101. - Schwab US REIT ETF (SCHH)	A	Dividend			Buy (add'l)	01/23/20	J		
102.					Buy (add'l)	02/27/20	J		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	04/03/20	J		
104.					Sold (part)	04/06/20	J		
105.					Sold (part)	04/09/20	J		
106.					Sold	04/13/20	J		
107. - Vanguard Value ETF (VTV)	A	Dividend	J	T	Sold (part)	02/27/20	J	A	
108.					Sold (part)	06/12/20	J		
109.					Sold (part)	07/16/20	J		
110. - Vanguard FTSE Emerging Markets (VWO)	A	Dividend	J	T	Sold (part)	02/27/20	J		
111.					Buy (add'l)	02/27/20	J		
112.					Buy (add'l)	04/21/20	J		
113.					Sold (part)	06/12/20	J		
114.					Sold (part)	07/16/20	J		
115.					Sold (part)	12/31/20	J	A	
116. - Western Asset Core Plus Bond Fd (WACPX)	A	Dividend	J	T	Buy (add'l)	02/26/20	J		
117.					Buy (add'l)	12/18/20	J		
118.					Buy (add'l)	12/30/20	J		
119. - Wells Fargo Municipal Bond Fd (WMBIX)	B	Dividend	L	T	Sold (part)	02/26/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	06/16/20	J		
121.					Sold (part)	11/16/20	J	A	
122. - AMG RR Small Cap Value Fd (ARSIX)		None			Buy	04/02/20	J		
123.					Sold (part)	06/11/20	J	A	
124.					Sold	07/15/20	J	A	
125. - Baron Small Cap Fd (BSFIX)	A	Dividend	J	T	Buy	04/02/20	J		
126.					Sold (part)	06/11/20	J	A	
127.					Sold (part)	07/15/20	J	A	
128.					Sold (part)	12/18/20	J	A	
129.					Sold (part)	12/30/20	J	A	
130. - Wisdomtree US Quality Dividend ETF (DGRW)	A	Dividend	J	T	Buy	07/16/20	J		
131.					Buy (add'l)	09/23/20	J		
132. - Fidelity Spartin Tax-Free Bd Fund #090 (FTABX)	B	Dividend	M	T	Buy	01/22/20	K		
133.					Buy (add'l)	02/26/20	K		
134.					Buy (add'l)	06/16/20	K		
135.					Buy (add'l)	12/18/20	J		
136.					Buy (add'l)	12/31/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Baxter, Susan P.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - AMG GW&K Small Cap Core Fd (GWEIX)	A	Dividend	J	T	Buy	02/26/20	J		
138.					Buy (add'l)	04/02/20	J		
139.					Buy (add'l)	04/03/20	J		
140.					Buy (add'l)	04/20/20	J		
141.					Sold (part)	06/11/20	J		
142.					Sold (part)	09/22/20	J	A	
143.					Sold (part)	12/18/20	J	A	
144.					Sold (part)	12/30/20	J	A	
145. - MFS Value Fund (MEIIX)	A	Dividend	J	T	Buy	04/20/20	J		
146.					Buy (add'l)	07/15/20	J		
147. - Nuance Mid Cap Value Fd - Z (NMVZX)	A	Dividend			Buy	04/02/20	J		
148.					Buy (add'l)	04/20/20	J		
149.					Sold	07/15/20	J	A	
150. - Oakmark Internationl Small Cap Fd #2888 (OANEX)	A	Dividend	J	T	Buy	04/02/20	J		
151.					Sold (part)	06/11/20	J	A	
152.					Sold (part)	12/30/20	J	A	
153. - Polen International Growth Fd. (POIIX)		None			Buy	06/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	12/18/20	J	A	
155. - Invesco QQQ Trust ETF (QQQ)	A	Dividend	J	T	Buy	07/16/20	J		
156.					Buy (add'l)	09/23/20	J		
157. - Touchstone Mid Cap Growth Fd (TEGIX)	A	Dividend	J	T	Buy	04/02/20	J		
158.					Buy (add'l)	04/20/20	J		
159.					Sold (part)	12/18/20	J	A	
160.					Sold (part)	12/30/20	J	A	
161. - Touchstone Sands Emerging Growth Fd #565 (TSEGX)		None	J	T	Buy	07/15/20	J		
162. - Vanguard Real Estate ETF (VNQ)	A	Dividend	J	T	Buy	04/03/20	J		
163.					Buy (add'l)	04/06/20	J		
164.					Buy (add'l)	04/09/20	J		
165.					Buy (add'l)	04/13/20	J		
166.					Buy (add'l)	04/21/20	J		
167.					Sold (part)	06/11/20	J	A	
168.					Sold (part)	12/21/20	J	A	
169.					Sold (part)	12/31/20	J	A	
170. - Wasatch International Opportunities Fd (WIIOX)	A	Dividend	J	T	Buy	04/02/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	04/03/20	J		
172.					Sold (part)	06/11/20	J	A	
173.					Buy (add'l)	07/15/20	J		
174.					Sold (part)	12/30/20	J	A	
175. - Wells Fargo Core Bond Fd (WTRIX)	A	Dividend	J	T	Buy	06/16/20	J		
176. PNC RETIREMENT ACCOUNT (H)									
177. - Baird Aggregate Bond Fd (BAGIX)	D	Dividend	N	T	Buy (add'l)	03/20/20	L		
178.					Sold (part)	04/30/20	M	D	
179.					Buy (add'l)	12/18/20	K		
180.					Buy (add'l)	12/30/20	K		
181. - Dodge & Cox International Fd (DODFX)		None			Sold (part)	06/11/20	J	A	
182.					Sold	07/15/20	J	A	
183. - Fidelity Money Market Fund (FIGXX)	A	Dividend	J	T					
184. - Harding Loevner International Fd (HLMIX)		None			Sold (part)	06/11/20	J	B	
185.					Sold	06/19/20	J	C	
186. - IShares MSCI EAFE Small Cap (SCZ)	A	Dividend	J	T	Sold (part)	06/12/20	J	A	
187.					Sold (part)	07/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - IShares S&P Small Cap (IJS)	A	Dividend			Sold (part)	06/12/20	J	A	
189.					Sold	07/16/20	K		
190. - Metropolitan West Unconstrained Bond (WMCIX)	A	Dividend	K	T	Buy (add'l)	07/15/20	J		
191.					Sold (part)	12/30/20	J	C	
192. - T Rowe Price Growth Stock (PRGFX)	B	Dividend	K	T	Sold (part)	06/11/20	K	D	
193.					Sold (part)	12/18/20	K	E	
194. - T Rowe Price New Horizons (PRNHX)	D	Dividend	K	T	Sold (part)	06/11/20	J	D	
195.					Sold (part)	12/30/20	K	D	
196. - Vanguard FTSE Emerging (VWO)	A	Dividend	J	T	Sold (part)	06/12/20	J		
197.					Sold (part)	07/16/20	J	A	
198. - Vanguard Intermediate Term Bond (VFIDX)	D	Dividend	M	T	Sold (part)	02/26/20	K	A	
199.					Buy (add'l)	12/30/20	K		
200. - Virtus SEIX Floating Rate Income Fd (SAMBX)	A	Dividend			Sold	02/26/20	K		
201. - SPDR S&P 500 ETF (SPY)	B	Dividend	K	T	Sold (part)	02/27/20	K	D	
202.					Sold (part)	12/21/20	K	D	
203.					Sold (part)	12/31/20	K	D	
204. - Vanguard International Value Fd (VTRIX)	A	Dividend	K	T	Buy (add'l)	02/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	07/16/20	J		
206.					Sold (part)	12/18/20	J	A	
207.					Sold (part)	12/30/20	J	B	
208. - Vanguard Growth ETF (VUG)	A	Dividend			Sold (part)	02/27/20	K	D	
209.					Sold	06/12/20	L	E	
210. - iShares MSCI EAFE ETF (EFA)		None			Sold	06/12/20	K	B	
211. - Dodge & Cox Income Fd #147 (DODIX)	D	Dividend	M	T	Sold (part)	05/12/20	K	B	
212.					Buy (add'l)	12/18/20	K		
213.					Buy (add'l)	12/30/20	K		
214. - Lazard Global Listed Infrastructure Fd #1243 (GLIFX)	B	Dividend	K	T	Sold (part)	06/11/20	J		
215.					Sold (part)	12/18/20	J		
216.					Sold (part)	12/30/20	J		
217. - Harbor Large Cap Value Fd (HAVLX)	C	Dividend	L	T	Buy (add'l)	05/12/20	J		
218.					Sold (part)	06/11/20	K	B	
219.					Sold (part)	12/18/20	K	D	
220. - Principal Mid Cap Value Fd - R6 (PMAQX)	A	Dividend	K	T	Sold (part)	02/26/20	J	B	
221.					Sold (part)	06/11/20	J	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Buy (add'l)	07/15/20	J		
223.					Sold (part)	12/18/20	J	B	
224.					Sold (part)	12/30/20	J	C	
225. - Nuance Mid Cap Value - Z (NMVZX)	A	Dividend			Sold (part)	06/11/20	J		
226.					Sold	07/15/20	K		
227. - Schwab US REIT (SCHH)	A	Dividend			Buy (add'l)	02/27/20	K		
228.					Sold	04/22/20	K		
229. - Touchstone Mid Cap Growth - Inst (TEGIX)	B	Dividend	K	T	Sold (part)	02/26/20	J	A	
230.					Sold (part)	06/11/20	J	A	
231.					Sold (part)	12/18/20	J	B	
232.					Sold (part)	12/30/20	J	A	
233. - Vanguard Value ETF (VTV)	A	Dividend			Sold (part)	02/27/20	K	A	
234.					Sold	06/12/20	K		
235. - Western Asset Core Plus Bond Fd (WACPX)	D	Dividend	N	T	Buy (add'l)	04/30/20	L		
236.					Buy (add'l)	06/22/20	K		
237.					Buy (add'l)	12/18/20	K		
238.					Buy (add'l)	12/30/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Wisdomtree US Quality Dividend ETF (DGRW)	A	Dividend	J	T	Buy	07/16/20	J		
240. - MFS Emerging Markets Debt Fd - R6 (MEDHX)	A	Dividend			Buy	02/26/20	K		
241.					Sold (part)	03/20/20	K		
242.					Sold (part)	04/21/20	J	A	
243.					Sold	05/12/20	J		
244. - Oakmark International Small Cap - Inst #2888 (OANEX)	A	Dividend	J	T	Buy	02/26/20	J		
245. - PGIM High Yield Fund Class R6 (PHYQX)	B	Dividend	L	T	Buy	02/26/20	K		
246.					Sold (part)	03/20/20	K		
247.					Sold	04/21/20	J	A	
248.					Buy	04/30/20	K		
249.					Buy (add'l)	06/22/20	J		
250.					Buy (add'l)	12/18/20	J		
251. - Pacific Floating Rate Income Fund (PLFRX)	B	Dividend	L	T	Buy	02/26/20	K		
252.					Sold	03/20/20	K		
253.					Buy	05/12/20	K		
254.					Buy (add'l)	06/22/20	J		
255.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. - Polen International Growth Fund - Inst (POIIX)		None	J	T	Buy	06/19/20	J		
257. - Polen Growth Fund - Inst (POLIX)	A	Dividend	K	T	Buy	06/11/20	K		
258. - Invesco QQQ Trust ETF (QQQ)	A	Dividend	K	T	Buy	07/16/20	K		
259. - Touchstone Sands Emerging Growth Fund #565 (TSEGX)		None	J	T	Buy	07/15/20	J		
260. - Vanguard Real Estate ETF (VNQ)	A	Dividend	J	T	Buy	04/22/20	K		
261.					Sold (part)	06/12/20	J	B	
262.					Sold (part)	12/21/20	J	A	
263.					Sold (part)	12/31/20	J	A	
264. - Wasatch International Opportunities Fd (WIIOX)	A	Dividend	K	T	Buy	02/26/20	J		
265.					Buy (add'l)	07/15/20	J		
266.					Sold (part)	12/30/20	J	A	
267. - Wells Fargo Core Bond Fd (WTRIX)	D	Dividend	M	T	Buy	06/22/20	M		
268.					Buy (add'l)	12/18/20	K		
269. Croatia Fraternal Union Whole Life Insurance Policy	A	Dividend	J	T					
270. Crown Life Insurance Guaranteed Universal "W"	A	Interest	J	T					
271. Crown Life Insurance Guaranteed Universal "H"	A	Interest	J	T					
272. First Commonwealth Financial Corporation (FCF) "X"	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III. B. I failed to list my husband's Salary and Bonus in my Year 2019 report and that report should be amended to include [REDACTED] - Salary and Bonus.

Line 272 - I inherited shares of First Commonwealth Financial Corp. stock from [REDACTED] upon the death of [REDACTED] in 2010. I have failed to report this in all annual forms by inadvertence. It is now listed in Part VII. Line 272, and should have been so listed since the FY 2010 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Susan P. Baxter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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