

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Benton, William D.	2. Court or Organization U.S. Court of Appeals, 8th Cir	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 400 E. 9th St. Kansas City MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Freedom's Frontier National Heritage Area, Inc.
2. Trustee	Trust #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	State of Missouri - judicial retirement	\$90,503.60
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Benton, William D.	05/10/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Equifax Inc. common stock	B	Dividend	M	T	Sold (part)	10/09/20	J	C	
2. Invesco Main Street Fund A MSIGX (formerly Oppenheimer)	A	Dividend	J	T					
3. Wells Fargo Emerging Growth Fund A (formerly "Small Cap") WEMAX	E	Distribution	L	T					
4. US Bancorp common stock	A	Dividend	J	T					
5. MOST 529 plan fund #1-Vanguard Aggressive Growth Portfolio		None	J	T					
6. MOST 529 plan fund #2-Vanguard Interest Accumulat'n Portfoli	C	Interest	L	T					
7. AIG American General universal life policy	B	Interest	K	T					
8. IRA # 1									
9. - Wells Fargo Bank deposit program	A	Interest	J	T					
10. -Ishares High Yield Corp Bond ETF USHY	A	Dividend	J	T					
11. -Ishares Core S&P 500 Index Fd IVV	A	Dividend	J	T					
12. Schwab Strategic Tr ETF Fun'dl Intl Large Co Index FNDF	A	Dividend	J	T	Buy	11/25/20	J		
13. -Vanguard FTSE Dev Markets ETF VEA	A	Dividend	K	T					
14. -Vanguard Intl Equity Index Fds FTSE Emerging Mkts ETF VWO	A	Dividend	J	T					
15. - Vanguard Long Term Bond ETF BLV	A	Dividend	J	T	Buy	11/25/20	J		
16. -Vanguard Mid Cap ETF VO	A	Dividend	J	T					
17. -Vanguard REIT VNQ	A	Distribution	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Vanguard Small Cap Valu ETF VBR	A	Dividend	J	T					
19. -Vanguard Small Cap VB	A	Dividend	J	T					
20. -Vanguard Total Bond Market BND	A	Interest	J	T					
21. -Vanguard Intermediate Term Corp Bond ET VCIT	A	Interest	J	T	Buy	11/25/20	J		
22. -Vanguard Mid-Cap Value Index Fund VOE	A	Dividend	J	T					
23. -Vanguard Total Stock Market ETF VTI	A	Dividend	J	T					
24. -Vanguard Value ETF VTV	A	Dividend	J	T					
25. IRA # 2									
26. -Brown Adv Small Cap Growth Fd BAFSX	A	Dividend	J	T					
27. -Bond Fund of Amer Class F2 ABNFX	A	Interest	J	T					
28. -Goldman Sachs Tr Finl Sq Treas Instrs Fd Instl Class FTIXX	A	Interest			Sold	10/09/20	J		
29. -American Funds Growth Fund of Am Class F2 GFFFX	A	Dividend	J	T					
30. -Hartford Mutl Fds Inc Midcap Fund Class 1 HFMIX	A	Dividend	J	T					
31. -American Funds Washington Mutual Fd F2 WMFFX	A	Dividend	K	T					
32. -John Hancock Funds III Disciplined Value Mid Cap Fd Class 1 JVMIX	A	Dividend	J	T					
33. -American EuroPacific Growth FU Class F2 AEPFX	A	Dividend	J	T					
34. -Janus Funds Janus Perkins Small Cap Value Fd Cl1 JSCOX	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Jenson Portfolio Inc. Class 1 SHS JENIX	A	Dividend	J	T	Buy	03/04/20	J		
36. -Lazard Fds Inc Emerging Mkts Port Instl Shs LZEMX	A	Dividend			Sold	06/16/20	J	A	
37. - MFS Ser Tr X Emerging Mkts Debt FD class 1 MEDIX	A	Dividend	J	T					
38. -Metropolitan West Fds Total Return Bd Fd Cla MWTIX	A	Dividend	J	T					
39. Wells Fargo Emerging markets Equity Fund Class Inst EMGNX	A	Dividend	J	T	Buy	06/16/20	J		
40. -JP Morgan Fds JP Morgan Intrepid Value Fd Select Cl Shares JPIVX	A	Dividend			Sold	03/04/20	J	A	
41. -PGIM Total Return Bond Cl Z PDBZX	A	Dividend	J	T					
42. -PGIM High Yield C/Z PHYZX	A	Dividend	J	T					
43. -T Rowe Price Blue Chip Growth Fund TRBCX	A	Dividend			Sold	03/04/20	J	A	
44. Pfizer Inc. common stock	A	Dividend	J	T					
45. Lord Abbett High Yield Muni Bond Fund - HYMCX	A	Int./Div.	K	T					
46. Nuveen High Yield Municipal Bond Fund class C NHMCX	B	Int./Div.	K	T					
47. American International Group Inc. common stock	A	Dividend			Sold	10/09/20	K		
48. Federated Kaufmann Large Cap FD class C - KLCCX	B	Distribution	K	T					
49. Nuveen Municipal Trust High Yield Mun Bond Fd class C - NHCCX	B	Int./Div.	K	T					
50. American Balanced Fund Cl A	C	Distribution	L	T					
51. Capital Income Builder Fund Cl A	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Income Fund of America Fund Cl A	C	Distribution	M	T					
53. American Funds Global Balanced Fund Cl A	A	Dividend	K	T					
54. Abbott Laboratories ABT	A	Dividend	J	T	Buy (add'l)	10/19/20	J		
55. Advanced Micro Devices Inc AMD	A	Dividend	J	T	Buy	10/22/20	J		
56. Amazon Com Inc AMZN	A	Dividend			Sold	01/22/20	J	A	
57. American Express Co. AXP	A	Dividend			Sold	04/15/20	J		
58. American Tower Corp. REIT AMT	A	Dividend			Sold	12/02/20	J		
59. Apple Inc AAPL	A	Dividend	J	T	Buy (add'l)	10/22/20	J		
60. Automatic Data Processing ADP	A	Dividend			Sold	04/21/20	J		
61. Charter Communications Inc. A chtr	A	Dividend	J	T	Buy	01/22/20	J		
62. Cisco Systems Inc. CSCO	A	Dividend			Sold	02/19/20	J	A	
63. Costco Whsl Corp New Com COST	A	Dividend	J	T	Buy (add'l)	06/23/20	J		
64. Danaher Corp DHR	A	Dividend	J	T	Buy (add'l)	10/19/20	J		
65. Deere & Co DE		None	J	T	Buy	12/02/20	J		
66. Dollar General Corp DG		Dividend	J	T	Buy	04/15/20	J		
67. Fidelity National Info Svc FIS	A	Dividend			Sold	07/14/20	J		
68. Home Depot Inc HD	A	Dividend	J	T	Buy (add'l)	10/19/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Intercontinental Exch ICE	A	Dividend			Sold	08/21/20	J	A	
70. IShares ETF Russell 2000 Growth IWO	A	Dividend	J	T	Buy	10/22/20	J		
71. IShares ETF Russell 2000 IWM	A	Dividend	K	T	Buy (add'l)	10/22/20	J		
72. IShares ETF Russell Midcap Growth IWP	A	Dividend	K	T					
73. IShares Core MSCI EAFE ETF IEFA	A	Dividend	J	T	Buy (add'l)	10/22/20	J		
74. IShares Gold Trustet ETF IAU	A	Dividend	J	T	Buy	10/22/20	J		
75. IShares Core MSCI ETF Emerging Markets ETF IEMG	A	Dividend	K	T	Buy (add'l)	12/02/20	J		
76. IShares Short Treasury Bond ETF SHV	A	Dividend			Sold	04/15/20	J		
77. IShares Iboxx ET High Yield Corp Bond HYG	A	Dividend	J	T					
78. IShares JP Morgan USD Emerging Markets Bond EMB	A	Dividend	K	T	Buy (add'l)	10/19/20	J		
79. IShares MBS ETF MBB	A	Dividend	J	T	Buy (add'l)	10/19/20	J		
80. IShares MSCI Taiwan ETF EWT	A	Dividend	J	T	Buy	09/22/20	J		
81. IShares Russell Midcap IWR	A	Dividend	K	T	Buy (add'l)	10/19/20	J		
82. Kinder Morgan Inc. KMI	A	Dividend			Sold	04/15/20			
83. JP Morgan Chase & Co JPM	A	Dividend			Sold	07/14/20	J	A	
84. Mastercard Inc. A MA	A	Dividend			Sold	06/03/20	J	A	
85. McDonald's Corp MCD	A	Dividend			Sold	02/19/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Merck & Co. Inc. MRK	A	Distribution			Sold	02/28/20	J		
87. Linde PLC LIN	A	Dividend	J	T	Buy	10/22/20	J		
88. Lowe's Companies Inc. LOW	A	Dividend	J	T	Buy	07/14/20	J		
89. Microsoft Corp MSFT	A	Dividend	J	T	Buy (add'l)	09/28/20	J		
90. Newmont Corp NEW	A	Dividend	J	T	Buy	08/21/20	J		
91. Nextera Energy Inc. NEE	A	Dividend	J	T	Buy (add'l)	10/22/20	J		
92. Invesco TR 11 Pfd (formerly Powershares ET Preferred Portfolio) PGX	A	Dividend	J	T	Buy (add'l)	10/22/20	J		
93. Invesco TR 11 (fka Powershares) S&P ET Smallcap Financials Portf PSCF	A	Dividend			Sold	02/20/20	J	A	
94. Nike Inc. Cl. B NKE	A	Dividend	J	T	Buy	10/22/20	J		
95. Paypal Holdings Inc. PYPL	A	Dividend			Sold	04/21/20	J	A	
96. Progressive Corp. OH PGR	A	Dividend			Sold	09/23/20	J	A	
97. Procter & Gamble Co. PG	A	Distribution	J	T	Buy (add'l)	10/22/20	J		
98. Ross Stores Inc (Calif) ROST	A	Dividend			Sold	07/14/20	J	A	
99. S&P Global Inc. SPGI	A	Dividend	J	T	Buy (add'l)	09/28/20	J		
100. Select Sector Spdr ETF (RE) XLRE	A	Dividend			Sold	08/21/20	J	A	
101. Select Sector Spdr ETF (U) XLU	A	Dividend			Sold	08/21/20	J	A	
102. Select Spdr TR ETF Tech Select Sector XLK	A	Dividend	K	T	Buy	06/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Select Sector Spdr F ETF Materials XLB		None	J	T	Buy	12/02/20	J		
104. Select Sector Spdr F ETF Health Care XLV	A	Dividend	J	T	Buy	08/21/20	J		
105. Select Sector Spdr F ETF Consumer Discretionary XLY	A	Dividend	J	T	Buy	06/03/20	J		
106. Select Sector Spdr F ETF Communications Svcs XLC	A	Dividend	J	T	Buy	02/28/20	K		
107. SPDR S&P 500 Trust ETF SPY	A	Dividend	K	T	Sold (part)	10/22/20	J	B	
108. SPDR Ser Tr BB High Yield ETF JNK	A	Dividend	K	T	Buy (add'l)	10/22/20	J		
109. Starbucks Corp. SBUX	A	Dividend			Sold	08/21/20	J		
110. T-Mobile US Inc TMUS	A	Dividend	J	T	Buy	07/14/20	J		
111. Thermo Fisher Scientific Inc TMO	A	Dividend	J	T	Buy	01/22/20	J		
112. Tractor Supply Co TSCO	A	Dividend	J	T	Buy	08/21/20	J		
113. Vanguard FTSE ET Developed Markets ETF VEA	A	Dividend			Sold	10/14/20	J	B	
114. Vanguard Intl Equity ET Index Fds FTSE Emerging Mkts ETF VWO	A	Dividend	J	T	Buy (add'l)	10/22/20	J		
115. Vanguard Intermediate ETF Term Corp Bond ETF VCIT	A	Dividend	K	T	Buy	08/21/20	K		
116. Vanguard Long Term Bond ETF BLV	A	Dividend	K	T	Buy (add'l)	10/22/20	J		
117. Vanguard Short Term ET Corp BD VCSH	A	Dividend	J	T	Buy (add'l)	10/22/20	J		
118. Vanguard Total Bond ET Market BND	A	Dividend	K	T	Buy (add'l)	10/22/20	J		
119. WalMart Inc. WMT	A	Dividend			Sold	02/28/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Visa Inc Class A V	A	Dividend			Sold	06/03/20	J	A	
121. Waste Mgmt Inc. WM	A	Dividend			Sold	02/28/20	J		
122. Zoetis Inc Class A ZTS	A	Dividend	J	T	Buy	01/22/20	J		
123. Bank of America Corp Bonds	A	Interest	J	T					
124. Goldman Sachs Group Bonds	A	Interest	J	T					
125. AT&T senior unsecured Bonds	A	Interest			Sold	07/23/20	J	A	
126. Verizon Communications senior unsecured Bonds	A	Interest	J	T					
127. Gilead Sciences Inc senior unsecured Bonds	A	Interest	J	T					
128. Apple Inc senior unsecured Bonds	A	Interest	J	T					
129. Home Depot Inc senior unsecured Bonds	A	Interest	J	T					
130. Cisco Systems Inc senior unsecured Bonds	A	Interest	J	T					
131. JP Morgan Chase senior unsecured Bonds	A	Interest	J	T					
132. Oracle Corp senior unsecured Bonds	A	Interest	J	T					
133. U.S. Treasury Bonds	B	Interest	K	T					
134. Trust #1									
135. - Edward Jones money-market fund	A	Interest	J	T					
136. - American Balanced Fund Cl A	C	Distribution	K	T	Distributed (part)	03/26/20	K	D	Beneficiary-sister-in kind

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Capital Income Builder Fund A	C	Dividend	K	T	Distributed (part)	03/26/20	K	D	Beneficiary-sister-in kind
138. - Franklin Balanced Fund Cl A	B	Dividend	J	T	Distributed (part)	03/26/20	K	D	Beneficiary-sister-in kind
139. - Income Fund of America Fund A	D	Dividend	K	T	Distributed (part)	03/26/20	K	E	Beneficiary-sister-in kind
140. - American Funds Global Balanced Fund	B	Dividend	K	T	Distributed (part)	03/26/20	J	C	Beneficiary-sister-in kind
141. - Altria Group Inc.	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Vanguard Aggressive Growth Portfolio, listed on line 5 of Part VII, invests in two stock funds: (1) Vanguard Institutional Total Stock Market Index Fund (60%), and Vanguard Total International Stock Index Fund (40%). This allocation is made by the MOST plan, not by me.

The Vanguard Interest Accumulation Portfolio, listed on line 6 of Part VII, directs all its assets into Vanguard Short Term Reserves Account, which, in turn, invests in (1) Vanguard Federal Money Market Fund, and (2) funding agreements issued by one or more insurance companies, banks, and other issuers. This allocation is made by the MOST plan, not by me, and I do not know any specifics of item (2).

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Benton, William D.

Date of Report

05/10/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William D. Benton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544