

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Briscoe, Mary B.	2. Court or Organization Tenth Circuit Court of Appeals	3. Date of Report 03/19/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 645 Massachusetts Suite 400 Lawrence, KS 66044		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. '84-presnt	Kansas Public Employees Retirement System (KPERs) - Judges' Retirement Program - vested
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	KS Public Employees Retirement System - Judge's Retirement Program	\$37,634.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Western Professional Associates, Inc. - nonemployee compensation
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. American Funds Tax Advantage TAIFX	B	Dividend	L	T					
2. Baird Agg Bond Fund BAGSX	D	Dividend	M	T	Buy (add'l)	02/11/20	K		
3.					Buy (add'l)	03/25/20	K		
4.					Buy (add'l)	05/12/20	J		
5.					Sold (part)	06/02/20	J	A	
6.					Sold (part)	08/06/20	J	A	
7.					Buy (add'l)	10/13/20	J		
8. BlackRock iShares Barclays Aggregate Bond Fund AGG	D	Dividend	N	T	Sold (part)	02/28/20	K	B	
9.					Buy (add'l)	03/16/20	K		
10.					Sold (part)	03/19/20	J		
11.					Buy (add'l)	05/12/20	J		
12.					Sold (part)	06/02/20	K	B	
13.					Sold (part)	07/07/20	J		
14.					Buy (add'l)	07/07/20	J		
15.					Sold (part)	08/06/20	K	B	
16.					Buy (add'l)	10/13/20	J		
17.					Sold (part)	11/19/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. BlackRock iShares Russell 1000 Value ETF IWD	D	Dividend	K	T	Sold (part)	08/06/20	K	C	
19. BlackRock iShares S&P 500 Growth Index IVW	B	Dividend	M	T	Buy (add'l)	03/05/20	K		
20.					Buy (add'l)	03/19/20	J		
21.					Buy (add'l)	03/26/20	J		
22.					Sold (part)	04/14/20	J		
23.					Sold (part)	05/12/20	J	A	
24.					Sold (part)	05/12/20	J	A	
25.					Buy (add'l)	07/07/20	J		
26.					Buy (add'l)	07/07/20	J		
27.					Buy (add'l)	07/07/20	J		
28.					Buy (add'l)	08/06/20	K		
29.					Sold (part)	10/13/20	J	A	
30.					Sold (part)	10/13/20	J	A	
31.					Sold (part)	11/19/20	L	C	
32. BlackRock iShares S&P 500 Index IVV	A	Dividend			Sold	06/12/20	K	D	
33. BlackRock iShares S&P 500 Value IVE	A	Dividend	J	T	Sold (part)	01/09/20	K	D	
34.					Buy (add'l)	02/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold (part)	03/05/20	J		
36.					Buy (add'l)	03/19/20	K		
37.					Buy (add'l)	03/26/20	J		
38.					Sold (part)	03/30/20	M		
39.					Sold (part)	04/14/20	J	A	
40.					Sold (part)	05/12/20	K	B	
41.					Buy (add'l)	06/02/20	J		
42.					Sold (part)	08/06/20	J	A	
43.					Buy (add'l)	10/13/20	J		
44.					Buy (add'l)	11/19/20	J		
45.	BlackRock iShares S&P MidCap 400 Growth IJK	A	Dividend	J	T	Buy (add'l)	02/06/20	J	
46.					Buy (add'l)	03/26/20	J		
47.					Sold (part)	03/30/20	J		
48.					Sold (part)	03/30/20	J		
49.					Sold (part)	05/12/20	J	A	
50.	BlackRock iShares S&P MidCap 400 Value ETF IJJ	A	Dividend	J	T	Sold (part)	01/09/20	J	A
51.					Sold (part)	02/06/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	03/26/20	J		
53.					Sold (part)	03/30/20	K		
54.					Buy (add'l)	11/19/20	J		
55. BlackRock iShares S&P 500 SmallCap 600 Index IJR	B	Dividend	L	T	Buy (add'l)	01/09/20	J		
56.					Sold (part)	02/06/20	K		
57.					Buy (add'l)	03/26/20	J		
58.					Sold (part)	03/30/20	J		
59.					Sold (part)	07/07/20	J	A	
60.					Sold (part)	08/11/20	K		
61.					Buy (add'l)	11/19/20	L		
62. BlackRock iShares TR/CORE MSCI EAFE ETF IEFA		None			Sold (part)	02/06/20	K	B	
63.					Buy (add'l)	03/05/20	K		
64.					Sold (part)	03/30/20	J		
65.					Sold	05/12/20	K		
66. BlackRock iShares Intl Aggregate Bond IAGG	B	Dividend	L	T	Sold (part)	01/09/20	K		
67.					Sold (part)	01/09/20	J		
68.					Buy (add'l)	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	03/19/20	J		
70.					Buy (add'l)	05/12/20	J		
71.					Sold (part)	06/02/20	J	A	
72.					Buy (add'l)	08/06/20	J		
73. BlackRock iShares Trust CMBS ETF	B	Dividend	L	T	Buy (add'l)	07/07/20	K		
74. BlackRock iShares Tips Bond ETF TIP	A	Dividend			Buy	01/09/20	K		
75.					Buy (add'l)	01/27/20	K		
76.					Sold (part)	03/16/20	K		
77.					Sold (part)	03/17/20	K		
78.					Buy (add'l)	08/06/20	L		
79.					Sold	11/19/20	L		
80. BlackRock iShares Gold Trust Shares IAU		None			Buy	01/09/20	J		
81.					Buy (add'l)	01/09/20	K		
82.					Sold (part)	03/26/20	J	A	
83.					Sold (part)	05/12/20	J	A	
84.					Buy (add'l)	06/02/20	J		
85.					Sold (part)	08/06/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	10/13/20	K	C	
87.					Sold	10/13/20	J	C	
88. BlackRock iShares Core MSCI Emerging Markets ETF IEMG	A	Dividend	L	T	Buy (add'l)	02/06/20	J		
89.					Sold (part)	02/24/20	J		
90.					Buy	08/06/20	K		
91.					Buy (add'l)	08/06/20	J		
92.					Buy (add'l)	11/19/20	J		
93.					Buy (add'l)	11/19/20	J		
94. BlackRock MidCap Growth CMGIX		None	K	T	Buy	03/31/20	J		
95. CenterPoint Energy common stock CNP	B	Dividend	K	T					
96. Columbia Capital Allocation Moderate Portfolio ABUAX	C	Dividend	L	T					
97. Columbia Income Builder Fund RBBAX	B	Dividend	K	T					
98. Dodge & Cox Income Fund DODIX	C	Dividend	M	T	Buy	11/06/20	M		
99. DoubleLine Total Return Bond Fund Class I DBLTX	C	Dividend			Sold	11/14/20	M		
100. DoubleLine Total Return Bond Fund Class N DLTNX	D	Dividend	M	T	Sold (part)	02/03/20	K		
101.					Buy (add'l)	05/12/20	K		
102.					Sold (part)	06/02/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	08/06/20	J	A	
104.					Buy (add'l)	10/13/20	J		
105. Eaton Vance Floating Rate Advantage Fund Class A EAFAX	B	Dividend	L	T					
106. Fidelity Govt Money Market Premium Class: cash account FZCXX	A	Dividend			Buy (add'l)	03/23/20	L		
107.					Sold (part)	04/14/20	M		
108.					Sold	06/29/20	M		
109. Fidelity Intermediate Treasury Bond Index Fund FUAMX	A	Dividend			Buy	04/16/20	L		
110.					Buy (add'l)	06/30/20	K		
111.					Sold (part)	12/04/20	L		
112.					Sold	12/08/20	J		
113. Fidelity Investment Grade Bond Fund FBNDX	C	Dividend	M	T	Buy	06/24/20	L		
114.					Buy (add'l)	06/30/20	K		
115.					Buy (add'l)	12/11/20	K		
116. Fidelity Low Priced Stock Fund FLPSX	B	Dividend	K	T	Buy	03/31/20	K		
117.					Sold (part)	07/07/20	J	B	
118. Fidelity Puritan FPURX	C	Dividend	L	T	Buy (add'l)	06/18/20	K		
119. Fidelity Total Bond Fund FTBFX	D	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity US Bond Index Fund FBNDX	B	Dividend	M	T	Buy	04/20/20	L		
121. Fidelity VIP Overseas FEMMC		None	M	T	Buy	03/20/20	K		
122.					Sold (part)	03/26/20	J		
123. Harbor Bond Admin CL HABDX	B	Dividend	L	T					
124. Harbor Small Cap Value HSVRX	A	Dividend	J	T	Buy	03/31/20	J		
125.					Sold (part)	08/06/20	J	B	
126. Harding Loevner Emerging Markets Portfolio Advisor Class HELMX		None	K	T	Sold (part)	05/12/20	J		
127. Harding Loevner Institutional Emerging Mkts HLMEX		None	K	T	Buy (add'l)	10/13/20	J		
128. Invesco Oppenheimer Rochester AMT-Free Muni OPTAX	B	Dividend	L	T	Buy (add'l)	08/14/20	K		
129. Lazard Retirement Emerging Markets Equity FPRLC		None	J	T	Buy	03/26/20	J		
130. Metropolitan West Total Return Bond Fund MWTRX	D	Dividend	M	T	Buy (add'l)	04/15/20	J		
131.					Buy (add'l)	05/12/20	K		
132.					Sold (part)	06/02/20	J	A	
133.					Sold (part)	08/06/20	J	A	
134.					Buy (add'l)	12/22/20	J		
135. Oakmark International Advisor Fund OAYIX	A	Dividend	K	T	Sold (part)	02/28/20	K		
136.					Buy (add'l)	03/31/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	05/12/20	J		
138.					Buy (add'l)	06/02/20	K		
139.					Sold (part)	08/06/20	K	C	
140.					Buy (add'l)	10/13/20	J		
141.					Buy (add'l)	11/19/20	K		
142. Performance Trust Strategic Bond Fund PTIAX	C	Dividend	L	T	Buy (add'l)	08/14/20	K		
143. PIMCO Income Fund Class A PONAX	D	Dividend	M	T	Buy (add'l)	06/15/20	L		
144.					Sold (part)	07/17/20	J		
145. PIMCO Intl Bd (U.S. Dollar-hedged) I-3 PFONX	B	Dividend	L	T	Sold (part)	01/09/20	J		
146.					Sold (part)	01/09/20	J		
147.					Sold (part)	03/19/20	J		
148.					Buy (add'l)	10/13/20	J		
149.					Sold (part)	11/19/20	J		
150. PIMCO Total Return Fund CL I3 PTTNX	B	Dividend	K	T	Buy (add'l)	01/09/20	K		
151.					Buy (add'l)	03/05/20	J		
152.					Buy (add'l)	04/15/20	J		
153.					Buy (add'l)	05/12/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	06/02/20	J	A	
155.					Sold (part)	11/19/20	J	A	
156.					Buy (add'l)	12/22/20	J		
157. PIMCO VIT Total Return		None	K	T	Sold (part)	03/20/20	K		
158. The Hartford Intl Opportunity Fund IHOIX	B	Dividend	K	T	Buy (add'l)	03/31/20	J		
159.					Sold (part)	07/07/20	J	A	
160.					Sold (part)	08/06/20	J	A	
161. Vanguard Life Strategy VASIX	B	Dividend	L	T					
162. Vanguard Short Term VFSTX	B	Dividend	M	T	Buy (add'l)	06/30/20	K		
163.					Buy (add'l)	09/24/20	L		
164.					Buy (add'l)	12/11/20	L		
165. Voya Cr Fund VCFAX	C	Dividend	K	T	Buy (add'l)	02/03/20	K		
166.					Sold (part)	05/19/20	L		
167. Wells Fargo Diversified Income Builder Fund EKSAX	C	Dividend	M	T	Buy (add'l)	01/02/20	J		
168.					Buy (add'l)	01/23/20	K		
169. CD Barclays Bank: cash account		None	K	T	Buy	01/24/20	J		
170. Farmers and Drovers Bank: cash accounts	A	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Fidelity Core Accounts: cash reserve accounts	A	Interest	L	T					
172. Capitol Federal Savings Bank: cash accounts	D	Interest	O	T					
173. Capitol Federal CD: cash account ()	B	Interest			Redeemed	07/13/20	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting	Date of Report
Briscoe, Mary B.	03/19/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Briscoe, Mary B.

Date of Report

03/19/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary B. Briscoe**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544