

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clark, James B.	2. Court or Organization United States District Court - District of New Jersey	3. Date of Report 07/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court Martin Luther King Jr Federal Courthouse 50 Walnut Street Newark, New Jersey 07102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1 (reportable assets are listed in Part VII)
2. Trustee	Trust #2 (reportable assets are listed in Part VII)
3. Executor	Estate #1 (reportable assets are listed in Part VII)
4. Adjunct Professor	Seton Hall Law School
5. Adjunct Professor	Rutgers Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Seton Hall Law School (teaching)	\$2,000.00
2. 2020	Rutgers Law School (teaching)	\$3,600.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Little Lambs Preschool (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Express	Credit Card	J
2. Citibank	Credit Card	J
3. Chase	Credit Card	J
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Bank of America (cash) (X)	A	Interest	L	T					
3. Account #1 (H)									
4. AMCAP Class A Fund (AMCPX)	A	Dividend	J	T					
5. American Mutual Class A Fund (AMRMX)	A	Dividend	J	T					
6. Account #2 (H)									
7. Morgan Stanley Bank NA (cash)	A	Interest	J	T					
8. Applied Materials Inc (AMAT)	A	Dividend			Sold	06/03/20	K		
9. AT&T Inc (T)	B	Dividend	K	T					
10. Bank Of America Corp (BAC)	A	Dividend	K	T					
11. BP PLC ADS (BP)	B	Dividend	J	T					
12. Cisco Sys Inc (CSCO)	A	Dividend	K	T					
13. CVS Health Corp Com (CVS)	A	Dividend	K	T					
14. Enbridge Inc (ENB)	A	Dividend	J	T					
15. Exxon Mobil Corp (XOM)	A	Dividend	J	T					
16. Ford Motor Co New (F)	A	Dividend	J	T					
17. General Motors Co (GM)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Honeywell International Inc (HON)	B	Dividend			Sold (part)	01/06/20	K		
19.					Sold	06/03/20	K		
20. Intel Corp (INTC)	A	Dividend	K	T					
21. Merck & Co Inc New Com (MRK)	A	Dividend	K	T					
22. Morgan Stanley (MS)	A	Dividend	K	T					
23. New York Community Bancorp Inc (NYCB)	A	Dividend	J	T					
24. Philip Morris Intl Inc (PM)	B	Dividend	K	T					
25. Resideo Technologies (REZI)		None	J	T					
26. Royal Dutch Shell PLC Cl B (RDS'B)	A	Dividend			Sold	06/03/20	J		
27. Seagate Technology PLC (STX)	A	Dividend	K	T					
28. Southern Co (SO)		None			Sold	01/06/20	K	B	
29. Citigroup Inc 7.125%-J (CJ)	A	Interest	J	T					
30. Duke Energy Corp (DUK.A)	A	Dividend	J	T					
31. Blackrock Tax Municipal Bd Tr (BBN)	A	Dividend	J	T					
32. Eaton Vance Tax Mgd Div Eq Fd (EXG)	A	Dividend	J	T					
33. First Tr MLP & Enrg Inc Fund (FEI)	A	Dividend	J	T					
34. Gamco Natural Res (GNT)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. MS Emerging Mkts Domestic Debt (EDD)	A	Dividend	J	T					
36. Bank Of America Corp 6.25% Fxd To 09-05-2024 Floats (060505EH3)	A	Dividend	J	T					
37. ConocoPhillips 5.9% 10/15/2032 (20825CAF1)	A	Interest	J	T					
38. GNR 2004-16 AE (38374FGZ1)	A	Interest	J	T					
39. Government National Meeting Asn Pool (36202EK73) (X)	A	Interest	J	T					
40. MSILF Treasury Securities Inst (MSUXX)	A	Dividend			Sold	01/06/20	J		
41. Nuveen NWQ Global Equity Inc A (NQGAX)	A	Dividend	J	T					
42. Account #3 (H)									
43. AMCAP Class A Fund (AMCPX)	A	Dividend	J	T					
44. American Mutual Class A Fund (AMRMX)	A	Dividend	J	T					
45. Account #4 (H)									
46. Advantage Bank Deposit Program (ABDXX) (cash) (Y)									
47. American Balanced Fund (ABALX)	D	Dividend	O	T	Buy (add'l)	01/02/20	M		
48.					Buy (add'l)	01/31/20	M		
49. Putnam Ultra Short Duration Inc Fd Cl A (PSDTX)	A	Dividend	J	T	Buy	02/05/20	J		
50. Account #5 (H)									
51. MSILF Treasury Securities Inst (MSUXX) (cash eq)	A	Dividend			Sold	11/09/20	L		

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(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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52. Account #6 (H)									
53. Advantage Bank Deposit Program (cash) (X)	A	Interest			Closed	03/02/20	J		
54. Credit Suisse Float Rate High Inc. C (CHICX)	A	Dividend			Sold	02/27/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Parenthetical numbers in Part VII are CUSIP numbers.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James B. Clark**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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