

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Clevenger, Raymond C.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 05/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	self-employed photographer
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America (C.S.)	G	Dividend	P2	T					
2. Morgan Stanley Managed Municipal Fund	F	Interest	P1	T					
3. PNC Bank Now Account	A	Interest	L	T					
4. Seminole Transporation Co. Mineral Contract	C	Royalty	J	W					
5. Coop Refining LLP Mineral Contract	A	Royalty	J	W					
6. Total Petroleum Co. Mineral Contract	B	Royalty	J	W					
7. Central Crude Co. Mineral Contract	B	Royalty	J	W					
8. Delaware Group Tax-Free Fund	F	Interest	O	T					
9. Morgan Stanley Daily Dividend Fund	E	Interest	N	T					
10. J.P. Morgan Chase & Co. (C.S.)	C	Dividend	M	T	Buy (add'l)	03/03/20	K		
11. Chevron Corp. (C.S.)	A	Dividend	M	T	Buy (add'l)	05/05/20	J		
12. Bahl & Gaynor Income Growth Fund	D	Dividend	O	T					
13. Nextera Energy Inc. (C.S.)	B	Dividend			Sold	11/12/20	M	D	
14. Microsoft Corp. (C.S.)	A	Dividend			Sold	05/12/20	M	D	
15. International Business Machines (C.S.)	B	Dividend	M	T					
16. Pfizer Inc. (C.S.)	B	Dividend	M	T					
17. Sempra Energy Co. (C.S.)	B	Dividend			Sold	03/19/20	K	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. Wells Fargo Co. (C.S.)	A	Dividend			Sold	05/12/20	L	C	
19. Verizon Communications, Inc. (C.S.)	A	Dividend			Sold	09/04/20	L	E	
20. Wal Mart Stores, Inc. (C.S.)	B	Dividend			Sold	06/03/20	L	E	
21. Lockheed Martin Corp. (C.S.)	B	Dividend	L	T					
22. Cisco Systems Inc. (C.S.)	B	Dividend	L	T					
23. McDonalds Corp. (C.S.)	B	Dividend	L	T					
24. Bank of New York Mellon Corp. (C.S.)	B	Dividend	M	T					
25. United Technologies Corp. (C.S.)	A	Dividend			Merged (with line 26)	04/08/20	L		
26. Raytheon Technologies Corp. (C.S.)	A	Dividend	L	T					
27. ConocoPhillips (C.S.)	A	Dividend			Sold	12/10/20	L	A	
28. A.T. & T. Inc. (C.S.)	A	Dividend	L	T					
29. Black Rock Inc. (C.S.)	A	Dividend			Sold	11/12/20	L	E	
30. Philip Morris Intl Inc. (C.S.)	A	Dividend	L	T					
31. Comcast Corp. (C.S.)	A	Dividend	L	T	Buy (add'l)	01/29/20	K		
32. Digital Realty Trust Inc. (C.S.)	A	Dividend			Sold	02/10/20	L		
33. Dow Inc. (C.S.)	A	Dividend			Sold	02/17/20	L	D	
34. Coca-Cola Co. (C.S.)	A	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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35. Emerson Electric Co. (C.S.)	A	Dividend	L	T	Buy (add'l)	03/03/20	K		
36. United Health Group Inc. (C.S.)	A	Dividend			Sold	11/13/20	L		
37. Archer Daniels Midland Co. (C.S.)	A	Dividend			Sold	10/14/20	L	D	
38. Crown Castle Int'l Corp. (C.S.)	A	Dividend	J	T					
39. Home Depot Inc. (C.S.)	A	Dividend	L	T	Buy	03/16/20	L		
40. Bristol Myers Squibb Co. (C.S.)	B	Dividend	M	T	Buy	05/06/20	M		
41. Modelez International Inc. (C.S.)	A	Dividend	L	T	Buy	06/01/20	L		
42. CVS Health Corp. (C.S.)	A	Dividend	L	T	Buy	05/11/20	L		
43. Automatic Data Processing Inc. (C.S.)	A	Dividend	L	T	Buy	06/01/20	L		
44. Amgen Inc. (C.S.)	A	Dividend	L	T	Buy	06/01/20	L		
45. Medtronic PLC (C.S.)	A	Dividend	L	T	Buy	06/01/20	L		
46. Honeywell International Inc. (C.S.)	A	Dividend	L	T	Buy	07/01/20	L		
47. PNC Financial Services Group (C.S.)	A	Dividend	M	T	Buy	07/20/20	L		
48. Abbvie Inc. (C.S.)	A	Dividend	L	T	Buy	12/10/20	L		
49. Metlife Incorporated (C.S.)	A	Dividend	L	T	Buy	11/12/20	L		
50. Exelon Corp. (C.S.)	A	Dividend	M	T	Buy	09/03/20	M		
51. Realty Income Corp. (C.S.)	A	Dividend	L	T	Buy	11/12/20	L		

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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
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52. Invesco S&P Pure Value ETF (C.S.)	A	Dividend	L	T	Buy	11/12/20	L		
53. Viatris Inc. (C.S.)	A	Dividend	J	T	Buy	12/14/20	J		
54.									
55. Odd Lot Fund	A	Dividend	J	T					
56. Costco Companies, Inc. (C.S.)	A	Dividend	J	T					
57. Berkshire Hathaway Co. (C.S.)	A	Dividend	J	T					
58. General Electric Co.(C.S.)	A	Dividend	J	T					
59. Level 3 Communications Inc. (C.S.)	A	Dividend	J	T					
60. Echelon Corp.(C.S.)	A	Dividend	J	T					
61. TE Connectivity (C.S.)	A	Dividend	J	T					
62. Walt Disney Co. (C.S.)	A	Dividend	J	T					
63. Europacific Growth Fund	A	Dividend	J	T					
64. Global High Income Dollar Fund	A	Dividend	J	T					
65. Blackrock Trust Co. (C.S.)	A	Dividend	J	T					
66. Canadian Oil Sands Trust Co. (C.S.)	A	Dividend	J	T					
67. Chevron-Texaco Co. (C.S.)	A	Dividend	J	T					
68. Cisco Corp. (C.S.)	A	Dividend	J	T					

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69. Dominion Reserve Inc. (C.S.)	A	Dividend	J	T					
70. Exxon Mobil Corp. (C.S.)	A	Dividend	J	T					
71. J.P. Morgan Chase & Co. (C.S.)	A	Dividend	J	T					
72. Kayne Anderson Midstream Investment Co. (C.S.)	A	Dividend	J	T					
73. Lowe's Companies, Inc. (C.S.)	A	Dividend	J	T					
74. PHLO Corp. (C.S.)	A	Dividend	J	T					
75. Oppenheimer International Small Co. Fund	A	Dividend	J	T					
76. SnowCapital Managment Fund	A	Dividend	J	T					
77. Ishares DJ Select Dividend Fund	A	Dividend	J	T					
78. News Corp. (C.S.)	A	Dividend	J	T					
79. Lazard Emerging Markets RBL Shares	A	Dividend	J	T					
80. Powershares Water Reserve Portfolio Shares	A	Dividend	K	T					
81. Apple, Inc. (C.S.)	A	Dividend	J	T					
82. Alphabet Inc. (C.S.)		None	K	T					
83. District of Columbia Series E BHAC Bond	C	Interest	M	T					
84. Beloit Wisc Sch District Bond	B	Interest	K	T					
85. New York City TFA Rev Bond	B	Interest	K	T					

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86. Columbia MO Spl Oblig Bond	B	Interest			Redeemed	09/04/20	K	A	
87. Rockwall County TX Series A Bond	B	Interest	K	T					
88. South Western City SD HO Bond	B	Interest	K	T					
89. Mercanolibre Inc. (C.S)	A	Dividend	J	T					
90. AT&T Corp. (C.S.)	A	Dividend	J	T					
91. Clough Global Equity Fund (C.S.)	B	Dividend	K	T					
92. Clough Global Opportunity Fund (C.S.)	B	Dividend	J	T					
93. Proctor & Gamble Co. (C.S.)	A	Dividend	J	T					
94. IsharesFTSE Xinhua China Fund (C.S.)	A	Dividend	J	T					
95. Ishares MSCI Brazil Index Fund (C.S.)	A	Dividend	J	T					
96. Fairpoint Communications Inc. (C.S.)	A	Dividend	J	T					
97. American Capital World Fund (C.S.)	B	Dividend	L	T					
98. Heitmann REIT Fund (C.S.)	B	Dividend	L	T					
99. PIMCO Total Return Fund (C.S.)	B	Dividend	M	T					
100. Amazon.Com. Inc. (C.S.)	A	Dividend	J	T					
101. Harbor International Fund (C.S.)	A	Dividend	J	T					
102. Hartford Growth Opportunity Fund (C.S.)	A	Dividend	J	T					

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103. Mainstay Large Capital Growth Fund (C.S.)	A	Dividend	J	T					
104. Nuveen Build America Fund (C.S.)	A	Dividend	J	T					
105. Oppenheimer Gold & Special Minerals Fund (C.S.)	A	Dividend	J	T					
106. Vale, S.A. (C.S.)	A	Dividend	J	T					
107. Unilever PLC (C.S.)	A	Dividend	J	T					
108. T.Rowe Price New Horizons Fund (C.S.)	A	Dividend	J	T					
109. Consumer Discretionary SPDR Fund	A	Dividend	J	T					
110. Emerging Global Shares Dow Jones Fund	A	Dividend	J	T					
111. First TR Exchange Traded FD Dow Jones Ind. Fund	A	Dividend	J	T					
112. Health Care Select SPDR Fund	A	Dividend	J	T					
113. Ishares IBOXX INVT Grade Corp. Bond	A	Dividend			Sold	01/15/20	J	A	
114. Ishares Inc Core MSCI Emerging Markets ETF Fund	A	Dividend	J	T					
115. Ishares MBS ETF Fund	A	Dividend			Sold	01/15/20	J	A	
116. Ishares NASDAQ Biotech ETF Fund	A	Dividend	J	T					
117. Ishares Tips Bond ETF Fund	A	Dividend			Sold	01/15/20	J	A	
118. Ishares 3-7 Year Treasury Bond ETF Fund	A	Dividend	J	T					
119. Market Vectors Emerg. Markets Local Currency Debt Fund	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Powershares Global Exchange Traded FD TR Fund	A	Dividend	J	T					
121. Sector SPDR Financial Fund	A	Dividend	J	T					
122. SPDR Barclays High YieldBond ETF Fund	A	Dividend	J	T					
123. Vanguard Consumer Staples ETF Fund	A	Dividend	J	T					
124. Vanguard Industrial ETF Fund	A	Dividend	J	T					
125. Vanguard Information Tech ETF Fund	A	Dividend	J	T					
126. Vanguard Intermediate Term Bond ETF Fund	A	Dividend			Sold	01/15/20	J	A	
127. Vanguard Materials ETF Fund	A	Dividend	J	T					
128. Vanguard Natural Resources LLC Fund	A	Dividend	J	T					
129. Vanguard Short Term Bond Fund	A	Dividend	J	T					
130. Vanguard Telecomm Services ETF Fund	A	Dividend	J	T					
131. Wisdomtree Europe Hedged Equity Fund	A	Dividend	J	T					
132. Global Self Storage Inc. (C.S.)	A	Dividend	J	T					
133. Ishares MSCI Japan Fund	A	Dividend	J	T					
134. Real Estate Select Sector SPDR Fund	A	Dividend	J	T					
135. Salesforce.com.Inc. (C.S.)	A	Dividend	J	T					
136. Sector SPDR Energy Fund	A	Dividend	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Communications Services Select Sector Fund	A	Dividend	J	T					
138. Ishares TR Core MSCI EAF ETF Fund	A	Dividend	K	T					
139. Powershares EM Sovereign Bond USD ETF Fund	A	Dividend	J	T					
140. Sector SPDR Utilities Fund	A	Dividend	J	T					
141. SPDR S&P Insurance ETF Fund	A	Dividend	J	T					
142. Vanguard Small Cap Fund	A	Dividend	J	T	Buy	01/15/20	J		
143. Blackrock Liquidity Fund T Fund	A	Dividend	J	T	Buy	01/15/20	J		
144. Ishares Biotechnology Index Fund	A	Dividend	K	T	Buy	08/04/20	K		
145. SPDR Bloomberg Barclays 1-3 Month T Bill Fund	A	Dividend	J	T	Buy	08/12/20	J		
146. Schawab U.S. Dividend Equity Fund	A	Dividend	K	T	Buy	12/04/20	K		
147. Ishares TRCore Dividend Growth Fund	A	Dividend	K	T	Buy	12/17/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond C. Clevenger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544