

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, William E.	2. Court or Organization U.S. Court of Appeals, 5th Cir	3. Date of Report 12/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☒ Amended Report	
7. Chambers or Office Address 600 Camp Street Room 210 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------|--|
| 1. Partner | Partnership composed of Michael R. Morton and me on property listed under Item VII. Partnership exists solely to own and rent real estate. |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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Name of Person Reporting

Davis, William E.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. Whitney National Bank, successor to First National Bank, Lafayette, LA 70509	An in rem mortgage (no personal liability) is in effect on office building and warehouse	M
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Checking acct. Whitney Bank Lafayette, LA		None	J	T					
2. 1/2 Interest-New Iberia,LA,office building & warehouse	A	Rent	M	W					
3. Vanguard Short Term Tax Exe (1/2 interest)	A	Interest	K	T	Sold (part)	06/26/20	K		
4. Short Term Tax Exempt Inv (VWSTX)	A	Dividend			Buy (add'l)	06/26/20	K		
5.	A	Distribution			Merged (with line 6)	06/26/20	K		
6. Short-term Tax Exempt Admin (VWSUX)	A	Dividend	L	T					
7. Regions Bank CD	A	Dividend	J	T					
8. Edge Petroleum	A	Royalty	J	T					
9. Charles Schwab Acct 1 (H)									
10. Schwab Sweep Money Fund	B	Distribution	J	T					
11. Virtus Foreign Opp (JVXIX)	A	Dividend	K	T					
12. Vanguard Intl Explorer Fd (VINEX)	A	Dividend	K	T					
13. Fidelity Latin America FD (FLATX)	A	Dividend	J	T					
14. Matthews Asia Small (MISMX)	A	Dividend	J	T					
15. Matthews Pacific Tiger INSTL (MIPTX)	A	Dividend	K	T					
16. T Rowe Price New Asia Fd (PRASX)	A	Dividend	K	T					
17. Oakmark International I (OAKIX)	A	Dividend			Merged (with line 18)	06/26/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Oakmark International Fund Institutional Class (OANIX)	A	Dividend	K	T					
19. Charles Schwab Acct 2 (H)									
20. Schwab Sweep Money Fund	A	Dividend	K	T					
21. Schwab Value Advantage Fund (SWVXX)	A	Dividend	J	T					
22. Vanguard Div Growth Fd (VDIGX)	C	Dividend	M	T					
23.	A	Distribution							
24. Vanguard Total Stk Mkt (VTSAX)	C	Dividend	M	T					
25. Vanguard Target Ret (VTINX)	B	Dividend	L	T					
26. Charles Schwab Acct 3 (H)									
27. Jensen Portolio (JENSX)	A	Dividend	K	T					
28. IRA Contributory		None	J	T					
29. Charles Schwab Acct 4 (H)									
30. Schwab Sweep Money Fund	A	Dividend	K	T					
31. Schwab Value Advantage Fund (SWVXX)	A	Dividend	K	T					
32. Ishares Russell (IWD)	A	Dividend	K	T					
33. Principal Midcap Inst (PCBIX)	A	Dividend	M	T					
34. Spdr S&P 500 Etf (SPY)	B	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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35.					Sold (part)	06/30/20	K	D	
36. Vanguard Small-Cap Value Index Fund (VBR)	B	Dividend			Sold	06/30/20	K	D	
37. IShares MSCI EAFE Value (EFV)	A	Dividend			Sold	06/30/20	L	A	
38. Vanguard Dividend Appreciation Eft (VIG)	B	Dividend	M	T	Sold (part)	06/30/20	K	D	
39. Schwab Emerging Market Equity ETF (SCHE)	A	Dividend	K	T					
40. Energy Select Sector SPDR Fund (XLE)	A	Dividend			Sold	06/30/20	J	A	
41. Cliffwater Corporate Lend (CCLFX)	C	Dividend	L	T					
42. PIMCO Flexible Credit Income (PFLEX)	C	Dividend	L	T					
43. Vanguard S&P Small Cap 600 Value ETF (VIOV)	A	Dividend	L	T	Buy	06/30/20	L		
44. Vanguard FTSE Developed Markets EFT (VEA)	A	Dividend	L	T	Buy	06/30/20	L		
45. FPA New Income (FPNIX)	A	Dividend	M	T	Buy	06/30/20	L		
46. Vanguard Energy Index Fund (VDE)	A	Dividend	J	T	Buy	06/30/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line28: It is noted that during the calendar year 2020, there were no IRA Contributions made.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ William E. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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