

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DiClerico, Joseph A.	2. Court or Organization United States District Court District of New Hampshire	3. Date of Report 04/08/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 55 Pleasant Street, Room 400 Concord, NH 03301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust I and Trust IV
2. Trustee	Mayhew Program
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☐ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1. Dodds Family	Use of boathouse to dock boat (See Part VIII)	\$2,500.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. -Sh. Com. Coca-Cola Co.	A	Dividend	J	T					
3. -Commonwealth Fin. Network-Bank Dep. Sweep Program	A	Interest	J	T					
4. Wabtec Corp.	A	Dividend	J	T					
5.									
6. IRA Brokerage Acct #2 (H)									
7. -Commonwealth Fin. Network-Advisory Retirement SweepPrgm	A	Interest	K	T					
8. -Fidelity Money Market Premium Cl.	A	Dividend			Closed	08/03/20	K		
9. -FPA New Income (FPNIX)	A	Dividend	J	T					
10. -IVA Worldwid Fnd Cl 1 (IVWIX)	A	Dividend			Sold	03/17/20	J		
11. -PIMCO Inc. Fnd. Inst. Fnd (PIMIX)	A	Dividend	J	T					
12. -Primecap Odyssey Growth Fnd (POGRX)	A	Dividend			Sold	03/17/20	J		
13. -American Europacific Growth Fnd CL F2 (AEPFX)	A	Dividend			Sold	03/18/20	J		
14. -Ishares Core S&P Small Cap ETF (IJR)	A	Dividend			Sold	03/18/20	J		
15. American New Perspective CLF 2 (ANWFX)	A	Dividend			Sold	03/18/20	J		
16. - Invesco Oppenheimer Dev. Mkts CL Y (ODVYX)	A	Dividend			Sold	03/18/20	J		
17. -Vanguard BD Index FD Inc Total Bd Mkt ETF (BND)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -Vanguard Index S&P 500 ETF (VOO)	A	Dividend			Sold	03/18/20	J		
19. -Vanguard Group Div App ETF (VIG)	A	Dividend			Sold	03/18/20	J		
20.									
21. IRA Brokerage Acct. #3 (H)									
22. -Fidelity Money Market Prem. CL	A	Dividend			Sold	08/03/20	L		
23. -Commonwealth Fin. Network-Advisory Retirement SweepPrgm	A	Dividend	L	T					
24. -PIMCO Income Fnd Instit Fd (PIMIX)	A	Dividend	J	T					
25. -IVA Worldwide Fnd CL1 (IVWIX)	A	Dividend			Sold	03/17/20	J		
26. -Primecap Odyssey Growth Fd (POGRX)	A	Dividend			Sold	03/17/20	J		
27. -American Europacific Growth Fnd CL F2 (AEPFX)	A	Dividend			Sold	03/18/20	J		
28. -Ishares Core S&P Small Cap ETF (IJR)	A	Dividend			Sold	03/18/20	J		
29. -American New Perspective CL F2 (ANWFX)	A	Dividend			Sold	03/18/20	J		
30. -Invesco Oppenheimer Dev. Mkts CL Y (ODVYX)	A	Dividend			Sold	03/18/20	J		
31. -Vanguard Bd. Index Fd Total Bd Market ETF (BND)	A	Dividend	J	T					
32. -Vanguard Index S&P 500 ETF (VOO)	A	Dividend			Sold	03/18/20	J		
33. -PIMCO All Asset FND (PAAIX)	A	Dividend			Sold	03/17/20	J		
34. -Vanguard Fixed Inc. Secs Fd Inter-Term Inv. Grade Fd-Admiral (VFDIX)	A	Dividend	J	T					

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35. -Vanguard Group Div App ETF (VIG)	A	Dividend			Sold	03/18/20	J		
36.									
37. Trust I (H)									
38. -Fidelity Money Market Prem. CL	A	Dividend			Sold	08/03/20	N		
39. -Commonwealth Fin. Network-Bank Deposit Sweep Program	A	Interest	N	T					
40. -Manchester NH Redev. Auth - Zero Cop. Bnd 1/1/20		None			Redeemed	01/02/20	K	B	
41. -Vanguard Index FDS Vanguard Total Stk Mkt ETF (VTI)		None			Sold	03/18/20	M		
42. -Vanguard Limited Term Tax Exempt Admiral Shs (VMLUX)	B	Dividend	M	T	Buy (add'l)	01/10/20	K		
43. -Vanguard Group Div App ETF (VIG)		None			Sold	03/18/20	K		
44. -FPA New Income Inc. (FPNIX)	B	Dividend	L	T					
45. -IVA Worldwide Fund CL I (IVWIX)		None			Sold	03/17/20	L		
46. -Invesco Oppenheimer Dev. Mkts. CL Y (ODVYX)		None			Sold	03/18/20	K	B	
47. -FPA FDSTR Crescent Portfolio (FPACX)		None			Sold	03/17/20	M		
48. -Vanguard Mun BD FD Inter Term Tax Exempt FDA Admiral Shs. (VWIUX)	C	Dividend	M	T	Buy (add'l)	01/10/20	K		
49.									
50. Trust III (H)									
51. -Commonwealth Fin. Network Bank Deposit Sweep Program	A	Interest	O	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. -Fidelity Money Market Premium CL	A	Dividend			Closed	08/03/20	O		
53. -Sh. Com. Community Bancorp	C	Dividend	L	T					
54. -Sh. Com. Bar Harbor Bankshares	A	Dividend	K	T					
55. -American Funds Capital World Growth & Inc. Fnd (WGIFX)	A	Dividend			Sold	03/18/20	K	B	
56. -American Funds Int'l Growth & Income FD (IGFFX)		None			Sold	03/18/20	M		
57. -American Funds Fundamental Invs. Inc. Class F-2 (FINFX)		None			Sold	03/18/20	L	D	
58. -IVA Worldwide Fund (IVWIX)		None			Sold	03/17/20	M		
59. -American Funds Inc. New World (NFFX)		None			Sold	03/18/20	L	A	
60. -Pimco All Asset Inst. CL (PAAIX)	A	Dividend			Sold	03/17/20	M		
61. -American Lmt Term Tax Exempt Bond F2 (LTEFX)	A	Dividend	L	T					
62. -FPA FDS TR FPA Crescent Portfolio Instl. (FRACX)		None			Sold	03/17/20	M		
63. -Vanguard Specialized Portfolios Dividend Growth FD (VDIGX)	D	Dividend	M	T					
64. -American Tax Exempt Bnd. of Am. F2 (TEAFX)	A	Dividend	K	T					
65. -Vanguard Mun. Bd Fd Inc Tax Exempt Bd (VTEB)	C	Dividend	M	T					
66. -Vanguard Index S&P 500 ETF (VOO)	A	Dividend			Sold	03/18/20	L		
67.									
68. TRUST IV (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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69. -Fidelity Money Market Premium CL	A	Dividend			Closed	08/03/20	O		
70. -Commonwealth Fin. Network-Bank Deposit Sweep Program	A	Interest	O	T					
71. -Sh. Com. Community Bancorp	C	Dividend	L	T					
72. -Bar Harbor Bankshares	B	Dividend	K	T					
73. -American Mutual FD Inc. CL A (AMRMX)	B	Dividend	M	T					
74. -American Funds International Growth and Income F2 (IGFFX)					Sold	03/18/20	M		
75. -American Fund Fundamental Investors Inc. CL A (ANCFX)	C	Dividend	M	T					
76. -American Fund New World Fund CL A (NEWFX)					Sold	06/22/20	M	E	
77. -Dodge and Cox FDS Int'l Stk FD (DODFX)					Sold	03/17/20	M		
78. -IVA Worldwide Fund (IVWIX)					Sold	03/17/20	M		
79. -American Bond Fund of America-CLA (ABNDX)	C	Dividend	M	T	Buy	06/22/20	M		
80. -PIMCO ALL Asset Inst. CL (PAAIX)	A	Dividend			Sold	03/17/20	L		
81.									
82. -Invesco Oppenheimer Dev. Mkts CL Y- Name change-see Sec VIII (ODVYX)					Sold	03/17/20	M		
83. -FPA New Income (FPNIX)	C	Dividend	M	T					
84. -FPA FDS TR FPA Crescent Portfolio (FPACX)					Sold	03/17/20	M		
85. -Fidelity Advisor Total Bnd CL2 (FBKWX)	D	Dividend	M	T	Buy (add'l)	06/22/20	L		

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86. -Vanguard Index Fnds Vanguard Total Stk Mkt ETF (VTI)	B	Dividend	M	T					
87. -Primecap Odyssey Stock Fund (POSKX)					Sold	06/22/20	N	F	
88. -Vanguard Group Div. App ETF (VIG)	A	Dividend			Sold	06/23/20	L	A	
89. -Fidelity US Bond Index Fund (FXNAX)	D	Dividend	N	T	Buy	06/22/20	N		
90.									
91. TRUST V(a) (H)									
92. -Commonwealth Fin. Network-Bank Deposit Sweep Program	A	Interest	M	T					
93. -Fidelity Money Market Premium CL	A	Dividend			Closed	08/03/20	L		
94. -Edgewood Growth Fnd Inst. CL (EGFIX)	D	Dividend	M	T					
95. -Dodge & Cox Global Stock Fund (DODWX)	A	Dividend	L	T					
96. -Vanguard Index FDS Vanguard Small Caps Vipers (VB)	B	Dividend	M	T					
97. -Sh. Com. Exxon Mobil	C	Dividend	K	T					
98. -Sh. Com. General Electric	A	Dividend	J	T					
99. -IVA Worldwide Fund CL1 (IVWIX)		None			Sold	04/03/20	L		
100. -Fidelity Adv. Interm. Mun. Inc. 2 (FIQZX)	C	Dividend	M	T					
101. -Fuller & Thayer Behv Small Cap (FTHSX)	A	Dividend	L	T					
102. -FPA Crescent (FPACX)		None			Sold	04/03/20	M		

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Vanguard Mun BD FD Inter Term Tax Ex. FD (Admiral) (VWIUX)	C	Dividend	M	T					
104. -T. Rowe Price Inst. Large Cap (TPLGX)	B	Dividend	M	T					
105. -Fidelity Short Term Treasury Bnd. Index (FUMBX)	A	Dividend	L	T	Buy	04/03/20	L		
106. -T Rowe Price Blue Chip Growth (TRBCX)		None			Buy	04/03/20	K		See Part VIII
107. -(Conversion to line 108)						06/25/20	L		See Part VIII
108. -T Rowe Price Blue Chip Growth Cl 1 (TBCIX)	A	Dividend	L	T		06/25/20	L		See Part VIII
109. -American Mutual Fund CL F2 (AMRFX) (Transferred to Trust VI(a).)		None			Buy	04/06/20	L		
110.						06/11/20	L		See Part VIII
111.									
112. TRUST VI (a) (H)									
113. -Commonwealth Fin. Network Bank Deposit Sweep Program	A	Interest	J	T					
114. -American Balanced Fund (CLA (ABALX)	D	Dividend	M	T					
115. -American Funds - American Mutual Fund CLA (AMRMX)	D	Dividend	N	T					
116. -American Funds: International Growth and Income Fund (IGAAX)	C	Dividend	N	T					
117. -American Funds: Fundamental Investors CLA (ANCFX)	D	Dividend	N	T					
118. -American Funds: New World CLA (NEWFX)	A	Dividend	M	T	Sold (part)	06/12/20	M	E	
119. -American Amcap CLA (AMCPX)	B	Dividend			Sold	06/23/20	L	C	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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DiClerico, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -American Mutual Fund CL 2 (AMRFX) (Transferred from Trust V(a))	B	Dividend	L	T		06/11/20	L		See Part VIII
121. -American Short Term Bnd CL A (ASBAX)	A	Dividend	L	T	Buy	06/23/20	L		
122. -American Bond Fnd of America CL A (ABNDX)	D	Dividend	M	T	Buy	06/12/20	M		
123.									
124. TRUST VII (H)									
125. -Commonwealth Fin. Network-Bank Deposit Sweep Program	A	Interest	J	T					
126. -American Funds: International Growth and Income Fund CLA (IGAAX)	B	Dividend	L	T					
127. -American Funds: New World Fund CLA Funds (NEWFX)	A	Dividend	K	T	Sold (part)	06/12/20	K	D	
128. -American Mutual Fund CL F2 (Transferred from Trust VIII) (AMRFX)	B	Dividend	L	T		06/11/20	L		See Part VIII
129. -American Bond Fund of America CL A (ABNDX)	B	Dividend	K	T	Buy	06/12/20	K		
130.									
131. TRUST VIII (H)									
132. -Fidelity Money Market Premium CL	A	Dividend			Closed	08/03/20	M		
133. -Commonwealth Fin. Network-Bank Deposit Sweep Program	A	Interest	M	T					
134. -Dodge & Cox Stk. FD (DODGX)	D	Dividend	L	T					
135. -IVA Worldwide FD CL1 (IVWIX)		None			Sold	03/17/20	L		
136. -Pimco All Asset FD (PAAIX)	A	Dividend			Sold	03/17/20	N		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

DiClerico, Joseph A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -FPA Crescent (FPACX)		None			Sold	04/03/20	L		
138. -Vanguard Mun BD Fd Inter Term Tax Exempt FD Admiral Shs. (VWIUX)	B	Dividend	L	T					
139. -Vanguard Mun BD FD Limited Term Tax Exempt FD Admiral Shs. (VMLUX)	A	Dividend	K	T					
140. -Vanguard Dividend Growth Investor CL (VDIGX)	A	Dividend	K	T					
141. -Edgewood Growth Fnd. Inst. CL (EGFIX)	B	Dividend	K	T	Sold (part)	06/23/20	K	D	
142. -Fidelity Short Term Treasury Bond (FUMBX)	A	Dividend	K	T	Buy	04/03/20	K		
143. -American Mutual Fund CLF2 (AMRFX) (Transferred to Trust VII.)		None			Buy	04/06/20	L		
144.						06/11/20	L		See Part VIII
145. -Vanguard Index Fnds Vanguard Small Cap Vipers (VB)	A	Dividend	L	T	Buy	06/24/20	K		
146.									
147. Miscellaneous (H)									
148. -Ledyard Bank Accounts	A	Interest	N	T					
149. -Nassau Life Ins. Co.-Whole Life Insurance	A	Dividend	K	T					
150. -Williams College Charitable Gift Annuity		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part V Gifts

Page 3, line 1 - Estimated value.

Part VII Investments and Trusts

Lines 106, 107 and 108. This asset was transferred within the same fund to a different class of shares. This was a "conversion" and not a sale.

Lines 110 and 120. This asset was transferred from Trust V(a) to Trust VI (a) on June 11, 2020. No sale was involved.

Lines 128 and 143. This asset was transferred from Trust VIII to Trust VII on June 11, 2020. No sale was involved.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Joseph A. DiClerico**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544