

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                        |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Gibson, Kim R.                                                                                                                       | <b>2. Court or Organization</b><br><br>U.S. District Court, WDPA                                                                                                                                                                       | <b>3. Date of Report</b><br><br>09/13/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Judge (senior)                                                  | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
|                                                                                                                                                                                                           | <b>5b.</b> <input checked="" type="checkbox"/> Amended Report                                                                                                                                                                          |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>104 Penn Traffic Building<br>319 Washington Street<br>Johnstown , PA 15901                                                                                    |                                                                                                                                                                                                                                        |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                        |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|           |                                                        |
|-----------|--------------------------------------------------------|
| 1. Member | Board of Counselors , Penn State University Law School |
| 2.        |                                                        |
| 3.        |                                                        |
| 4.        |                                                        |
| 5.        |                                                        |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|         |                                                                                                                                                  |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. 1978 | Somerset County Employees Retirement Plan - pension received each month beginning in 2001.                                                       |
| 2. 1998 | Pennsylvania State Employees Retirement Plan - pension received each month beginning in 2003; also medical insurance is provided under the plan. |
| 3.      |                                                                                                                                                  |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Gibson, Kim R.

Date of Report

09/13/2021

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                       | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|--------------------------------------------------------------|----------------------------------------|
| 1. 2020     | Somerset County Employees Retirement Plan (county pension)   | \$11,101.00                            |
| 2. 2020     | Pennsylvania State Employees Retirement Plan (state pension) | \$17,170.00                            |
| 3. 2020     | Penn State Law School (teaching salary)                      | \$24,000.00                            |
| 4.          |                                                              |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>               | <u>DATES</u>   | <u>LOCATION</u> | <u>PURPOSE</u>                        | <u>ITEMS PAID OR PROVIDED</u> |
|-----------------------------|----------------|-----------------|---------------------------------------|-------------------------------|
| 1. Law and Economics Center | 08/08 -16/2020 | Farmington , PA | Legal and Economics Education Seminar | Meals , Lodging               |
| 2.                          |                |                 |                                       |                               |
| 3.                          |                |                 |                                       |                               |
| 4.                          |                |                 |                                       |                               |
| 5.                          |                |                 |                                       |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Gibson, Kim R.           | 09/13/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 2.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         | See note in part VIII                                      |
| 3. Nationwide Life Insurance/Universal Life                                                                                | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 4. AAFMAA Life Insurance/Whole Life                                                                                        | A                                      | Int./Div.                              | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 5. Prudential Life Insurance/Whole Life                                                                                    | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 6.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         | See note in part VIII                                      |
| 7.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 8.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 9.                                                                                                                         |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 10.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 11.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 12. Somerset Trust Company Cash Equivalent<br>Accounts                                                                     | A                                      | Interest                               | K                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 13. USAA Money Market/Savings Accounts                                                                                     | A                                      | Int./Div.                              | J                                              | T                                  |                                           |                  |                          |                         |                                                            |
| 14.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 15.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 16.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 17.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Janney Montgomery Scott , LLC mutual fund Acct (X) see 19-28                                                           | B                                      | Dividend                               | L                                              | T                                  | Buy                                       | 12/14/20         | L                        |                         |                                                            |
| 19. Janney Advantage Insured Sweep                                                                                         | A                                      | Interest                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 20. Vanguard S&P 500 Index ETF                                                                                             | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 21. Vanguard Small Cap ETF                                                                                                 | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 22. Vanguard Growth ETF                                                                                                    | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/13/20         | J                        |                         |                                                            |
| 23. Vanguard Mid Cap ETF                                                                                                   | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 24. Vanguard FTSE Emerging Markets ETF                                                                                     | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 25. Vanguard High Dividend Yeld ETF                                                                                        | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 26. Vanguard Dividend Appreciation ETF                                                                                     | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 27. Vanguard Intl Dividend Appreciation ETF                                                                                | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 28. Invesco QQQ ETF                                                                                                        | A                                      | Dividend                               | J                                              | U                                  | Buy                                       | 12/14/20         | J                        |                         |                                                            |
| 29.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 30.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |
| 31.                                                                                                                        |                                        |                                        |                                                |                                    |                                           |                  |                          |                         |                                                            |

1 Income Gain Codes:

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# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gibson, Kim R.

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part VII, page 4, line 2 - The assets formerly listed in lines 14 and 15 have been sold and were reported in 2020. The asset at line 12 is fully set forth at line 12, therefore line 2 is no longer needed.

2)Part VII , page 4 , line 6 - This real estate lot was transferred in 2019 to Kim Gibson

and was reported in 2020 . The lot is used as part of not as investment property.

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Name of Person Reporting

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kim R. Gibson**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544