

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hardiman, Thomas M.	2. Court or Organization U. S. Court of Appeals	3. Date of Report 05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 2270 Joseph F. Weis Jr. U.S. Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Big Brothers Big Sisters of Greater Pittsburgh, Inc.
2. Adjunct Law Professor	Duquesne Law School
3. Member	Georgetown Law, Board of Visitors
4. Adjunct Law Professor	Georgetown Law
5. Council Member	American Law Institute

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Duquesne Law School - (teaching-Adjunct)	\$2,666.70
2. 1/2020	Georgetown Law - (teaching-Adjunct)	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	The First City Company
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Law Institute	January 16-17, 2020	Philadelphia, PA	Council Meeting	Lodging/Transportation/Meals
2. George Mason University	February 5-8, 2020	Key West, FL	Law & Economics Seminar (Attendee)	Lodging/Transportation/Parking/Meals
3. Princeton University	March 5-7, 2020	Princeton, NJ	Conference on Judicial Nominations (Speaker)	Lodging/Transportation/Parking/Meals
4.				
5.				

Name of Person Reporting	Date of Report
Hardiman, Thomas M.	05/11/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Checking Account	A	Interest	K	T					
2. PNC Money Market Acct.	A	Interest	N	T					
3. Vanguard Prmpcp Mut. Fund (MF)	E	Dividend	N	T	Buy (add'l)	01/13/20	J		
4.					Buy (add'l)	02/24/20	J		
5.					Buy (add'l)	03/02/20	J		
6.					Buy (add'l)	03/09/20	J		
7.					Buy (add'l)	03/18/20	J		
8.					Buy (add'l)	10/30/20	J		
9. Vanguard Prime Money Market	B	Interest	M	T					
10. Fulton Financial Stk (CS)	A	Dividend	J	T					
11. WELLS FARGO ACCT (H)									
12. -Wells Fargo MM Account	A	Interest	N	T					
13. -Alphabet Inc. Class A		None	M	T					
14. -Alphabet Inc. Class C		None	M	T					
15. -Bank New York Mellon CS	B	Dividend	K	T					
16. -Berkshire Hathaway		None	M	T					
17. -Citigroup	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -Fox Corp Class A	A	Dividend			Sold	12/09/20	J	A	
19. -Duke Energy PF	A	Dividend	K	T					
20. -JP Morgan Chase CS	C	Dividend	M	T					
21. -T. Mobile		None	M	T	Donated (part)				
22. -Disney Walt (spin off from Twenty-First Century CLA)		None	M	T					
23. -US Bancorp CS	B	Dividend	K	T					
24. -Eaton Vance En. Equity MF	A	Dividend			Sold	11/06/20	J	A	
25. -Eaton Vance Gr. India MF		Dividend	L	T					
26. -Guggenheim Credit Allocation Fd	C	Dividend			Sold	12/09/20	K	A	
27. -Investment Co. of America MF	C	Dividend	M	T					
28. -Monroeville PA Mun.	A	Interest			Redeemed	12/01/20	K	A	
29. -ENBRIDGE (f/k/a Spectra Energy Corp)	A	Dividend	J	T					
30. -Garnet Valley PA School District Bond	A	Interest	K	T					
31. -Carroll Twp PA Auth. Swr Bond	A	Interest	K	T					
32. -Washington Mutual Invest. MF	C	Dividend	M	T					
33. -Capital World Income MF	A	Dividend	K	T					
34. -Europacific Growth MF	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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Date of Report

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35. -New Perspective FDA MF	A	Dividend	L	T					
36. -Lord Abbett Muni Income	B	Dividend	M	T	Buy	01/10/20	L		
37. -Lord Abbett Secs Trust Growth Leaders Fund		None	M	T					
38. -Flaherty & Crumrin	C	Dividend	M	T					
39. -MFS SER Trust III Mun High Income Class A			J	T	Sold (part)	11/16/20	J	A	
40. -MFS Global Total Rtn Fund Class A	C	Dividend	M	T					
41. -MFS Growth Class A		None	O	T					
42. -MFS Mid Cap Value	C	Dividend	N	T	Buy (add'l)	03/13/20	L		
43.					Buy (add'l)	03/19/20	L		
44. -MFS Municipal Limited Maturity Fund	C	Dividend	M	T					
45. -MFS SER TR.1 New Discovery FD CUA	E	Dividend	M	T	Buy	03/13/20	L		
46.					Buy (add'l)	03/19/20	L		
47. -MFS Utilities Fund	D	Dividend	N	T					
48. -MFS Global Equity Fund Class A	B	Dividend	N	T					
49. -MFS International Growth Fund	B	Dividend	M	T					
50. -MFS International Value Fund	B	Dividend	M	T					
51. -AMCAP Fund	A	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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52. -Fundamental Investors	B	Dividend	M	T					
53. -Growth Fund of America	A	Dividend	M	T					
54. -Short Term Bd Fund America Class A	A	Dividend			Sold	11/16/20	J	A	
55. -Pioneer SER TR II AMT Free Municipal Fund CLA	D	Dividend	O	T					
56. -AB Muni Inc FD National Portfolio	D	Dividend	N	T	Sold (part)	03/12/20	L		
57.					Sold (part)	03/18/20	L		
58. -American Funds Developing World Growth Income	B	Dividend	M	T					
59. -Capital Income Builder	A	Dividend			Sold	11/16/20	J	A	
60. -Small Cap World	C	Dividend	M	T					
61. -New World Fund Class	B	Dividend	M	T					
62. 401(k) ACCOUNT #1 (H)									
63. -Lg. Value Equity MF (Dodge & Cox)	A	Dividend	L	T					
64. -Lg. Value Growth (T. Rowe Price)	A	Dividend	M	T					
65. -Janus Henderson Mid Cap Value	A	Dividend	L	T					
66. -International Value II (Dodge & Cox)	A	Dividend	K	T					
67. -Invesco International Growth	A	Dividend	K	T					
68. 401(k) ACCOUNT #2 (H)									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

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Hardiman, Thomas M.

Date of Report

05/11/2021

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69. -Merrill Lynch Account	A	Interest	J	T					
70. -Century Link	C	Dividend	K	T					
71. -Citigroup CS	A	Dividend	J	T					
72. -General Electric CS	A	Dividend	J	T					
73. -Sirius XM	A	Dividend	K	T					
74. TRUST #1 (H)									
75. -Wells Fargo Account	A	Interest	K	T					
76. -AMCAP MF	A	Dividend	M	T					
77. -Capital World Gr. & Income MF	B	Dividend	M	T					
78. -Europacific Growth MF	A	Dividend	L	T	Sold (part)	04/08/20	J		
79. -Fundamental Inv. MF	B	Dividend	M	T					
80. -Growth Fund of America MF	A	Dividend	M	T					
81. -Investment Co. of America MF	C	Dividend	M	T					
82. -New Perspective MF	A	Dividend	M	T					
83. -Washington Mutual Inv. MF	C	Dividend	M	T					
84. -Short Term Bd Fund America Class A	A	Interest			Sold	02/21/20	J	A	
85. TRUST #2 (H)									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -Wells Fargo Account	A	Interest	K	T					
87. -AMCAP MF	A	Dividend	M	T					
88. -Capital World Gr. & Income MF	B	Dividend	M	T					
89. -Europacific Growth MF	B	Dividend	M	T					
90. -Fundamental Inv. MF	B	Dividend	M	T					
91. -Growth Fund of America MF	A	Dividend	M	T					
92. -Investment Co. of America MF	B	Dividend	M	T					
93. -New Perspective MF	B	Dividend	M	T					
94. -Washington Mutual Inv. MF	B	Dividend	M	T					
95. -Short Term Bd Fund America Class A	A	Interest	J	T					
96. TRUST #3 (H)									
97. -Wells Fargo Account	A	Interest	K	T					
98. -AMCAP MF	A	Dividend	M	T					
99. -Capital World Gr. & Income MF	B	Dividend	M	T					
100. -Europacific Growth MF	A	Dividend	M	T	Sold (part)	04/08/20	J	A	
101. -Fundamental Inv. MF	B	Dividend	M	T					
102. -Growth Fund of America MF	A	Dividend	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. -Investment Co. of America MF	B	Dividend	M	T					
104. -New Perspective MF	B	Dividend	M	T					
105. -Washington Mutual Inv. MF	B	Dividend	M	T					
106. -Short Term Bd Fund America Class A	A	Interest	J	T					
107. Federated Hermes Prima Cap Obligaitons Fund	A	Interest	K	T	Buy	01/13/20	K		
108. TRUST #4 (H)									
109. -Wells Fargo Account	A	Interest	J	T					
110. -AMCAP MF	A	Dividend	N	T					
111. -Fundamental Inv. MF	C	Dividend	N	T					
112. -Growth Fund of America MF	A	Dividend	N	T					
113. -Investment Co. of America MF	B	Dividend	M	T	Sold (part)	04/08/20	J	C	
114. -New Perspective MF	A	Dividend	M	T					
115. -Washington Mutual Inv. MF	C	Dividend	M	T					
116. TRUST #5 (H)									
117. -Wells Fargo Bank Account	A	Interest	L	T					
118. -AMCAP MF	A	Dividend	M	T					
119. -Capital Income Builder MF	B	Dividend	K	T					

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -Capital World Gr. & Income MF	A	Dividend	L	T					
121. -Eaton Vance Gr. India MF		None	L	T					
122. -INTL Growth & Income MF	A	Dividend	K	T					
123. -Fundamental INVS Inc. MF	B	Dividend	M	T					
124. -Growth Fund of America MF	A	Dividend	L	T					
125. -Investment Co. of America MF	B	Dividend	L	T					
126. -MFS Municipal Limited Maturity Fund Class A	C	Dividend	M	T					
127. -Washington Mutual Investors	A	Dividend	K	T					
128. -Small Cap World		None	M	T					
129. -JJ Lorick LLC	F	Distribution	P1	T					
130. -New Perspectives FD Inc. MF	A	Dividend	L	T					
131. -Short Term Bd Fund America Class A	A	Dividend	J	T					
132. -Federal Prime Cap Obligations Fund Wealth Share	A	Interest			Buy	01/13/20	L		
133.					Sold	03/16/20	L	A	
134. -Alphabet Inc. Class A		None	L	T					
135. -Alphabet Inc. Class C		None	L	T					
136. -Bank of NY Mellon	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. -Berkshire Hathaway		None	L	T					
138. -Citigroup	B	Dividend	K	T					
139. -JPMorgan Chase CS	B	Dividend	L	T					
140. -US Bancorp CS	A	Dividend	K	T					
141. REAL ESTATE PARTNERSHIP (H)									
142. -West Moon Twp. Assoc.	D	Distribution	L	U					
143. -FC Donegal Assoc.	G	Distribution			Sold	12/08/20	P1	G	
144. -McIntyre Square Assoc.	B	Distribution	L	U					
145. -Madison NM	E	Distribution	M	U					
146. WELLS FARGO ACCOUNT: REVOCABLE TRUST (H)									
147. -Wells Fargo MM	A	Dividend	K	T					
148. -AMCAP MF	A	Dividend	N	T					
149. -Capital World Growth & Income Fd	B	Dividend	M	T					
150. -Fundamental Inv. MF	C	Dividend	N	T					
151. -Growth Fund America	A	Dividend	N	T					
152. -MFS Municipal Limited Maturity Fund	C	Dividend	M	T					
153. -New Perspective Fd	A	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

154. -Smallcap World			M	T					
155. -Wash Mut Invs Fd	C	Dividend	N	T					
156. -AB Municipal Income National Portfolio	D	Dividend	O	T					
157. -Pioneer SER TR II AMT-Free Municipal Fund CLA	E	Dividend	O	T					
158. -Wells Fargo Funds MM-Premier	A	Interest	P1	T	Buy	12/09/20	P1		
159. TRUST #6 (H)									
160. -Growth Fund America	A	Dividend	M	T					
161. -Fundamental Invs. Inc. Class A	A	Dividend	L	T					
162. -AMCAP Fund Inc. Class A	A	Dividend	M	T					
163. -Wells Fargo MM	A	Dividend	J	T					
164. ANNUITIES (H)									
165. -PNC Fixed Annuity	A	Interest	J	T					
166. -Security Benefit Fixed Annuity	A	Interest	J	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Hardiman, Thomas M.

Date of Report

05/11/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas M. Hardiman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544