AO 10
Rev. 1/2019

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Gould, Ronald M.	U.S. Ct. of Appeals, 9th Cir.	05/11/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
U.S. Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2020 **to** 12/31/2020
7. Chambers or Office Address		
William K. Nakamura U.S. Courthouse 1010 Fifth Avenue, Suite 940 Seattle, Washington 98104-1130		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Advisory Board	Chief Seattle Council, Boy Scouts of America
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/11/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) Charles Schwab Brokerage Account									
2. Schwab Cash & Money Market	A	Interest	K	T					
3. Vanguard Long-Term Bond Index Fund	E	Int./Div.	N	T					
4. Vanguard Intermediate Term Bond Index Fund	D	Int./Div.	N	T					
5. Vanguard GNMA Fund	A	Int./Div.	K	T					
6. Pimco International Bond Fund	A	Int./Div.	J	T					
7. Schwab Treasury	A	Int./Div.	J	T					
8. Schwab US Aggregate Bond Fund	A	Int./Div.	K	T					
9. Schwab Fundamental Intl Small Mid Index		None			Sold	03/23/20	J		
10. Schwab S&P 500 Index Fund	D	Int./Div.	N	T					
11. Schwab Small Cap Index Fund	B	Int./Div.	K	T	Sold (part)	03/13/20	K	B	
12. Schwab Total Stock Mkt Index	E	Int./Div.	M	T	Sold (part)	03/13/20	K	E	
13. Schwab 1000 Index Fund	D	Int./Div.	M	T					
14. Johnson Controls (X)	D	Int./Div.	N	T	Sold (part)	09/21/20	K	C	
15. Wells Fargo Bank Account	A	Interest	K	T					
16. (H) Vanguard/IRA Account for Ronald M. Gould									
17. Vanguard Prime Money Market Fund	A	Interest	M	T	Sold (part)	01/02/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Gould, Ronald M.	05/11/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Prime Money Market Fund	A	Interest	M	T	Sold (part)	03/12/20	K		
19. Vanguard Prime Money Market Fund	A	Interest	M	T	Buy (add'l)	03/13/20	K		
20. Vanguard Prime Money Market Fund	A	Interest	M	T	Buy (add'l)	03/23/20	L		
21. Vanguard Prime Money Market Fund	A	Interest	M	T	Buy (add'l)	03/24/20	K		
22. Vanguard Cash Reserves Fedl Money Market Fund	A	Interest	M	T	Buy (add'l)	12/30/20	L		
23. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T	Sold (part)	03/23/20	N		
24. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T	Sold (part)	03/24/20	M		
25. Vanguard Primecap Fund Admiral Shares	G	Dividend	P1	T	Sold (part)	12/30/20	L		
26. Vanguard Short-Term Bond Index Fund Admiral Shares	A	Dividend	M	T					
27. Vanguard Short-Term Investment-Grade Fund Admiral Shares	A	Dividend	M	T					
28. Vanguard Capital Opportunity Fund Admiral Shares	F	Dividend	O	T	Sold (part)	03/23/20	N		
29. Vanguard Capital Opportunity Fund Admiral Shares	F	Dividend	O	T	Sold (part)	12/30/20	K		
30. Vanguard High-Yield Corporate Fund Admiral Shares	D	Dividend	L	T	Sold (part)	03/24/20	N		
31. GNMA Fund Admiral Shares	A	Dividend	M	T					
32. Intermediate-Term Invest-Gr Admiral Shares	A	Dividend	M	T					
33. Long-Term Invest-Gr Admiral Shares	D	Dividend	O	T					
34. Developed Markets Index Fund Admiral Shares		None			Sold	03/13/20	K		

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35. Emerging Markets Stock Index Fund Admiral Shares		None			Sold	03/13/20	L		
36. International Growth Fund Admiral Shares		None			Sold	03/13/20	L		
37. Health Care Fund Admiral Shares	D	Dividend	L	T					
38. High Dividend Yield Index Admiral Shares	A	Dividend	J	T	Sold (part)	03/24/20	K		
39. Intermediate Term Treasury Admiral Shares	E	Dividend	N	T	Buy (add'l)	03/13/20	L		
40. Intermediate Term Treasury Admiral Shares	E	Dividend	N	T	Buy (add'l)	03/23/20	M		
41. Intermediate Term Treasury Admiral Shares	E	Dividend	N	T	Buy (add'l)	03/24/20	M		
42. Long-Term Treasury Admiral Shares	F	Dividend	O	T	Buy (add'l)	03/13/20	L		
43. Long-Term Treasury Admiral Shares	F	Dividend	O	T	Buy (add'l)	03/23/20	M		
44. Long-Term Treasury Admiral Shares	F	Dividend	O	T	Buy (add'l)	03/24/20	M		
45. Short-Term Treasury Admiral Shares	D	Dividend	N	T	Buy (add'l)	03/13/20	L		
46. Short-Term Treasury Admiral Shares	D	Dividend	N	T	Buy (add'l)	03/23/20	M		
47. Short-Term Treasury Admiral Shares	D	Dividend	N	T	Buy (add'l)	03/24/20	M		
48. (H) Charles Schwab Brokerage Account 2									
49. Schwab Cash and Money Market 2	A	Interest	J	T					
50. Schwab S&P 500 Index Fund 2		None			Merged (with line 10)	06/01/20	M		
51. Schwab Small Cap Index Fund 2		None			Merged (with line 11)	06/01/20	K		

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52. Schwab Total Stock Mkt Index 2		None			Merged (with line 12)	06/01/20	M		
53. Vanguard Intermediate Term Bond Index Fund Admiral Shares 2	B	Int./Div.			Merged (with line 4)	06/01/20	M		
54. Vanguard Long Term Bond Fund Admiral Shares 2	C	Int./Div.			Merged (with line 3)	06/01/20	M		
55. Johnson Controls (Y)									
56. (H) Investments in Startups Through Partnerships									
57. Blueberry Medical (X)		None	J	U	Buy	04/20/20	J		
58. Tajir (X)		None	J	U	Buy	05/13/20	J		
59. CargoX (X)		None	J	U	Buy	12/18/20	J		
60. CargoX (X)		None	J	U	Buy (add'l)	12/26/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Please note that the entries on Part VII, lines 1, 16, 48 and 56 are for names of accounts, in the nature of a heading for the assets that follow that are within this account. These lines 1, 16, 48 and 56 are not designating assets. Each asset in the account designated in lines 1, 16, 48 and 56 is listed separately in Part VII.

Vanguard Prime Money Market Fund in Part VII had a name change on 8/31/20 to Vanguard Cash Reserves Federal Money Market Fund. That fund appears at line 22.

In Part VII, line 38, Vanguard High Dividend Yield Index Investor Shares became Admiral Shares during 2020.

In Part VII, line 48, relating to the header Charles Schwab Brokerage Account 2, all of the assets on lines 49 through 55 show income to the date of merger, but value at end of year shows blank because those assets did not exist at the end of year after they were merged into Charles Schwab Brokerage Account at line 1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronald M. Gould

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544