

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fuentes, Gabriel A.	2. Court or Organization U.S. District Court - Northern District of Illinois	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 219 S. Dearborn St. Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	FBA, Chicago Chapter
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Credit Card	K
2. FedLoan Servicing	Parent Plus Student Loan	O
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent , or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Jenner & Block Cash Balance Plan (cash)		None	J	T					
3. Account #1 (H)									
4. Fidelity Total Market Index Fund (FSKAK) (X)	A	Dividend			Sold	04/24/20	J	A	
5. Fidelity Real Estate Investment (FRESX)	A	Dividend	J	T	Buy	07/29/20	J		
6. Strategic Advisers FID Emerging Markets (FGOMX)	A	Dividend	K	T	Buy	03/20/20	J		
7.					Buy (add'l)	04/17/20	J		
8.					Buy (add'l)	07/29/20	J		
9.					Buy (add'l)	10/22/20	J		
10. Strategic Advisers FID US Total Stock (FCTDX)	C	Dividend	M	T	Buy	03/20/20	K		
11.					Buy (add'l)	04/17/20	K		
12.					Buy (add'l)	04/24/20	J		
13.					Buy (add'l)	07/29/20	L		
14.					Sold (part)	08/21/20	J		
15.					Sold (part)	10/22/20	J	A	
16. Strategic Advisers FID Intl Fund (FUSIX)	A	Dividend	K	T	Buy	03/20/20	J		
17.					Buy (add'l)	04/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18.					Buy (add'l)	07/29/20	K		
19.					Buy (add'l)	10/22/20	J		
20. Fidelity SAI Emerging Markets Index (FERGX) (X)	A	Dividend	J	T	Buy (add'l)	07/29/20	J		
21. Fidelity Real Estate Income (FRIFX) (X)	A	Dividend	J	T					
22. Fidelity Advisor Strategic Inc Cl I (FSRIX) (X)	A	Dividend	J	T					
23. Fidelity Capital & Income (FIWGX) (X)	A	Dividend	J	T					
24. Fidelity Short Term Bond (FSHBX)	A	Dividend			Buy	03/20/20	J		
25.					Buy (add'l)	04/17/20	J		
26.					Buy (add'l)	07/29/20	J		
27.					Sold	09/28/20	J	A	
28. Fidelity Conservative Income Bond Fd Cl I (FCNVX)	A	Dividend			Buy	03/20/20	J		
29.					Buy (add'l)	04/17/20	J		
30.					Buy (add'l)	07/29/20	J		
31.					Sold (part)	08/21/20	J		
32.					Sold (part)	09/10/20	J		
33.					Sold	09/28/20	J		
34. Strategic Advisers Fidelity Core Income (FIWGX)	B	Dividend	L	T	Buy	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	04/17/20	J		
36.					Sold (part)	04/24/20	J		
37.					Buy (add'l)	07/29/20	K		
38.					Sold (part)	09/10/20	J		
39.					Sold (part)	10/22/20	J		
40.					Buy (add'l)	12/18/20	J		
41. Fidelity SAI Low Duration Income Fund (FZOLX)	A	Dividend	J	T	Buy	09/28/20	J		
42. Fidelity Advisor High Income Fd Cl I (FGTMX) (X)	A	Dividend	J	T	Buy (add'l)	07/29/20	J		
43.					Buy (add'l)	10/22/20	J		
44.					Buy (add'l)	12/18/20	J		
45. Account #2 (H)									
46. Fidelity Government Money Market (SPAXX) (cash) (X)	A	Dividend	L	T					
47. Fidelity Total Market Index Fund (FSKAX)	A	Dividend			Sold	07/24/20	J		
48. Fidelity Growth Company (FDGRX)		None			Sold	07/24/20	J	A	
49. Fidelity Low Priced Stock (FLPSX)		None			Sold	07/24/20	J		
50. Dodge & Cox Stock (DODGX)	A	Dividend			Sold	07/24/20	J		
51. Fidelity U.S. Bond Index Fund (FXNAX)	A	Dividend			Sold	07/24/20	K	B	

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52. Account #3 (H)									
53. Vang Vmmr-FED Money Market (VMFXX) (cash equiv)	A	Dividend			Sold	02/18/20	N		
54. American Funds EuroPacific Growth R6 (RERGX)		None			Sold	02/18/20	J	A	
55. Vanguard Developing Market Index IS (VWO)		None			Sold	02/18/20	J	A	
56. WT Small Cap Opps (FSOPX)		None			Sold	02/18/20	J	A	
57. JP Morgan Mid-Cap Value L (FLMVX)		None			Sold	02/18/20	J	A	
58. Vanguard FTSE SOC Index ADM (VFTAX)		None			Sold	02/18/20	K	A	
59. Managed Income Portfolio C1 2	A	Dividend			Sold	02/18/20	K		
60. Blackrock Total Return K (MPHGX)	A	Dividend			Sold	02/18/20	K	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gabriel A. Fuentes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544