

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Guy, Daniel A.	2. Court or Organization United States Tax Court	3. Date of Report 08/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Special Trial Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 400 Second Street, N.W. Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Guy, Daniel A.

Date of Report

08/09/2021

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
Guy, Daniel A.	08/09/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

Guy, Daniel A.

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08/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Ameritrade Joint Brokerage Acct. (H)									
2. Ameritrade Money Market Account	A	Interest	J	T					
3. American Tower (Common)	A	Dividend			Sold	08/19/20	K	B	
4. Annaly Capital Mgmt. (Common)	B	Dividend	J	T					
5. Apple (Common)	A	Dividend	J	T	Sold (part)	09/03/20	J	B	
6. Archer Daniels Midland (Common)	A	Dividend	K	T	Buy	12/01/20	K		
7. Berkshire Hathaway		None	L	T	Buy (add'l)	05/05/20	J		
8. Compass Holdings (Common)	A	Dividend	J	T					
9. Ecolab (Common)	A	Dividend			Buy (add'l)	03/09/20	J		
10.					Sold	08/19/20	K	B	
11. EOG Resources (Common)	A	Dividend	J	T					
12. Cyber Security HACK (ETF)	A	Dividend	J	T	Buy	02/11/20	J		
13. Ishares Biotech (ETF)	A	Dividend	K	T					
14. Ishares Silver (ETF)		None	J	T					
15. Ishare Clean Energy (ETF)	A	Dividend	K	T	Buy	02/12/20	K		
16. Kinder Morgan (Common)	A	Dividend	J	T					
17. Microsoft (Common)	A	Dividend	K	T	Buy (add'l)	02/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Page 5 of 15

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Monmouth Real Estate (REIT)	A	Distribution			Sold	12/22/20	K	D	
19. Pepsi Co (Common)	A	Dividend	J	T	Buy	03/30/20	J		
20. S&P Energy--XLE (ETF)	A	Dividend	J	T					
21. S&P Health--XLV (ETF)	A	Dividend	K	T					
22. SPDR Gold--GLD (ETF)		None	K	T					
23. Third Point Reinsurance (Common)		None			Sold	08/13/20	J	A	
24. Vanguard Short Corp Bond (ETF)	C	Interest			Sold	03/11/20	L		
25. Vanguard Inflation Protect (ETF)	B	Interest	M	T	Buy	05/27/20	M		
26. Vanguard Short Term Treasury (ETF)	B	Interest	M	T	Buy	04/14/20	M		
27. Vodafone (Common)	A	Dividend	J	T					
28. Weyerhouser (Common)	A	Dividend	K	T					
29. Burlington Northern (Corp Bond)	A	Interest	K	T					
30. Citibank (Cert of Deposit)	A	Interest	L	T					
31. General Electric (Corp Bond)	A	Interest	K	T					
32. Goldman Sachs (Corp Bond)	A	Interest	K	T					
33. JP Morgan (Corp Bond)	A	Interest	J	T					
34. Marsh & McClellan (Corp Bond)	A	Interest	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. PNC Bank (Cert of Deposit)	B	Interest			Redeemed	01/17/20	L	B	
36. RBS Citizens Bank (Cert of Deposit)	C	Interest			Redeemed	01/03/20	M	B	
37. Sysco (Corp Bond)	A	Interest	K	T					
38. Wells Fargo (Cert of Deposit)	C	Interest			Redeemed	02/28/20	L	B	
39. Ally Bank Accounts (H)									
40. Ally Bank Joint Money Market Account	A	Interest	J	T					
41. Ally Bank Joint 2-Year CD	B	Interest			Matured	07/12/20	N	C	
42. Ally No Penalty CD (Proceeds from 2-Year CD)	D	Interest	N	T	Open	07/12/20	N		
43. Ally Joint Savings Account	D	Interest	M	T					
44. Schwab Inherited IRA Account: (H)									
45. Money market account	A	Interest	K	T	Distributed (part)	11/15/20	K		
46. ETF--Emerging Markets (SCHE)	B	Dividend			Sold	04/14/20	K	A	
47. ETF--Common Stocks (SCHB)	B	Dividend	L	T	Buy (add'l)	03/23/20	J		
48. ETF: Large Caps (SCHV)	A	Dividend	L	T					
49. ETF--Bonds (EDV)	B	Interest	J	T	Sold (part)	04/14/20	K	D	
50.					Sold (part)	07/08/20	J	D	
51. ETF: Gadsen (GDMA)	B	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 15

Name of Person Reporting

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08/09/2021

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52. ETF: US Stocks (QUAL)	A	Dividend	L	T	Buy (add'l)	03/16/20	J		
53. ETF: Gold (SGOL)	A	Dividend	L	T	Buy (add'l)	04/06/20	K		
54. ETF: REIT (SCHH)	A	Dividend			Sold	04/06/20	K		
55. ETF: Ishares (MTUM)	A	Dividend			Sold	03/06/20	K	A	
56. ETF: Emer Mkts (FNDE)	B	Dividend			Sold	04/14/20	K		
57. ETF: International (SCHF)	A	Dividend	K	T					
58. ETF: Treasuries (SCHP)	A	Interest			Sold	05/29/20	L	B	
59. ETF: Resources (GNR)	A	Dividend			Sold	03/03/20	K		
60. ETF: International Quantitative (IMOM)	A	Dividend	K	T	Buy	03/06/20	J		
61. ETF: US Quantitative (QMOM)	A	Dividend	K	T	Buy	03/06/20	J		
62. ETF: Ishares Asia (AIA)	A	Dividend	K	T	Buy	04/14/20	K		
63. ETF: Ishares Tech (IGV)	A	Dividend	L	T	Buy	05/29/20	K		
64. ETF: Ishares Bonds (LQD)	A	Dividend	K	T	Buy	04/14/20	K		
65. ETF: Ishare Silver (SLV)		None	K	T	Buy	04/14/20	K		
66. ETF: Pimco Treasureies (LTPZ)	A	Interest	L	T	Buy	04/14/20	L		
67. ETF: Schwab Large Cap (SCHG)	A	Dividend	K	T	Buy	04/06/20	K		
68. ETF: Vaneck Gold (GDX)	A	Dividend	K	T	Buy	03/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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69. ETF: China (ASHR)	A	Dividend	K	T	Buy	04/14/20	K		
70. Charles Schwab Trust Account (H)									
71. Charles Schwab Money Market/Cash	A	Interest	K	T	Distributed (part)	01/21/20	L		
72. Aflac Inc. (Bond due 2/15/22)	A	Interest			Redeemed	01/10/20	K	A	
73. Bank of America (Bond due 1/22/24)	A	Interest	K	T					
74. EMC Corp (Bond due 6/1/20)	A	Interest			Matured	6/1/20	K		
75. Florida Power (Bond due 8/15/21)	A	Interest	K	T					
76. HCP Inc (Bond due 11/15/23)	A	Interest	K	T					
77. U.S. Treasury Notes	A	Interest			Matured	11/30/20	L		
78. BNSF (Bond due 3/15/22)	A	Interest	K	T					
79. Wells Fargo (Cert. of Deposit)	A	Interest			Matured	02/18/20	K	B	
80. Morgan Stanley (Cert of Deposit)	A	Interest	K	T					
81. JP Morgan Chase (Cert of Deposit)	A	Interest			Redeemed	01/31/20	L	B	
82. Johnson & Johnson (Common)	B	Dividend	M	T	Buy (add'l)	03/24/20	K		
83. ETF: BAB	A	Interest	K	T	Buy	12/07/20	K		
84. ETF: CUT	A	Dividend	K	T	Buy	08/14/20	K		
85. Goldman Sachs (Common)	A	Dividend			Buy	10/12/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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86.					Sold	12/07/20	K	C	
87. ETF: QQQ	A	Dividend	L	T	Sold (part)	12/16/20	K	D	
88. ETF: IVV	A	Dividend	M	T	Buy (add'l)	03/19/20	K		
89. ETF: ICLN	A	Dividend	K	T	Buy	08/14/20	K		
90. ETF: GDX		None	K	T	Buy (add'l)	05/18/20	J		
91. ETF: XLU	B	Dividend	L	T					
92. ETF: XLF	A	Dividend	K	T	Buy	06/08/20	K		
93. ETF: XLE	B	Dividend	L	T	Buy (add'l)	04/09/20	J		
94. ETF: XLB	A	Dividend	K	T					
95. ETF: XLK	A	Dividend	L	T	Sold (part)	12/16/20	K	E	
96. ETF: GLD		None	M	T	Buy (add'l)	05/18/20	J		
97. ETF: VWO	A	Dividend	K	T					
98. ETF: VO	A	Dividend	L	T					
99. ETF: VNQ	C	Dividend	L	T					
100. ETF: VNQI	A	Dividend	K	T	Buy (add'l)	01/27/20	J		
101. ETF: VXUS	B	Dividend	L	T					
102. ETF: VTI	C	Dividend	N	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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H1 =\$1,000,001 - \$5,000,000

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103. ETF: XBI	A	Dividend	L	T					
104. ETF: VMBS	A	Dividend			Sold	03/16/20	K	A	
105. ETF: VCSH	B	Interest			Sold	03/16/20	K		
106. ETF: VGSH	A	Interest	K	T	Buy	06/08/20	K		
107. Charles Schwab Joint Brokerage Acct: Almanac (H)									
108. PIMCO ETF: LTPZ	B	Interest	M	T	Buy (add'l)	04/03/20	L		
109.					Buy (add'l)	04/07/20	K		
110.					Buy (add'l)	11/09/20	K		
111. Select Sector ETF: GNR	A	Dividend	K	T					
112. Ishares ETF: EEM	A	Dividend			Sold	03/06/20	K		
113. Schwab ETF: SCHE	A	Dividend			Sold	04/07/20	K		
114. Schwab ETF: SCHX	B	Dividend	L	T	Buy (add'l)	01/13/20	K		
115.					Sold (part)	04/07/20	J	B	
116. Vanguard ETF: EDV	B	Dividend	L	T	Buy (add'l)	01/13/20	K		
117.					Sold (part)	04/03/20	K	D	
118. ETFS ETF: SGOL	A	Dividend	M	T	Buy (add'l)	01/13/20	J		
119.					Buy (add'l)	03/02/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/03/20	J		
121. ETF: GDG	A	Dividend			Sold	01/13/20	K	A	
122. ETF: GDMA	A	Dividend	M	T	Buy (add'l)	01/13/20	K		
123.					Buy (add'l)	12/03/20	J		
124. ETF: IVAL	A	Dividend			Sold	04/07/20	J		
125. ETF: PDBC	A	Dividend			Sold	02/28/20	K		
126. ETF: SCHF	A	Dividend	K	T	Buy (add'l)	06/15/20	K		
127. ETF: EMLC	A	Dividend			Sold	03/02/20	K		
128. ETF: GDXJ	A	Dividend			Sold	03/02/20	K		
129. ETF: VDE	A	Dividend			Sold	03/02/20	K		
130. ETF: IMOM	A	Dividend	K	T	Buy	04/07/20	K		
131. ETF: QMOM	A	Dividend	K	T	Buy	06/15/20	K		
132. ETF: MLPA	A	Dividend	K	T	Buy	04/03/20	K		
133. ETF: AIA	B	Dividend	L	T	Buy	04/15/20	K		
134. ETF: SLV		None	M	T	Buy	04/03/20	K		
135. ETF: SCHB	A	Dividend	K	T	Buy	06/15/20	K		
136. ETF: SCHG	A	Dividend	L	T	Buy	04/07/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. ETF: GDX	A	Dividend	K	T	Buy	03/16/20	K		
138. Navy Federal Credit Union Money Market Acct.	A	Interest			Closed	2/25/20	J		
139. Ameritrade IRA (H)									
140. Amazon (Common)		None			Sold	12/29/20	K	C	
141. Ericson (Common)	A	Dividend	J	T	Buy	2/11/20	J		
142. Ameritratde Money Market	A	Interest	L	T					
143. ING Variable Annuity (Growth Fund of America)	B	Dividend	K	T					
144. Mass Mutual Universal Life Policy	A	Dividend	K	T					
145. Mass Mutual Universal Life Policy	A	Dividend	K	T					
146. MFS Growth Fund (Inherited IRA)	B	Distribution	K	T	Redeemed (part)	12/05/20	J	B	
147. Kerisdale Partners Limited Partnership	B	Distribution	M	T	Buy (add'l)	08/01/20	L		
148. Double Eagle Inc. (Promissory Note)	B	Interest	L	T	Open	09/28/20	L		
149.									
150.									
151.									
152.									
153.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting	Date of Report
Guy, Daniel A.	08/09/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following is a response to the Committee on Financial Disclosure letter dated December 7, 2018:

The second paragraph of the letter states that "In Part VII, lines 73, 116-120, 122-124, 127, 129 and 130, you listed various assets which were not in your prior (2016 amended) report." In fact, I reported these same assets on lines 63-64, lines 122-123, 125, and lines 127-137 of my 2016 amended report. The difference is that in my original report for 2017, I dropped the practice of providing a generic description of each exchange traded fund (ETF) in Column A, Description of Assets, and instead reported the asset as an ETF, followed by the ticker symbol, e.g., "ETF: IVV". ETF: IVV, reported on line 116 of my 2017 original report was formerly reported on line 122 of my 2016 amended report as "iShares S&P Index".

As suggested in the third paragraph of the letter, I have reported "None" in Column B(2) for the income associated with the each of the assets in question.

The fourth paragraph of the letter states that "In Part VII, lines 40-44, 46, 47, 49, 50, 53-57, 59, 122, 123, 125, 127-137, and 186-188, in your prior (2016 amended) report you listed various assets, but you did not list these assets in your current (2017) report."

A. Lines 39-60, and 186-188 of my 2016 amended report identify assets owned [REDACTED] in a brokerage account and college savings plans, respectively. Because the [REDACTED] in 2017, as reported in the headers on lines 37 and 184-185 of my original 2017 report, I deleted those assets from the report. I now understand that I was expected to have reported the assets with a (Y) in Column A. As I no longer [REDACTED] this specific issue will not reoccur in the future.

B. As for lines 122, 123, 125, and 127-137 reported in my 2016 amended report, these same assets are reported on lines 116-130 of my original 2017 report. The difference is (as explained above) that in my original report for 2017, I dropped the practice of providing a generic description of each exchange traded fund (ETF) in Column A, Description of Assets, and instead reported the asset as an ETF, followed by the ticker symbol, e.g., "ETF: IVV".

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Guy, Daniel A.

Date of Report

08/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel A. Guy**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544