

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Greenaway, Joseph A.	2. Court or Organization U.S. Court of Appeals for the Third Circuit	3. Date of Report 5/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. P.O. & Federal Courthouse Federal Square and Walnut St. Newark, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Editor	ABA Litigation Magazine
2. Trustee	
3. Trustee	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 94-96	Johnson & Johnson Employee Savings Plan
2. 95-96	Johnson & Johnson Pension Plan
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	- teaching	\$17,475.00
2. 2020	- teaching	\$10,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	
2. 2020	self employed
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Greenaway, Joseph A.	5/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. American Express	Credit Card	K
2. Citibank Mastercard	Credit Card	K
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merck common stock	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
2.					Sold (part)	05/15/20	J	A	
3.					Buy (add'l)	10/15/20	J		
4. Microsoft	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
5.					Sold (part)	05/15/20	J	A	
6.					Sold (part)	06/03/20	J	A	
7.					Sold (part)	07/16/20	J	A	
8.					Buy (add'l)	10/15/20	K		
9.					Sold (part)	11/11/20	J		
10. McDonalds common stock (Y)									
11. Johnson & Johnson common stock	E	Dividend	O	T					
12. U.S. Savings Bonds	A	Interest	J	T					
13. Citibank (checking & savings)	A	Interest	J	T					
14. Disney common stock		None	K	T					
15. Intel common stock	A	Dividend	J	T	Buy (add'l)	03/20/20	J		
16.					Sold (part)	05/15/20	J	A	
17.					Sold (part)	09/22/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 27

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18. Johnson & Johnson 401(k) Diversified Equity Fund	D	Dividend	O	T					
19. GE common stock (Y)									
20. Pfizer common stock	A	Dividend	J	T					
21. Comcast common stock	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
22.					Buy (add'l)	10/15/20	J		
23. Wells Fargo Securities IRA money market cash sweeps account		None	J	T					
24. Northwestern Mutual Life Insurance (whole life)		None	M	W					
25. Alphabet common stock		None	K	T	Buy (add'l)	04/01/20	J		
26.					Sold (part)	05/15/20	J	B	
27.					Buy (add'l)	10/14/20	J		
28. Apple common stock	A	Dividend	K	T	Buy (add'l)	03/19/20	J		
29.					Buy (add'l)	04/01/20	J		
30.					Sold (part)	05/15/20	J	B	
31.					Sold (part)	07/16/20	J	A	
32.					Sold (part)	09/03/20	J	A	
33.					Buy (add'l)	10/15/20	J		
34.					Sold (part)	11/11/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 27

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35. Facebook		None	K	T	Buy (add'l)	03/20/20	J		
36.					Buy (add'l)	04/01/20	J		
37.					Sold (part)	05/15/20	J	C	
38.					Buy (add'l)	10/15/20	J		
39. Chase Bank	A	Interest	J	T					
40. Amazon		None	K	T	Sold (part)	05/15/20	J	C	
41.					Buy (add'l)	10/15/20	J		
42. Ret. Plan for Offs. Vanguard Target Retirement 2035	B	Dividend			Buy (add'l)	01/01/20	J		
43.					Buy (add'l)	01/15/20	J		
44.					Buy (add'l)	02/03/20	J		
45.					Buy (add'l)	02/17/20	J		
46.					Buy (add'l)	03/02/20	J		
47.					Buy (add'l)	03/16/20	J		
48.					Buy (add'l)	04/01/20	J		
49.					Buy (add'l)	04/15/20	J		
50.					Buy (add'l)	05/01/20	J		
51.					Sold	05/01/20	M		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 27

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52.	Ret. Plan for Offs. Vanguard Wellesley Income Fund Adm.	B	Dividend	M	T	Buy	05/01/20	M	
53.						Buy (add'l)	05/15/20	J	
54.						Buy (add'l)	06/01/20	J	
55.						Buy (add'l)	06/15/20	J	
56.						Buy (add'l)	07/01/20	J	
57.						Buy (add'l)	07/15/20	J	
58.						Buy (add'l)	08/03/20	J	
59.						Buy (add'l)	08/17/20	J	
60.						Buy (add'l)	09/01/20	J	
61.						Buy (add'l)	09/15/20	J	
62.						Buy (add'l)	10/01/20	J	
63.						Buy (add'l)	10/15/20	J	
64.						Buy (add'l)	11/02/20	J	
65.						Buy (add'l)	11/16/20	J	
66.						Buy (add'l)	12/01/20	J	
67.						Buy (add'l)	12/15/20	J	
68.	Vol. Ret. Sav. Plan Vanguard Target Retirement 2035	B	Dividend			Buy (add'l)	01/01/20	J	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 27

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Greenaway, Joseph A.

Date of Report

5/12/2021

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69.					Buy (add'l)	01/15/20	J		
70.					Buy (add'l)	02/03/20	J		
71.					Buy (add'l)	02/17/20	J		
72.					Buy (add'l)	03/02/20	J		
73.					Buy (add'l)	03/16/20	J		
74.					Buy (add'l)	04/01/20	J		
75.					Buy (add'l)	04/15/20	J		
76.					Buy (add'l)	05/01/20	J		
77.					Sold	05/01/20	N		
78.	Vol. Ret. Sav. Plan Vanguard Wellesley Income Fund Adm.	C	Dividend	N	T	Buy	05/01/20	N	
79.					Buy (add'l)	05/15/20	J		
80.					Buy (add'l)	06/01/20	J		
81.					Buy (add'l)	06/15/20	J		
82.					Buy (add'l)	07/01/20	J		
83.					Buy (add'l)	07/15/20	J		
84.					Buy (add'l)	08/03/20	J		
85.					Buy (add'l)	08/17/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 27

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5/12/2021

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86.					Buy (add'l)	09/01/20	J		
87.					Buy (add'l)	09/15/20	J		
88.					Buy (add'l)	10/01/20	J		
89.					Buy (add'l)	10/15/20	J		
90.					Buy (add'l)	11/02/20	J		
91.					Buy (add'l)	11/16/20	J		
92.					Buy (add'l)	12/01/20	J		
93.					Buy (add'l)	12/15/20	J		
94. Applied Materials Inc.	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
95.					Buy (add'l)	10/15/20	J		
96. Becton Dickinson	A	Dividend			Sold (part)	05/15/20	J	A	
97.					Sold	09/22/20	J	A	
98. Berkshire Hathaway		None			Sold (part)	05/15/20	J		
99.					Sold	06/03/20	J	A	
100. Blackrock, Inc. common stock	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
101.					Buy (add'l)	10/15/20	J		
102. Broadcom LTD	A	Dividend			Sold	03/20/20	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 27

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103. California St. Dept. 3.127% 12/01/29	A	Interest	J	T					
104. Chevron Corp.	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
105.					Sold (part)	05/15/20	J		
106.					Buy (add'l)	10/15/20	J		
107. Danaher Corp.	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
108.					Sold (part)	05/15/20	J	A	
109.					Buy (add'l)	10/15/20	J		
110.					Sold (part)	11/11/20	J	B	
111. Deere & Co.	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
112.					Sold (part)	05/15/20	J		
113.					Buy (add'l)	10/15/20	J		
114. Discover Bank CD 2.35% 9/13/22	A	Interest	J	T	Sold (part)	10/14/20	J	A	
115. Durham NC LTD 3.3% 10/1/27	A	Interest	J	T	Sold (part)	10/14/20	K	A	
116. Federated Prime Cash Obligations (see note)	A	Dividend			Buy (add'l)	01/02/20	J		
117.					Sold	03/02/20	J		
118. NML FDIC Insured Bank account	A	Interest	K	T	Open	03/02/20	K		
119. FedEx Corp.	A	Dividend			Sold	01/14/20	J		

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Page 11 of 27

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Honeywell International Inc.	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
121.					Sold (part)	05/15/20	J		
122.					Buy (add'l)	10/15/20	J		
123. Intercontinental Exchange	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
124.					Buy (add'l)	10/15/20	J		
125.					Sold (part)	11/11/20	J		
126. iShares Core S&P 500 ETF	A	Dividend	K	T	Buy (add'l)	04/01/20	K		
127.					Sold (part)	05/15/20	K	A	
128.					Sold (part)	07/16/20	J	A	
129.					Buy (add'l)	10/15/20	K		
130. iShares Core S&P Mid Cap ETF	A	Dividend			Sold	05/13/20	K		
131. iShares Core S&P Small Cap ETF	A	Dividend	L	T	Sold (part)	05/15/20	J		
132.					Buy (add'l)	10/15/20	K		
133. iShares S&P Midcap 400 Growth ETF	A	Dividend			Sold	05/13/20	K		
134. JP Morgan Chase common stock	A	Dividend	J	T	Sold (part)	01/14/20	J	A	
135.					Buy (add'l)	03/19/20	J		
136.					Buy (add'l)	04/01/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	05/15/20	J		
138.					Buy (add'l)	10/15/20	J		
139. Las Vegas Sands	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
140.					Sold (part)	05/15/20	J		
141.					Buy (add'l)	10/15/20	J		
142. Mastercard, Inc.	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
143.					Sold (part)	05/15/20	J	B	
144.					Buy (add'l)	10/15/20	J		
145. Matthews Pacific Tiger Inst.	A	Dividend	L	T	Buy (add'l)	04/01/20	J		
146.	C	Distribution			Sold (part)	05/15/20	K		
147.					Buy (add'l)	10/15/20	K		
148. New York, NY 3.05% 10/01/29	A	Interest			Sold	10/14/20	J	A	
149. Nike Inc.	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
150.					Buy (add'l)	10/15/20	J		
151. Pepsico Inc.	A	Dividend	J	T	Sold (part)	01/14/20	J	A	
152.					Buy (add'l)	03/19/20	J		
153.					Sold (part)	05/15/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 13 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	09/22/20	J	A	
155.					Buy (add'l)	10/15/20	J		
156. Pioneer Natural Resources Co.	A	Dividend			Sold (part)	01/14/20	J		
157.					Sold	03/13/20	J		
158. Procter & Gamble Co.	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
159.					Buy (add'l)	10/15/20	J		
160. Progressive Corp. Ohio	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
161.					Sold (part)	05/15/20	J	A	
162.					Buy (add'l)	10/15/20	J		
163.					Sold (part)	11/11/20	J		
164. Salesforce.com		None	J	T	Buy (add'l)	04/01/20	J		
165.					Sold (part)	05/15/20	J	A	
166.					Buy (add'l)	10/15/20	J		
167.					Sold (part)	11/11/20	J		
168. Starbucks Corp.	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
169.					Sold (part)	05/15/20	J	A	
170.					Buy (add'l)	10/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 14 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Sysco Corp.	A	Dividend	J	T	Sold (part)	01/14/20	J	A	
172.					Buy (add'l)	04/01/20	J		
173.					Sold (part)	05/15/20	J		
174.					Buy (add'l)	10/15/20	J		
175. Texas Instruments	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
176.					Sold (part)	05/15/20	J	A	
177.					Buy (add'l)	10/15/20	J		
178. Union Pacific Corp	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
179.					Buy (add'l)	04/01/20	J		
180.					Sold (part)	05/15/20	J	A	
181.					Buy (add'l)	10/15/20	J		
182. United Health Group Inc.	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
183.					Buy (add'l)	10/15/20	J		
184. Univ. of Hawaii 3.28% 10/1/27	A	Interest	J	T	Sold (part)	10/14/20	K	B	
185. Univ. of Hawaii 3.38% 10/1/28	B	Interest	J	T	Sold (part)	10/14/20	K	B	
186. Vanguard FTSE Developed Markets ETF	A	Dividend			Sold	04/29/20	L		
187. Vanguard FTSE Emerging Markets ETF	A	Dividend			Sold	04/28/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Vanguard REIT ETF	A	Dividend	K	T	Buy (add'l)	04/01/20	J		
189.					Sold (part)	05/15/20	J		
190.					Buy (add'l)	10/15/20	J		
191. Vanguard S/T Investment Grade FD-ADM	A	Dividend			Sold	03/31/20	J		
192. Activision Blizzard Inc.	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
193.					Buy (add'l)	10/14/20	J		
194. Adobe Systems 3.25% 2/1/25	A	Interest	J	T	Sold (part)	10/14/20	J	A	
195. American Express	A	Dividend	J	T	Sold (part)	05/15/20	J		
196.					Buy (add'l)	10/15/20	J		
197. Apple, Inc. 3.35% 2/09/27	A	Interest	J	T	Sold (part)	10/14/20	J	A	
198. Boeing	A	Dividend			Sold	03/20/20	J		
199. Boston Scientific		None	J	T	Sold (part)	05/15/20	J		
200.					Buy (add'l)	10/15/20	J		
201.					Buy (add'l)	11/11/20	J		
202. BP Capital Markets PLC 3.588%	A	Interest	J	T	Sold (part)	10/14/20	J	B	
203. Citigroup Inc.	A	Dividend	J	T	Sold (part)	03/20/20	J		
204.					Buy (add'l)	06/05/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 16 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Buy (add'l)	10/15/20	J		
206.					Buy (add'l)	11/11/20	J		
207. DWS Enhanced Commodity Strat Instl	A	Dividend			Sold (part)	04/24/20	J		
208.					Sold	08/31/20	J		
209. FFCB 2.33% 9/14/29	A	Interest			Redeemed	04/28/20	J		
210. FHLB 3.45% 3/22/28	A	Interest	J	T					
211. Home Depot	A	Dividend	J	T	Buy (add'l)	10/15/20	J		
212.					Sold (part)	11/11/20	J		
213. LAM Research Corp	A	Dividend	J	T	Buy (add'l)	04/01/20	J		
214.					Sold (part)	05/15/20	J	A	
215.					Buy (add'l)	10/15/20	J		
216. Lockheed Martin	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
217.					Buy (add'l)	10/15/20	J		
218. Morgan Stanley CD 2.4%	A	Interest			Sold	10/14/20	K	A	
219. Morgan Stanley CD 2.7%	A	Interest			Sold	10/14/20	K	A	
220. PIMCO Commodity PL Strat-P	A	Dividend	K	T	Buy (add'l)	05/15/20	J		
221.					Buy (add'l)	10/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less (See Columns C1 and D3)	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000				
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Royal Caribbean Cruises LTD	A	Dividend			Sold	03/13/20	J		
223. Thermofisher Scientific	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
224.					Sold (part)	05/15/20	J	A	
225.					Buy (add'l)	10/15/20	J		
226.					Sold (part)	11/11/20	J	B	
227. US Treasury Notes 2.25% 3/31/20	A	Interest			Sold	03/20/20	M		
228. Vanguard Materials ETF	A	Dividend	J	T	Sold (part)	05/15/20	J		
229.					Buy (add'l)	06/03/20	J		
230.					Buy (add'l)	09/22/20	J		
231.					Buy (add'l)	10/15/20	J		
232. Vanguard Utilities ETF	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
233.					Buy (add'l)	04/01/20	J		
234.					Sold (part)	05/15/20	J		
235.					Buy (add'l)	10/15/20	J		
236. Abbott Labs	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
237.					Sold (part)	05/15/20	J	A	
238.					Buy (add'l)	10/14/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 18 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Abilene, TX 2.872% 2/15/31	A	Interest			Sold	10/14/20	K	A	
240. Adobe Inc.	A	Dividend	J	T	Sold (part)	05/15/20	J	A	
241.					Buy (add'l)	10/14/20	J		
242.					Sold (part)	11/11/20	J		
243. Charles Schwab 3.2% 3/2/27	A	Interest	J	T	Sold (part)	10/14/20	J	B	
244. CME Group Inc.	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
245.					Buy (add'l)	03/20/20	J		
246.					Sold (part)	05/15/20	J	A	
247.					Buy (add'l)	06/03/20	J		
248.					Buy (add'l)	10/15/20	J		
249. Delta Airlines	A	Dividend			Sold	03/15/20	J		
250. FFCB 3.03% 1/12/28	A	Interest	J	T	Sold (part)	10/14/20	J	A	
251. FMI International Fund		None			Sold (part)	05/15/20	J		
252.					Sold	05/28/20	K		
253. Fort Collins, CO 3.859% 12/1/30	A	Interest	J	T	Sold (part)	10/14/20	K	A	
254. Goldman Sachs Group 3.75% 2/25/26	A	Interest	J	T	Sold (part)	10/14/20	J	B	
255. GS International Small Cap Insights	A	Dividend	K	T	Sold (part)	05/15/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 19 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	05/28/20	J		
257.					Buy (add'l)	10/15/20	K		
258. Home Depot 3.35% 9/15/25	A	Interest			Sold (part)	05/15/20	J	A	
259.					Sold	10/14/20	K	B	
260. IQVIA Holdings		None			Sold	03/13/20	J		
261. JP Morgan Chase V-Q 3.54% 5/1/28	A	Interest	J	T	Sold (part)	10/14/20	J	B	
262. Miami-Dade County FL 3.656% 10/1/34	A	Interest	J	T	Sold (part)	10/14/20	J		
263. Monster Beverage		None	J	T	Sold (part)	05/15/20	J	A	
264.					Buy (add'l)	10/15/20	J		
265. NVIDIA	A	Dividend	J	T	Sold (part)	05/15/20	J	B	
266.					Buy (add'l)	10/15/20	J		
267. Shell International 3.875% 11/13/28	A	Interest	J	T	Sold (part)	10/14/20	J	B	
268. US Treasury Notes 3.125% 11/15/28	B	Interest	K	T	Sold (part)	03/30/20	L	A	
269.					Sold (part)	04/29/20	J	A	
270. Valero Energy Corp.	A	Dividend	J	T	Sold (part)	03/20/20	J		
271.					Buy (add'l)	11/11/20	J		
272. Vanguard Federal Money Market Fund (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 20 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. VISA Inc. CL A	A	Dividend	J	T	Buy (add'l)	03/19/20	J		
274.					Buy (add'l)	03/20/20	J		
275.					Sold (part)	05/15/20	J	A	
276.					Buy (add'l)	10/15/20	J		
277. Anthem Inc.	A	Dividend	J	T	Buy	03/13/20	J		
278.					Sold (part)	05/15/20	J	A	
279.					Buy (add'l)	06/03/20	J		
280.					Buy (add'l)	10/15/20	J		
281. Bank of America	A	Dividend	J	T	Buy	03/20/20	J		
282.					Sold (part)	05/15/20	J	A	
283.					Buy (add'l)	10/15/20	J		
284.					Buy (add'l)	11/11/20	J		
285. Beaverton OR bond 2.526% 6/1/34	A	Interest	J	T	Buy	06/11/20	K		
286.					Sold (part)	10/14/20	K		
287. Columbus OH CTF Dep 1.15% 5/29/25		None			Buy	05/27/20	K		
288.					Sold	10/14/20	K		
289. Constellation Brands	A	Dividend	J	T	Buy	09/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 21 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy (add'l)	10/15/20	J		
291. Costco Wholesale Corp.	A	Dividend	J	T	Buy	01/14/20	J		
292.					Buy (add'l)	03/13/20	J		
293.					Buy (add'l)	03/19/20	J		
294.					Sold (part)	05/15/20	J		
295.					Buy (add'l)	10/15/20	J		
296. CSX Corp.	A	Dividend	J	T	Buy	06/03/20	J		
297.					Buy (add'l)	10/15/20	J		
298. FFCB 1.09% 6/4/27	A	Interest			Buy	06/08/20	K		
299.					Sold (part)	10/14/20	J	A	
300.					Redeemed	12/21/20	J		
301. FHLB 2.05% 3/19/35	A	Interest	J	T	Buy	04/14/20	J		
302.	B	Distribution	J	T	Sold (part)	10/14/20	J		
303. FNMA GTD REMIC Pass Thru 1.5% 7/25/50		None			Buy	06/29/20	K		
304.					Sold	07/01/20	K		
305. FISERV Inc.		None	J	T	Buy	09/22/20	J		
306.					Buy (add'l)	10/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

FINANCIAL DISCLOSURE REPORT

Page 22 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy (add'l)	11/11/20	J		
308. Global Pmts. Inc.	A	Dividend	J	T	Buy	09/22/20	J		
309.					Buy (add'l)	10/15/20	J		
310.					Buy (add'l)	11/11/20	J		
311. Hilton Worldwide Holdings		None	J	T	Buy	11/11/20	J		
312. Intuitive Surgical Inc.		None	J	T	Buy	03/13/20	J		
313.					Buy (add'l)	03/19/20	J		
314.					Sold (part)	05/15/20	J	A	
315.					Buy (add'l)	10/15/20	J		
316. iShares Gold		None	K	T	Buy	04/24/20	J		
317.					Sold (part)	05/15/20	J	A	
318.					Buy (add'l)	08/31/20	J		
319.					Buy (add'l)	10/15/20	J		
320. iShares TR TIPS BD ETF	A	Dividend	K	T	Buy	05/15/20	L		
321.					Sold (part)	10/15/20	K	B	
322. iShares TR Core US Aggregate BD ETF	A	Dividend	K	T	Buy	05/15/20	K		
323.					Sold (part)	10/15/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. iShares TR Core MSCI EAFE ETF	C	Dividend	M	T	Buy	03/19/20	J		
325.					Buy (add'l)	04/29/20	L		
326.					Sold (part)	05/15/20	L		
327.					Buy (add'l)	05/28/20	J		
328.					Buy (add'l)	10/15/20	L		
329. iShares Inc. Core MSCI Emerging MKTS ETF	B	Dividend	M	T	Buy	03/19/20	J		
330.					Buy (add'l)	04/01/20	J		
331.					Buy (add'l)	04/28/20	L		
332.					Sold (part)	05/15/20	K		
333.					Buy (add'l)	10/15/20	K		
334. Parnassus Mid Cap Fund Institutional Class	A	Dividend	L	T	Buy	05/07/20	K		
335.	A	Distribution			Buy (add'l)	05/12/20	K		
336.					Sold (part)	05/15/20	K		
337.					Buy (add'l)	10/15/20	K		
338. PGIM Short Term Corporate Bond Fund	A	Dividend	K	T	Buy	05/15/20	K		
339.					Sold (part)	10/15/20	K	A	
340. PIMCO Income Fund	B	Dividend	J	T	Buy	05/15/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value				
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 24 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Sold (part)	10/15/20	L	C	
342. Raytheon Technologies Corp.	A	Dividend	J	T	Buy	11/11/20	J		
343. S&P Global Inc.	A	Dividend	J	T	Buy	03/13/20	J		
344.					Sold (part)	05/15/20	J	A	
345.					Buy (add'l)	10/15/20	J		
346. US Treasury Notes .25% 1/15/25	A	Interest	M	T	Buy	03/20/20	M		
347.					Sold (part)	10/14/20	K	C	
348. Vanguard Mid Cap ETF	A	Dividend	L	T	Buy	03/19/20	J		
349.					Buy (add'l)	03/27/20	J		
350.					Buy (add'l)	04/01/20	J		
351.					Buy (add'l)	05/13/20	K		
352.					Sold (part)	05/15/20	K		
353.					Buy (add'l)	10/15/20	K		
354. Waste Management Inc.	A	Dividend	J	T	Buy	01/14/20	J		
355.					Buy (add'l)	03/19/20	J		
356.					Sold (part)	05/15/20	J		
357.					Buy (add'l)	10/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. Wells Fargo Medium Term NTS 1.25% 6/30/25	A	Interest	J	T	Buy	06/18/20	K		
359.					Sold (part)	10/14/20	J		
360. WI FHLMC Multiclass Partn CTFS GTD SER FT 1.5% 6/1/50		None			Buy	06/08/20	K		
361.					Sold	06/29/20	K		
362. Zoeti's Inc.	A	Dividend	J	T	Buy	03/20/20	J		
363.					Sold (part)	05/15/20	J	A	
364.					Buy (add'l)	10/15/20	J		
365.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Comments to Section VII.

On lines 10 and 272, I included a notation (Y) because I transferred these assets to [REDACTED].

On line 24, I list Northwestern Mutual Life Insurance. This policy is a whole life policy.

On May 1, 2020, [REDACTED] moved [REDACTED] retirement accounts from the Vanguard Target Retirement 2035 fund to the Vanguard Wellsley Income Fund Adm. I noted this as a full sale on lines 51 and 77 and then as a purchase on lines 52 and 78.

Line 116: On March 2, 2020, my broker converted the Federated Prime Cash Obligation fund to a standard bank account.

Lines 303 & 304: My broker cancelled this transaction before anything was purchased. Pursuant to advice from the Financial Disclosure Office, I am reporting it as buy and sell transactions, even though no purchase or sale was completed.

Lines 360 & 361: My broker cancelled this transaction before anything was purchased. Pursuant to advice from the Financial Disclosure Office, I am reporting it as buy and sell transactions, even though no purchase or sale was completed.

FINANCIAL DISCLOSURE REPORT

Page 27 of 27

Name of Person Reporting

Greenaway, Joseph A.

Date of Report

5/12/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Joseph A. Greenaway**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544