

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Holmes, Jerome A.	2. Court or Organization 10th Circuit	3. Date of Report 6/28/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S. Courthouse 215 Dean A. McGee Ave., Rm. 315 Oklahoma City, OK 73102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director (Board of Directors)	Oklahoma Medical Research Foundation
2. Trustee (Board of Trustees)	Oklahoma City Museum of Art
3. Director & Chair (Board of Directors)	William J. Holloway, Jr. Scholarship Program, Inc.
4. Director (Board of Directors)	United Way of Central Oklahoma
5. Director (Board of Directors)	Oklahoma City Golf & Country Club
6. Member (Grant Allocation Comm.)	Allied Arts
7. Member (CEO Search Comm.)	Oklahoma City Repertory Theatre

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Renaissance Weekend	Registration Fee Waiver \$2,200 and hotel \$1,411.20 (self [REDACTED])	\$3,611.20
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Credit Card # 1	K
2. Bank of America	Credit Card # 2	J
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BancFirst (Trust #1) Cash Accounts #1	A	Interest	J	T					
2. Trust # 1 (H)									
3. - Universal Chemical Technologies common stock		None	J	W					
4.									
5. BancFirst Cash Account #2		None	J	T					
6.									
7. Weokie Credit Union Cash Accounts	A	Interest	J	T					
8.									
9. SEP IRA (H)									
10. - SEI Large Cap Growth (SELCX)	C	Dividend	K	T	Sold (part)	06/22/20	J		
11.									
12.									
13. - SEI Large Cap Value (TRMVX)	A	Dividend	K	T	Buy (add'l)	04/13/20	J		
14.					Buy (add'l)	06/22/20	J		
15.									
16. - SEI Small Cap Growth (SSCGX)		None	J	T					
17.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -SEI Small Cap Value (SESVX)	A	Dividend	J	T	Buy (add'l)	06/22/20	J		
19.									
20. -Russell Emerging Markets (REMSX)	A	Dividend	K	T	Buy (add'l)	06/22/20	J		
21.									
22. -SEI Core Fixed Income (TRLVX)	B	Dividend	K	T	Sold (part)	04/13/20	J		
23.									
24. - SEI High Yield Bond Fund (SHYAX)	A	Dividend	J	T					
25.									
26. - SEI Emerging Markets Debt Fund (SITEX)	A	Dividend	J	T					
27.									
28. - SEI International Fixed Income Fund (SEFIX)	A	Dividend	J	T					
29.									
30. - SEI Daily Income Trust Government Fund (SEOXX)	A	Dividend	K	T	Buy (add'l)	01/06/20	J		
31.					Sold (part)	01/07/20	J		
32.					Buy (add'l)	06/23/20	J		
33.					Sold (part)	06/23/20	J		
34.									

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. - TIAACREF - Intern. Equity Index Fund (TCIEX)	A	Dividend	K	T					
36.									
37.									
38.									
39.									
40.									
41.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, Line 6: Served for less than 6 months as non-Board member of Allied Arts Committee tasked with distributing funds to local arts organizations adversely impacted by the Covid-19 pandemic; Allied Arts received the funds through a government grant for this distribution purpose. Total service—involving a few meetings—was between June and August 2020; service ended in August 2020.

Part I, Line 7: Served for less than one year as non-Board member of CEO Search Committee of Oklahoma City Repertory Theatre tasked with assessing applicants for vacant CEO position and selecting winning applicant. Total service—involving periodic interviews of applicants and related meetings—was between August 2020 and April 2021; service ended in April 2021.

Part VI, Line 2: Total balance on Credit Card #2 increased to a reportable amount by the close of the reporting period.

Part VII, Lines 1 & 3: With the exception of the noted stock and cash accounts, Trust #1 has no other reportable assets, including income-producing assets.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jerome A. Holmes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544