

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hurwitz, Andrew	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 03/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge, Ninth Circuit (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 401 W. Washington Street Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Partner	Avondale Acres Investment Partnership
2. Committee Member	Commission on the Future of Legal Education
3. Committee Member	National Conference of Bar Examiners Torts Drafting Committee
4. Trustee	Trust #1 (reportable assets are included in Part VII)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Arizona Elected Officials Retirement System; no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	National Conference of Bar Examiners (stipend)	\$8,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Arizona State Retirement System (pension)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Hurwitz, Andrew	03/09/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Goldman Sachs	Portfolio Loan	N
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. US BANK (cash)	A	Interest	L	T					
3. AVONDALE ACRES INVESTMENT PARTNERSHIP (REAL ESTATE, AVONDALE, AZ)	B	Distribution	K	W					
4. ACCOUNT #1 (H)									
5. HSBC BANK USA NATL ASSOC (cash) (Y)									
6. LPL FINANCIAL DEPOSIT ACCOUNT (cash) (X)	A	Interest	K	T					
7. AGNC INVESTMENT CORP (AGNC)	A	Dividend	J	T	Buy	05/28/20	J		
8. BEYOND MEAT INC (BYND)		None	J	T	Buy	12/07/20	J		
9. DROPBOX INC CL A (DBX)		None	K	T	Buy	12/07/20	K		
10. ISHARES SILVER TRUST (SLV)		None	J	T	Buy	12/07/20	J		
11. JPMORGAN CHASE ALERIAN MLP INDEX (AMJ)	B	Dividend			Sold (part)	11/05/20	J		
12.					Sold	11/09/20	J		
13. SWITCH INC CL A (SWCH)	A	Dividend	K	T	Buy	10/29/20	J		
14.					Buy (add'l)	12/07/20	J		
15. AIG FOCUSED DIV STRAT A (FDSAX)	A	Dividend	K	T					
16. AMERICAN BEACON ARK TRANSFORM INNOVATION CL Y (ADNYX)	A	Dividend	K	T	Buy	08/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Hurwitz, Andrew	03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17.					Buy (add'l)	11/10/20	J		
18.					Buy (add'l)	12/07/20	K		
19. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	K	T					
20. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)		None			Sold	05/28/20	K		
21. DOUBLELINE TOTAL RETURN (DBLTX)	B	Dividend	L	T					
22. DOUBLELINE SHILLER ENH CAPE (DSEEX)	B	Dividend	L	T	Sold (part)	05/28/20	K		
23. FIDELITY ADVANCED EMERGING MARKETS I (FIMKX)	A	Dividend	K	T					
24. FIRST EAGLE GOLD (FEGIX)	A	Dividend	L	T					
25. ISHARES MORTGAGE REAL ESTATE (REM)	B	Dividend	K	T	Sold (part)	12/07/20	J		
26. ISHARES RESIDENTIAL REAL ESTATE ETF (REZ)	A	Dividend			Sold	12/07/20	K		
27. ISHARES EDGE MSCI MIN VOL ETF (USMV)	A	Dividend	K	T					
28. HENDERSON EUROPEAN FOCUS (HFEIX)	A	Dividend	K	T					
29. MATTHEWS ASIA JAPAN INV (MJFOX)	B	Dividend	K	T	Sold (part)	12/07/20	J		
30. METROPOLITAN WEST TOT RET BD I (MWTIX)	B	Dividend	K	T					
31. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	L	T	Sold (part)	08/17/20	J		
32.					Sold (part)	12/07/20	K		
33. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. PACER TRENDPILOT 100 ETF (PTNQ)	A	Dividend	K	T	Buy	05/28/20	K		
35. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	K	T					
36. PERMANENT PORTFOLIO CL I (PRPFX)	A	Dividend	J	T	Buy	12/07/20	J		
37. PGIM FLOATING RATE INC Z (FRFZX)	A	Dividend			Sold	10/29/20	J		
38. PGIM TOT RET BD Z (PDBZX)	B	Dividend	K	T	Sold (part)	05/28/20	J		
39. PIMCO INCOME P (PONPX)	B	Dividend	K	T					
40. SPDR PORTFOLIO S&P 500 GROWTH ETF (SPYG)	A	Dividend	K	T	Buy	05/28/20	K		
41.					Sold (part)	12/07/20	K		
42. STRAT NASDAQ 7 HANDL ETF (HNDL)	B	Dividend	K	T					
43. T ROWE PRICE QM US SM CP GR EQ (PRDSX)	A	Dividend	J	T					
44. T ROWE PRICE MID CAP GR ADV (RPMGX)	A	Dividend	J	T					
45. TOUCHSTONE SANDS CAP SELECT GROWTH CL Y (CFSIX)	B	Dividend	K	T	Buy	12/07/20	K		
46. VANGUARD INFLAT PROT SEC FUND (VIPSX)	A	Dividend	J	T					
47. VANGUARD GROWTH ETF (VUG)	A	Dividend	L	T					
48. VANGUARD MID CAP VALUE ETF (VOE)	A	Dividend	J	T					
49. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	A	Dividend	K	T					
50. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. ACCOUNT #2 (H)									
52. INVESCO DB COMMODITY INDEX TRA (DBC) (Y)									
53. AIG FOCUSED DIV STRAT A (FDSAX)	A	Dividend			Sold	06/26/20	J		
54. AMERICAN BEACON SIM HIGH YIELD OPPTYS CL Y (SHOYX)	A	Dividend	J	T	Buy	06/26/20	J		
55. ARTISAN INTL VALUE ADVISOR CL (APDKX)	A	Dividend			Buy	06/26/20	J		
56.					Sold	12/08/20	J		
57. BLACKROCK SYSTEMATIC MULTI STRATEGY INSTL CL (BIMBX)	A	Dividend	J	T	Buy	12/08/20	J		
58. CLEARBRIDGE LARGE CAP GROWTH CL I (SBLYX)	A	Dividend	J	T	Buy	06/26/20	J		
59. COLUMBIA SELECT LG CAP GW I (UMLGX)	A	Dividend			Sold	06/26/20	J		
60. DELAWARE DIVERSIFIED INCOME INSTL CL (DPFFX)	A	Dividend	J	T	Buy	06/26/20	J		
61. DOUBLELINE SHILLER ENH CAPE (DSEEX)	A	Dividend			Sold	06/26/20	J		
62. FIRST EAGLE GOLD CL I (FEGIX) (X)		None			Sold	06/26/20	J		
63. HARBOR CAP APPREC INSTL CL (HACAX)	A	Dividend	J	T	Buy	06/26/20	J		
64. HARDING LOEVNER EMERGING MARKETS ADVISOR CL (HLEMX)	A	Dividend	J	T	Buy	06/26/20	J		
65.					Buy (add'l)	12/08/20	J		
66. JOHN HANCOCK DISCIPLINED VALUE CL I (JVLIX)	A	Dividend	J	T	Buy	06/26/20	J		
67. JOHN HANCOCK DISCIPLINED VAL MID CAP CL I (JVMIX)	A	Dividend	J	T	Buy	06/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. METROPOLITAN WEST TOTAL RETURN BOND CL I (MWTIX)	A	Dividend	J	T	Buy	06/26/20	J		
69. MFS VALUE CL I (MEIIX)	A	Dividend	J	T	Buy	06/26/20	J		
70. PACER TRENDPILOT 750 ETF (PTLC)		None			Sold	06/26/20	J		
71. T ROWE PRICE EMERGING MARKETS BOND INVESTOR CL (PREMX) (X)	A	Dividend	J	T					
72. TOUCHSTONE SANDS CAP SEL GR (CFSIX)		None			Sold	06/26/20	J		
73. VANGUARD GROWTH ETF (VUG)	A	Dividend			Sold	06/26/20	J		
74. ACCOUNT #3 (H)									
75. COMENITY CAPITAL BANK (cash) (X)		None	J	T					
76. BEYOND MEAT INC (BYND)		None	J	T	Buy	12/07/20	J		
77. CUBESMART COM (CUBE)	A	Dividend			Sold	12/07/20	J		
78. DROPBOX INC CL A (DBX)		None	J	T	Buy	12/07/20	J		
79. EQUITY LIFESTYLE PROPERTIES (ELS)	A	Dividend			Sold	12/07/20	J		
80. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)	A	Dividend			Sold	12/07/20	J		
81. AMERICAN BEACON ARK TRANSFORM INNOVATION CL Y (ADNYX)	A	Dividend	J	T	Buy	08/17/20	J		
82.					Buy (add'l)	12/07/20	J		
83. BNY MELLON SM/MD/CAP GRW I (SDSCX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
84. COHEN & STEERS PREF SEC&INC I (CPXIX)	A	Dividend	J	T					
85. COLUMBIA SELECT LG CP GROWTH Z (UMLGX)		None			Sold	05/28/20	J		
86. DOUBLELINE TOTAL RETURN I (DBLTX)	A	Dividend	J	T					
87. DOUBLELINE SHILLER ENH CAPE (DSEEX)	A	Dividend	J	T	Sold (part)	05/28/20	J		
88. FIDELITY ADV EMERG MKTS I (FIMKX)	A	Dividend	J	T					
89. FIRST EAGLE GOLD (FEGIX)	A	Dividend	J	T					
90. FRANKLIN INTL GROWTH ADV (FNGZX)	A	Dividend	J	T					
91. ISHARES MORTGAGE REAL ESTATE (REM)	A	Dividend	J	T					
92. ISHARES RESIDNTL RL EST ETF (REZ)	A	Dividend	J	T					
93. ISHARES EDGE MSCI USA MIN VOL (USMV)	A	Dividend	J	T					
94. MATTHEWS ASIAN JAPAN INV (MJFOX)	A	Dividend	J	T					
95. METROPOLITAN WEST TOT RET BD I (MWTIX)	A	Dividend	J	T					
96. PACER TRENDPILOT 750 ETF (PTLC)	A	Dividend	J	T	Sold (part)	08/17/20	J		
97. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	J	T					
98. PACER TRENDPILOT 100 ETF (PTNQ)	A	Dividend	J	T	Buy	05/28/20	J		
99. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	J	T					
100. PERMANENT PORTFOLIO CL I (PRPFX)	A	Dividend	J	T	Buy	12/07/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
101. PGIM TOT RET BD Z (PDBZX)	A	Dividend	J	T					
102. PIMCO INCOME (PONPX)	A	Dividend	J	T					
103. SPDR PORTFOLIO S&P 500 GROWTH ETF (SPYG)	A	Dividend	J	T	Buy	05/28/20	J		
104.					Sold (part)	12/07/20	J		
105. STRATEGY NASDAQ 7 HANDL INDEX ETF (HNDL)	A	Dividend	J	T					
106. TOUCHSTONE SANDS CAP SELECT GROWTH CL Y (CFSIX)	A	Dividend	J	T	Buy	12/07/20	J		
107. VANGUARD GROWTH ETF (VUG)	A	Dividend	J	T					
108. WISDOMTREE TRUST JAPN HEDGE (DXJ)	A	Dividend	J	T					
109. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	J	T					
110. ACCOUNT #4 (H)									
111. JOHN HANCOCK MGD VOL GROWTH PORTFOLIO		None	N	T					
112. ACCOUNT #5 (H)									
113. LPL FINANCIAL DEPOSIT ACCOUNT (cash) (X)	A	Interest	K	T					
114. AGNC INVESTMENT CORP (AGNC)	B	Dividend	K	T	Buy	05/08/20	J		
115.					Buy (add'l)	07/15/20	J		
116. ANNALY CAPITAL MANAGEMENT INC (NYL)	B	Dividend	K	T	Buy	05/08/20	J		
117.					Buy (add'l)	07/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
118. BEYOND MEAT INC (BYND)		None	J	T	Buy	12/07/20	J		
119. CUBESMART COM (CUBE)	A	Dividend	J	T					
120. DROPBOX INC CL A (DBX)		None	K	T	Buy	12/07/20	K		
121. EQUITY LIFESTYLE PROPERTIES (ELS)	A	Dividend			Sold	12/07/20	J	B	
122. ISHARES SILVER TRUST (SLV)		None	J	T	Buy	12/07/20	J		
123. JP MORGAN ALERIAN MLP INDEX ETN (AMJ)	A	Dividend			Buy	05/28/20	K		
124.					Sold (part)	11/05/20	J		
125.					Sold	11/09/20	K		
126. SWITCH INC CL A (SWCH)	A	Dividend	K	T	Buy	10/29/20	J		
127.					Buy (add'l)	12/07/20	K		
128. ALPS ALERIAN MLP ETF (AMLP)	B	Dividend			Sold	05/28/20	K		
129. AMERICAN BEACON ARK TRANSFORM INNOVATION CL Y (ADNYX)	A	Dividend	L	T	Buy	08/17/20	K		
130.					Buy (add'l)	11/10/20	J		
131.					Buy (add'l)	12/07/20	K		
132. BLACKROCK MUNIYIELD FUND INC (MYD)	A	Dividend	K	T	Buy	05/28/20	K		
133.					Buy (add'l)	05/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
134.					Buy (add'l)	05/28/20	J		
135. BNY MELLON SMALL MID CAP GRWTH CL I (SDSCX)	C	Dividend	L	T					
136. COHEN & STEERS PREF SEC&INC I (CPXIX)	B	Dividend	K	T					
137. DOUBLELINE TOTAL RETURN (DBLTX)	C	Dividend	M	T					
138. DOUBLELINE SHILLER ENH CAPE (DSEEX)	C	Dividend	M	T	Sold (part)	05/28/20	K	D	
139. FIDELITY ADVISOR EMERGING MARKETS CL I (FIMKX)		None	L	T	Buy	12/07/20	L		
140. FIRST EAGLE GOLD (FEGIX)	B	Dividend	L	T					
141. FRANKLIN INTERNATIONAL GROWTH ADVANCED (FNGZX)	B	Dividend	L	T					
142. ISHARES RESIDENTIAL REAL ESTATE ETF (REZ)	A	Dividend			Sold	12/07/20	K		
143. ISHARES EDGE MSCI USA MIN VOL ETF (USMV)	B	Dividend	L	T					
144. ISHARES MORTGAGE REAL ESTATE (REM)	B	Dividend			Sold	07/15/20	K		
145. MATTHEWS ASIA JAPAN (MJFOX)	C	Dividend	K	T	Sold (part)	12/07/20	J	D	
146. METROPOLITAN WEST TOT RET BD I (MWTIX)	B	Dividend	K	T					
147. NORTHERN AZ TAX EXEMPT FUND (NOAZX)	A	Dividend	K	T					
148. PACER TRENDPILOT US LARGE CAP (PTLC)	B	Dividend	M	T	Sold (part)	12/07/20	L	D	
149. PACER TRENDPILOT US MID CAP ETF (PTMC)	A	Dividend	K	T					
150. PACER TRENDPILOT 100 ETF (PTNQ)	A	Dividend	K	T	Buy	05/28/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
151. PACER BENCHMARK DATA & INFRA RE SECT ETF (SRVR)	A	Dividend	K	T					
152. PERMANENT PORTFOLIO CL I (PRPFX)	A	Dividend	K	T	Buy	12/07/20	K		
153. PGIM TOT RET BD Z (PDBZX)	B	Dividend	L	T	Sold (part)	05/28/20	K	A	
154. PIMCO SHORT TERM P (PTSPX)	A	Dividend	J	T	Buy (add'l)	12/07/20	J		
155. SECTOR CONSUMER STAPLES SELECT SECTOR SPDR (XLP)	A	Dividend			Buy	03/27/20	J		
156.					Sold	12/09/20	J	B	
157. SPDR SERIES TRUST S&P BIOTECH ETF (XBI)	A	Dividend	J	T	Buy	07/15/20	J		
158. STRATEGY NASDAQ 7 HANDL INDEX ETF (HNDL)	B	Dividend	L	T	Buy (add'l)	12/07/20	J		
159. T ROWE PRICE MID CAP GR ADV (RPMGX)	B	Dividend	K	T					
160. T ROWE PRICE QM US SMALL CAP GROWTH EQUITY (PRDSX)	B	Dividend	K	T					
161. TRANSAMERICA BOND CL I (TFXIX)	A	Dividend	K	T					
162. VANECK VECTORS MTG REIT INCOME ETF (MORT)	A	Dividend	K	T	Buy	07/15/20	K		
163. VANGUARD GROWTH ETF (VUG)	A	Dividend	L	T					
164. WELLS FARGO MUNI BOND (WMBIX)	A	Dividend	K	T					
165. WISDOMTREE EMERG MKTS ESG (RESE) (was DIVIDEND FUND ETF (DVEM)	A	Dividend			Sold	12/07/20	K		
166. WISDOMTREE TRUST JAPN HEDGE EQ (DXJ)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
167. WISDOMTREE EMERG MKTS CONS GRWTH FD (EMCG)	A	Dividend			Sold	06/09/20	K		
168. WISDOMTREE EUROPE HEDGED EQUITY (HEDJ)	A	Dividend	K	T					
169. ACCOUNT #6 (H)									
170. AMERICAN AMCAP 529A (CAFAX)	B	Dividend	K	T					
171. AMERICAN MUTUAL 529A (CMLAX)	A	Dividend	K	T					
172. ACCOUNT #7 (H)									
173. AMERICAN AMCAP 529A (CAFAX)	B	Dividend	K	T					
174. AMERICAN MUTUAL 529A (CMLAX)	A	Dividend	K	T					
175. ACCOUNT #8 (H)									
176. AMERICAN AMCAP 529A (CAFAX)	A	Dividend	J	T					
177. AMERICAN BALANCED 529 A (CLBAX)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Hurwitz, Andrew

Date of Report

03/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew Hurwitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544