

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horn, Marian B.	2. Court or Organization United States Court of Federal Claims	3. Date of Report 07/17/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 717 Madison Place NW Washington DC 20439		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor of Law	George Washington University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	GW Univ. Law School - Teaching	\$21,020.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	-s [REDACTED] passed away 2/27/20) DTE Energy Co - Pension
2. 2020	2 months [REDACTED] passed away 2/27/20) Husch Blackwell - salary
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Visa and Mastercard	Credit Card Loans	K
2. PNC	PNC Business Loan	N
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. - Morgan Stanley Smith Barney IRA Account - H									
2. Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
3. Abbott Labs	A	Dividend	K	T					
4. Alphabet A		None	M	T	Sold (part)	03/10/20	M		
5. Alphabet C		None	M	T	Sold (part)	03/10/20	M		
6. Berkshire Hathaway Inc. CL-B New		None	M	T					
7. Carrier-X	A	Dividend	J	T					
8. Catepillar	A	Dividend	J	T					
9. Cisco Systems	A	Dividend	K	T					
10. Ebay	A	Dividend	K	T					
11. Healthcare Sector SPDR ETF	C	Dividend	M	T					
12. Interdigital	B	Dividend	L	T					
13. Investco QQQ Trust-X	A	Dividend	J	T					
14. JP Morgan Chase	A	Dividend	J	T					
15. Merck	A	Dividend	K	T					
16. Microsoft	A	Dividend	K	T					
17. Otis Worldwide-X	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PayPal		None	M	T					
19. Pfizer	A	Dividend	J	T					
20. PG&E		None	J	T	Buy	02/06/20	J		
21. Raytheon	A	Dividend	J	T					
22. Research Frontiers		None	J	T					
23. United Technologies	A	Dividend	J	T	Redeemed (part)	04/03/20	J		
24. Utilities Sector SPDR ETF	A	Dividend	J	T					
25. Viatris-X		None	J	T	Sold (part)	11/20/20	J		
26. Wells Fargo	A	Dividend	J	T					
27. - Morgan Stanley Smith Barney IRA Account - H									
28. Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
29. AMG Mid Cap Growth - X	B	Dividend	J	T	Buy	04/29/20	J		
30.					Buy	12/17/20	J		
31. Biotech SPDR - Y	A	Dividend	K	T	Buy	02/05/20	J		
32. Communications Serv SPDR	A	Dividend	L	T	Buy	02/05/20	J		
33. Consumer Disc. SPDR	A	Dividend	L	T	Buy	02/05/20	J		
34.					Buy	07/09/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Consumer Staples SPDR	A	Dividend	J	T	Buy	02/05/20	J		
36. Deleware Small Cap Value - X	A	Dividend	J	T	Buy	04/29/20	J		
37.					Buy	12/21/20	J		
38. DTE Energy	A	Dividend	J	T					
39. Energy SPDR	B	Dividend	K	T	Buy	02/05/20	J		
40. EBay	A	Dividend	K	T	Buy	03/20/20	J		
41.					Buy	09/18/20	J		
42. Financial SPDR - Y	B	Dividend	L	T	Buy	02/05/20	J		
43. FT Cloud Computing ETF	A	Dividend	J	T	Buy	02/05/20	J		
44. FT NASDAQ Cybersecurity ETF	A	Dividend	J	T	Buy	02/05/20	J		
45. Health Care SPDR	B	Dividend	L	T	Buy	01/03/20	J		
46.					Buy	07/07/20	J		
47. Industrial SPDR - Y	A	Dividend	K	T	Buy	02/05/20	J		
48. Invesco US Gov'T MMF Y	A	Int./Div.	L	T	Sold (part)	02/26/20	K		
49.					Sold (part)	04/29/20	K		
50. Invesco Prime MMF - Y	A	Int./Div.	L	T	Sold (part)	02/05/20	L		
51.					Sold (part)	03/02/20	K		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. iShares Exponential Tech ETF	A	Dividend	J	T	Buy	02/05/20	J		
53. iShares Small Cap 600 - X	A	Dividend	J	T	Buy	04/29/20	J		
54. Lord Abbett Ultra Short Bond - Y	C	Dividend	M	T	Buy	03/08/20	J		
55. Materials SPDR - Y	A	Dividend	K	T	Buy	02/05/20	J		
56. Nuance Mid Cap Value - X	A	Dividend	J	T	Buy	04/29/20	J		
57.					Buy	12/30/20	J		
58. PayPal - Y		None	L	T					
59. Putnam Ultra Short Bond - Y	C	Dividend	N	T	Buy	12/30/20	J		
60.					Sold (part)	07/07/20	J	A	
61. QQQ NASDAQ 100 ETF - Y	A	Dividend	J	T					
62. Real Estate SPDR - Y	A	Dividend	J	T	Buy	02/05/20	J		
63. ROBO Global Robotics ETF - Y	A	Dividend	J	T	Buy	02/05/20	J		
64. Technology SPDR - Y	B	Dividend	M	T	Buy	02/05/20	J		
65.					Buy	07/07/20	K		
66. Utilities SPDR - Y	A	Dividend	J	T	Sold (part)	02/05/20	J		
67. - Morgan Stanley Smith Barney Brokerage Account - H									
68. Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T	Buy	11/24/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marian B. Horn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544