

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Katsas, Gregory G.	2. Court or Organization U.S. Court of Appeals for the D.C. Circuit	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address U.S Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1 (reportable holdings are included in Part VII)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2009	Jones Day - defined benefit pension plan (no control)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Katsas, Gregory G.

Date of Report

08/12/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Mason University Center for Law and Economics	January 10-12, 2020	Naples, FL	Originalism Summit	Travel, food, lodging
2.	Federalist Society	January 31 - February 2, 2020	Orlando, FL	Conference	Travel, food, lodging
3.	Federalist Society	February 10-11, 2020	New Haven, CT	Speaker	Travel, food, lodging
4.	Federalist Society	February 19-20, 2020	Wichita, KS	Speaker	Travel, food, lodging
5.	Federalist Society	March 3-4, 2020	Austin, TX	Speaker	Travel, food, lodging

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Katsas, Gregory G.	08/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Katsas, Gregory G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Schwab Bank (cash)	A	Interest	J	T					
3. United Trust (cash)	A	Interest	K	T					
4. Account #1 (H)									
5. Schwab Bank Sweep (cash)	A	Interest	J	T					
6. Schwab US Dividend Equity Fund (SCHD)		None			Sold	02/24/20	K	D	
7. Walmart Inc (WMT)	A	Dividend	L	T	Buy	04/20/20	K		
8.					Buy (add'l)	05/04/20	J		
9. Account #2 (H)									
10. Schwab Bank Sweep (cash)	A	Interest	O	T					
11. Alphabet Inc Class A (GOOGL)		None			Buy	03/27/20	M		
12.					Sold	04/03/20	M		
13. Amazon.com Inc (AMZN)		None			Buy	03/19/20	M		
14.					Sold	04/03/20	M	D	
15. Apple Inc (AAPL)	B	Dividend	N	T	Buy	04/16/20	N		
16.					Buy (add'l)	04/17/20	N		
17.					Sold (part)	04/29/20	M	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	05/27/20	M	E	
19.					Sold (part)	06/10/20	M	E	
20.					Sold (part)	06/18/20	M	E	
21.					Buy (add'l)	11/02/20	M		
22. Walmart Inc (WMT)	B	Dividend	M	T	Buy	04/17/20	L		
23.					Buy (add'l)	04/29/20	K		
24.					Buy (add'l)	04/30/20	K		
25.					Buy (add'l)	05/27/20	L		
26.					Sold (part)	07/13/20	M	B	
27.					Buy (add'l)	11/02/20	L		
28. Diamond Hill Long Short Fund (DHLSX)		None			Sold	04/02/20	L		
29. Equinix Inc Reit (EQIX)	A	Dividend			Buy	04/17/20	M		
30.					Buy (add'l)	04/20/20	L		
31.					Sold (part)	06/16/20	L	B	
32.					Sold (part)	06/18/20	L	B	
33.					Sold	06/26/20	L	B	
34. iShares Core High (HDV)		None			Sold	02/11/20	L	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. iShares Core S&P 500 (IVV)		None			Sold (part)	02/07/20	N	E	
36.					Sold	02/11/20	M	E	
37.					Buy	11/02/20	M		
38.					Sold	11/04/20	M	D	
39. Schwab Fundamental Intl Large Co Index (SFNNX)		None			Sold	02/11/20	K		
40. Account #3 (H)									
41. Schwab Bank Sweep Account (cash)	A	Interest	O	T					
42. Alphabet Inc Class A (GOOGL)		None			Buy	03/20/20	M		
43.					Sold	04/03/20	M	C	
44. Amazon.com Inc (AMZN)		None			Buy	03/20/20	M		
45.					Buy (add'l)	04/01/20	M		
46.					Sold	04/03/20	N	D	
47.					Buy	04/15/20	M		
48.					Sold	07/13/20	N	F	
49. Apple Inc (AAPL)	B	Dividend	N	T	Buy	03/19/20	M		
50.					Buy (add'l)	04/01/20	L		
51.					Sold	04/03/20	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Buy	04/15/20	N		
53.					Sold (part)	06/18/20	M	E	
54.					Buy (add'l)	11/02/20	M		
55. Walmart Inc (WMT)	B	Dividend			Buy	03/19/20	M		
56.					Buy (add'l)	03/27/20	M		
57.					Buy (add'l)	04/01/20	L		
58.					Sold	04/03/20	N	C	
59.					Buy	04/15/20	M		
60.					Sold (part)	07/13/20	M	C	
61.					Buy (add'l)	11/02/20	M		
62.					Sold	11/04/20	M	D	
63. SPDR Gold Shares ETF (GLD)		None	L	T	Buy	03/27/20	L		
64. Call Apple Inc (AAPL) (X)		None			Sold	12/29/20	J		
65. Equinix Inc Reit (EQIX)	B	Dividend			Buy	04/15/20	M		
66.					Buy (add'l)	04/20/20	L		
67.					Sold (part)	06/16/20	L	B	
68.					Sold (part)	06/18/20	L	B	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold	06/26/20	M	C	
70. Charles Schwab US MC ETF (SCHM)		None			Buy (add'l)	01/03/20	L		
71.					Sold	02/11/20	M	D	
72. Schwab US Broad Market ETF (SCHB)		None			Sold	02/11/20	M	E	
73. Schwab US Dividend Equity ETF (SCHD)		None			Sold	02/11/20	N	E	
74. Schwab US Large Cap Growth ETF (SCHG)		None			Sold (part)	02/07/20	M	F	
75.					Sold	02/11/20	M	E	
76. Schwab US Large-Cap ETF (SCHX)		None			Sold	02/24/20	L	D	
77. Account #4 (H)									
78. Schwab Bank Sweep (cash)	A	Interest	P1	T					
79. Amazon.com Inc (AMZN)		None			Buy	03/20/20	N		
80.					Sold	04/02/20	N	D	
81.					Buy	04/15/20	M		
82.					Buy (add'l)	04/20/20	M		
83.					Sold (part)	06/10/20	N	E	
84.					Sold	07/13/20	N	F	
85. Apple Inc (AAPL)	B	Dividend	N	T	Buy	04/16/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86.					Buy (add'l)	04/17/20	N		
87.					Sold (part)	06/10/20	M	E	
88.					Sold (part)	06/16/20	L	E	
89.					Sold (part)	06/18/20	L	D	
90.					Sold (part)	06/26/20	L	E	
91.					Buy (add'l)	11/02/20	M		
92. Walmart Inc (WMT)	B	Dividend	M	T	Buy	03/27/20	M		
93.					Sold	04/02/20	M	D	
94.					Buy	04/15/20	M		
95.					Buy (add'l)	04/20/20	L		
96.					Buy (add'l)	05/04/20	L		
97.					Sold (part)	07/13/20	M	C	
98.					Buy (add'l)	11/02/20	M		
99.					Sold (part)	11/01/20	M	D	
100. Equinix Inc Reit (EQIX)	A	Dividend			Buy	04/20/20	M		
101.					Sold (part)	06/16/20	L	B	
102.					Sold (part)	06/18/20	L	B	

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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V =Other

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H1 =\$1,000,001 - \$5,000,000
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P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

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103.					Sold	06/26/20	L	B	
104. iShares Core S&P 500 ETF (IVV)		None			Sold (part)	02/07/20	M	E	
105.					Sold	02/11/20	N	E	
106.					Buy	11/02/20	O		
107.					Sold	11/04/20	O	E	
108. Schwab US Large Cap Value ETF (SCHV)		None			Sold	02/11/20	N	E	
109. Vanguard Dividend Appreciation ETF (VIG)		None			Sold	02/11/20	N	E	
110. Gateway Fund CL Y (GTEYX)		None			Sold	02/24/20	N	E	
111. Account #5 (H)									
112. Fidelity Core Cash Account (cash)	C	Interest	P1	T					
113. Gateway Fund Class Y (GTEYX)	D	Dividend			Sold (part)	02/19/20	M	E	
114.					Sold	05/14/20	M		
115. Schwab Fundamental US Large Co Index (SFLNX)	E	Dividend			Sold	02/19/20	N	E	
116. iShares Core S&P 500 ETF (IVV)	E	Dividend	O	T	Sold (part)	02/26/20	N	F	
117. iShares Russell 2000 ETF (IWM)	D	Dividend			Sold	02/26/20	N	E	
118. Account #6 (H)									
119. iShares Core S&P 500 ETF (IVV)	B	Dividend	M	T	Sold (part)	03/24/20	K		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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Date of Report

08/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Vanguard Dividend Appreciation Fund (VIG)	A	Dividend	K	T					
121. Vanguard Growth ETF (VUG)	B	Dividend	M	T	Buy	03/25/20	M		
122. FMI Large Cap Fund (FMIHX)		None			Sold	03/24/20	L		
123. Schwab Fundamental Intl Large Co Index (SFNNX)		None			Sold	01/02/20	K		
124. Vanguard 500 Index Fd Admiral SHRS (VFIAX)	C	Dividend	M	T					
125. Account #7 (H)									
126. Vanguard Growth ETF (VUG)	B	Dividend	N	T	Buy	03/25/20	M		
127. Gateway Fund CL Y (GTEYX)	C	Dividend	N	T					
128. Schwab Fundamental US Large Co Index (SFLNX)	E	Dividend	O	T	Sold (part)	03/24/20	M		
129. Vanguard 500 Index Fd Admiral Shrs (VFIAX)	C	Dividend	N	T	Sold (part)	03/24/20	K		
130. Account #8 (H)									
131. Schwab Bank Sweep (cash) (X)	A	Interest	K	T					
132. Ishares Core S&P ETF (IJH)		None			Sold	03/24/20	M		
133. Schwab US Broad Market ETF (SCHB)		None			Sold	03/24/20	M		
134. Schwab US Large-Cap Value ETF (SCHV)		None			Sold	03/24/20	M		
135. Schwab US Small-Cap ETF (SCHA)		None			Sold	02/24/20	L	D	
136. Vanguard Mid Cap ETF (VO)	C	Dividend	N	T	Buy	03/24/20	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Katsas, Gregory G.

Date of Report

08/12/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Vanguard Total Stock Market ETF (VTI)	D	Dividend	O	T	Buy	03/25/20	O		
138. Diamond Hill Long Short Fund Cl I (DHLSX)		None			Sold	02/24/20	N		
139. Vanguard 500 Index Fd Admiral Shrs (VFIAX)	B	Dividend			Sold	03/24/20	N		
140. Account #9 (H)									
141. Charles Schwab Conservative Pool (SCGC1)		None			Buy	02/25/20	L		
142.					Sold (part)	04/28/20	J		
143.					Sold (part)	07/29/20	J	A	
144.					Sold	11/02/20	L	C	
145. Charles Schwab Large Cap Equity Managed Pool (SCGE1)		None	K	T	Sold	02/24/20	K	C	
146.					Buy	11/03/20	K		
147.					Sold (part)	12/10/20	J	A	
148. Schwab Money Market Pool (SCGM1)		None	K	T	Sold (part)	01/07/20	J	A	
149.					Sold	02/24/20	K	A	
150.					Buy	11/03/20	K		
151.					Sold (part)	12/10/20	J		
152. Charles Schwab Total Market Equity Index Pool (SCBP1)		None	K	T	Sold	02/24/20	K	C	
153.					Buy	11/03/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Sold (part)	12/10/20	J	A	
155. Account #10 (H)									
156. Schwab Money Market Portfolio		None	L	T	Buy	02/07/20	L		
157. Schwab Aggressive Growth Index Portfolio		None	K	T	Sold (part)	02/07/20	L		
158. Account #11 (H)									
159. 529 Virginia Prepay - tuition plan (cash)		None	L	T					
160. Account #12 (H)									
161. Vanguard Total Stock Index Fund (VSMPX)		None	K	T	Buy (add'l)	12/24/20	J		
162. Account #13 (H)									
163. Vanguard Total Stock Index Fund (VSMPX)		None	K	T	Buy (add'l)	12/24/20	J		
164. Invesco Advisors Stable Value Portfolio		None	J	T					
165. Account #14 (H)									
166. Schwab Aggressive Growth Index Portfolio		None	K	T	Sold (part)	02/07/20	L		
167. Scwhab Money Market Portfolio	A	Dividend	L	T	Buy	02/07/20	L		
168. Account #15 (H)									
169. 529 Virginia Prepay - tuition plan (cash)		None	L	T					
170. Account #16 (H)									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Vanguard Total Stock Index Fund (VSMPX)		None	L	T	Buy (add'l)	12/24/20	J		
172. Account #17 (H)									
173. Vanguard Total Stock Index Fund (VSMPX)		None	K	T	Buy (add'l)	12/24/20	J		
174. Invesco Advisors Stable Value Portfolio		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Katsas, Gregory G.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Katsas, Gregory G.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gregory G. Katsas**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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