

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeague, David W.	2. Court or Organization U.S. Court of Appeals - Sixth	3. Date of Report 12/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
5b. ☒ Amended Report		
7. Chambers or Office Address U.S. Court of Appeals 315 W. Allegan Street Lansing, MI 48933		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Pine Rest Christian Mental Health Services
2. Adjunct Professor	Michigan State University College of Law
3. Board Member	Michigan State University College of Law
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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McKeague, David W.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Michigan State University College of Law (approved teaching)	\$13,804.32
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Michigan Health & Hospital Association - wages
2. 2020	Emergent Holdings (Formerly Known as Accident Fund Insurance Company) – directors
3. 2020	Life Secure Board
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC	A	Interest	L	T					
2. Ohio National Univ. Life		None	K	T					
3. IRA 1									
4. Vanguard 500 Index Admiral	D	Dividend	N	T	Sold (part)	12/18/20	K	E	
5.					Sold (part)	06/22/20	K	D	
6.					Sold (part)	01/13/20	K	D	
7.					Buy (add'l)	03/23/20	K		
8. Touchstone Sands Capital Inst Gr	C	Dividend	K	T	Sold (part)	06/22/20	J	B	
9. Sterling Capital Stratton SmCp Val Instl	C	Dividend	J	T	Sold (part)	12/18/20	J	A	
10. Harding Loevner Instl Emerg Mkts I	A	Dividend	L	T	Sold (part)	12/18/20	J	C	
11.					Buy (add'l)	06/22/20	K		
12. PIMCO Income Instl	B	Dividend	K	T	Buy (add'l)	06/22/20	J		
13. MFS International Value R6	C	Dividend	L	T	Sold (part)	06/22/20	J	A	
14. Sterling Capital Equity Income Instl	A	Dividend	K	T					
15. Federated Total Return Bond R6	D	Dividend	M	T	Sold (part)	07/29/20	J	A	
16.					Sold (part)	03/23/20	K	A	
17.					Buy (add'l)	12/18/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	06/22/20	J		
19.									
20. Metropolitan West Total Return Bd I	D	Dividend	M	T	Buy (add'l)	12/18/20	K		
21.					Sold (part)	07/29/20	J	A	
22.					Sold (part)	03/23/20	K	B	
23.					Sold (part)	04/13/20	J	B	
24.					Buy (add'l)	06/22/20	K		
25. Vanguard Developed Markets Index Admiral	B	Dividend	L	T	Buy (add'l)	03/23/20	J		
26.					Sold (part)	06/22/20	J	C	
27. Vanguard Extended Market Index Admiral	A	Dividend	K	T	Sold (part)	12/18/20	J	C	
28.					Sold (part)	06/22/20	K	C	
29. American Beacon Small Cp Val Inst	A	Dividend	L	T	Buy (add'l)	03/23/20	J		
30.					Buy (add'l)	06/22/20	K		
31. T. Rowe Price Instl Mid-Cap Equity Gr	C	Dividend	L	T	Buy (add'l)	03/23/20	J		
32.					Sold (part)	06/22/20	K	B	
33. American Funds Europacific Growth F3	A	Dividend	L	T	Sold (part)	06/22/20	J	A	
34.					Sold (part)	12/18/20	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Schwab Bank Sweep	A	Dividend	L	T					
36. Federated Institutional High Yield Bond Fund Class R6	A	Dividend	K	T	Buy (add'l)	12/18/20	J		
37.					Buy (add'l)	07/29/20	K		
38.					Buy (add'l)	04/13/20	J		
39. Alerus Conservative Growth Fund	A	Dividend	O	T					
40. Ohio National Univ. Life Policy		None	K	T					
41. IRA 2									
42. Vanguard 500 Index Admiral	B	Dividend	M	T	Sold (part)	07/01/20	J	B	
43. Touchstone Sands Capital Inst Gr	C	Dividend	K	T					
44. PIMCO Income Instl	A	Dividend	K	T	Buy (add'l)	07/29/20	J		
45. MFS International Value R6	B	Dividend	K	T					
46. Sterling Capital Equity Income Instl	A	Dividend	K	T					
47. Federated Total Return Bond R6	C	Dividend	L	T	Sold (part)	07/29/20	K	B	
48. Metropolitan West Total Return Bd I	D	Dividend	L	T	Sold (part)	04/13/20	J	A	
49. Vanguard Developed Markets Index Admiral	A	Dividend	J	T	Buy (add'l)	03/23/20	J		
50. Vanguard Extended Market Index Admiral	A	Dividend	K	T					
51. American Beacon Small Cp Val Inst	A	Dividend	K	T	Buy (add'l)	07/01/20	J		

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52. T. Rowe Price Instl Mid-Cap Equity Gr	A	Dividend	K	T					
53. American Funds Europacific Growth F3	A	Dividend	K	T					
54. Schwab Bank Sweep	A	Dividend	K	T					
55. Sterling Capital Stratton SmCp Val Instl	B	Dividend	J	T	Buy	03/23/20	J		
56. Federated Institutional High Yield Bond Fund Class R6	A	Dividend	K	T	Buy	04/13/20	J		
57.					Buy (add'l)	07/29/20	J		
58. MI Health & Hosp. Assoc. deferred comp plan	A	Dividend	N	T					
59. IRA 4									
60. Vanguard 500 Index Admiral	A	Dividend	K	T					
61. Sterling Capital Stratton SmCp Val Instl	A	Dividend	J	T					
62. PIMCO Income Instl	A	Dividend	J	T					
63. Federated Total Return Bond R6	B	Dividend	K	T					
64. Metropolitan West Total Return Bd I	B	Dividend	K	T					
65. Schwab Bank Sweep	A	Dividend	J	T					
66. Joint Revocable Trust									
67. Harding Loevner Instl Emerg Mkts I	A	Dividend	K	T					
68. MFS International Value R6	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Vanguard 500 Index Admiral	A	Dividend	J	T					
70. Vanguard Developed Markets Index Admiral	A	Dividend	K	T	Buy (add'l)	03/23/20	J		
71. Vanguard International Growth Adm	A	Dividend	J	T					
72. Schwab Bank Sweep	A	Dividend	J	T					
73. MSU Federal Credit Union	A	Interest	N	T					
74. University of Michigan Credit Union	A	Interest	J	T					
75. Emergent Holdings Deferred Benefit	A	Interest	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- "MSU College of Law" Board ceased when the Law School was merged into the University in August 2020.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **David W. McKeague**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544