

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moore, Kimberly A.	2. Court or Organization Federal Circuit	3. Date of Report 04/09/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Court of Appeals for the Federal Circuit 717 Madison Place, NW Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Moore, Kimberly A.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	attorney, self-employed, partner
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Moore, Kimberly A.	04/09/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Moore, Kimberly A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental Property (2008 \$2,211,554.00) (Currituck County, NC) 11-30-2016	F	Rent	P1	Q					
2.									
3. Alexandria Apartment Associates, LLC Alexandria, VA June 2017 \$500,000	E	Rent	N	R					
4.									
5. Capital One Bank Accounts	A	Interest	O	T					
6.									
7. Citibank Account	B	Interest	P1	T					
8.									
9. Northwestern Mutual Life Insurance - Whole Life	B	Dividend	J	T					
10.									
11. Northwestern Mutual Life Insurance - Whole Life	B	Dividend	J	T					
12.									
13. Berkshire Life Insurance - Whole Life	A	Dividend	J	T					
14.									
15. CHARLES SCHWAB ACCOUNTS									
16. - Vanguard Developed Markets	A	Dividend	K	T	Buy (add'l)	01/02/20	J		
17.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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18. - Vanguard Total Stock Market	D	Dividend	O	T	Buy (add'l)	01/02/20	J		
19.									
20. - Vanguard Retirement Savings Trust	C	Interest	M	T					
21.									
22. - T. Rowe Price Mid-Cap Growth	E	Dividend	P1	T	Buy (add'l)	01/02/20	J		
23.									
24. - Vanguard Instiitutional Index Instiitutional	D	Dividend	O	T	Buy (add'l)	01/02/20	J		
25.									
26. - Vanguard Total Bond	B	Interest	N	T	Buy (add'l)	01/02/20	J		
27.									
28. VA 529 PLANS (H)									
29. - pre Paid		None	M	T					
30.									
31. - in Vest 2021		None	L	T					
32.									
33. - in Vest 2015		None	N	T					
34.									

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35. - in Vest Stable Value		None	L	T					
36.									
37. VANGUARD ACCOUNT (H)									
38. - Energy Fund Investor		Dividend			Sold	01/03/20	K		
39.									
40. - Large Cap Index		Dividend			Sold	11/11/20	N	G	
41.									
42. - Mid Cap Index		Dividend			Sold	04/06/20	M		
43.									
44. - Prime Money Market Fund	A	Dividend	O	T					
45.									
46. - Short Term Bond	A	Dividend	L	T					
47.									
48. - Small Cap Index		Dividend			Sold	03/16/20	M		
49.									
50. - Total Bond Market Index	B	Dividend	L	T					
51.									

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52. - Total Index Intl Stock		Dividend			Sold	03/16/20	M		
53.									
54. - Total Stock Market Fund		Dividend			Sold	04/06/20	M	E	
55.									
56. - Vanguard Energy ETF		Dividend			Sold	01/03/20	L		
57.									
58. - 500 Index Fund	E	Dividend	M	T	Sold (part)	11/11/20	P1	G	
59.									
60. WELLS FARGO ACCOUNT A (BROKERAGE)									
61. - Altria Group		None			Sold	01/06/20	K	D	
62.									
63. - Becton Dickinson	C	Dividend	N	T					
64.									
65. - Bio Life Solutions		None			Sold (part)	01/09/20	J		
66.					Sold (part)	01/10/20	J		
67.					Sold	02/11/20	M		
68.									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
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P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
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B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
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D =\$5,001 - \$15,000
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69. - Casey's General Store		None			Sold	01/07/20	K		
70.									
71. - Church & Dwight Inc.		Dividend			Sold	10/19/20	N	G	
72.									
73. - Cintas	B	Dividend	N	T					
74.									
75. - Clorox	B	Dividend	L	T					
76.									
77. - Coca-Cola		None	L	T					
78.									
79. - Constellation Brands		Dividend			Sold	01/07/20	L		
80.									
81. - Cracker Barrel Old Ctry Store	D	Dividend	N	T					
82.									
83. - Cryoport		None	M	T					
84.									
85. - Danaher Corp.		Dividend			Sold	10/20/20	N	G	

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86.									
87. - Dollar General	C	Dividend	N	T					
88.									
89. - Exxon	C	Dividend	L	T					
90.									
91. - Fed Ex		Dividend			Sold	01/06/20	M		
92.									
93. - Hill-Rom Holdings (X)	C	Dividend	N	T					
94.									
95. - Intuitive Surgical (X)		None			Sold (part)	10/20/20	O	G	
96.					Sold	10/21/20	O	G	
97.									
98. - JM Smucker		Dividend			Sold	01/07/20	M		
99.									
100. - Johnson & Johnson		None			Sold	01/03/20	M	G	
101.									
102. - Kansas City Southern		None			Sold	10/19/20	N	F	

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103.									
104. - Marathon Petroleum	D	Dividend	L	T					
105.									
106. - McCormick & Co.		Dividend			Sold (part)	10/19/20	K	D	
107.					Sold	10/20/20	M	E	
108.									
109. - McDonalds		Dividend			Sold	10/20/20	N	G	
110.									
111. - Merck		None			Sold	01/03/20	K	E	
112.									
113. - Norfolk Southern		None			Sold	10/20/20	L	D	
114.									
115. - OGE Energygy		None			Sold	01/06/20	J	C	
116.									
117. - Pepsico Incorporated	E	Dividend	O	T					
118.									
119. - Pfizer (X)		None			Sold	01/03/20	K	E	

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120.									
121. - Philip Morris	B	Dividend	K	T					
122.									
123. - Proctor & Gamble		None			Sold	01/03/20	M	G	
124.									
125. - Solvay Bank		None			Sold	01/06/20	J	B	
126.									
127. - Stericycle Inc.		None	K	T					
128.									
129. - Stryker (X)	D	Dividend	O	T					
130.									
131. - Territorial Bancorp		None			Sold	01/06/20	K	C	
132.									
133. - Ulta Beauty		None	K	T					
134.									
135. - United Rentals		None	N	T					
136.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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137. - Visa		Dividend			Sold (part)	10/19/20	M	G	
138.					Sold	10/21/20	N	G	
139.									
140. - Wells Fargo		None			Sold	01/07/20	K	E	
141.									
142. - Zimmer Biomet	A	Dividend	K	T					
143.									
144. WELLS FARGO ACCOUNT B (IRA)									
145. - Becton Dickinson	B	Dividend	M	T					
146.									
147. - Church & Dwight Inc.	A	Dividend	L	T					
148.									
149. - Columbia FDS SRS	A	Dividend	K	T	Buy	01/07/20	K		
150.									
151. - Cracker Barrel		None	L	T					
152.									
153. - Cryoport		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.									
155. - Danaher	A	Dividend	M	T					
156.									
157. - Dollar General	B	Dividend	M	T					
158.									
159. - Fed Ex		Dividend			Sold	01/06/20	K		
160.									
161. - Growth Fund America	D	Dividend	L	T					
162.									
163. - Intuitive Surgical		None	N	T					
164.									
165. - JM Smuckers	B	Dividend	L	T					
166.									
167. - Pepsico	C	Dividend	M	T					
168.									
169. - Stericycle		None	K	T					
170.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - United Rentals		None	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Moore, Kimberly A.

Date of Report

04/09/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Moore, Kimberly A.

Date of Report

04/09/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kimberly A. Moore**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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