

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NORGLER, CHARLES R.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 5/14/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT COURT, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address UNITED STATES COURTHOUSE 219 SOUTH DEARBORN STREET STE 2346 CHICAGO, IL 60604-1844		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)



NONE (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE (No reportable reimbursements.)

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CHASE CREDIT CARD	CONSUMER CREDIT	J
2.	BANK OF AMERICA CREDIT CARD	CONSUMER CREDIT	J
3.	CITIBANK CREDIT CARD	CONSUMER CREDIT	J
4.	AMERICAN EXPRESS CREDIT CARD	CONSUMER CREDIT	J
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. CHINA TECHNOLOGY GLOBAL		None	J	T					
2. SUPPORTSAVE SOLUTIONS- NAME CHANGE TO GOLDEN TIME NETWORK MARKETING		None	J	T					
3. DEUTSCHE BANK AG		None	K	T					
4. RESEARCH IN MOTION		None	K	T					
5. JAGUAR ANIMAL HEALTH, INC.		None			Buy	02/11/20	J		
6. JAGUAR ANIMAL HEALTH, INC.		None	L	T	Sold (part)	11/13/20	J		
7. SCHWAB ACCOUNT - [REDACTED] - PIMCO CORPORATE OPPORTUNITY	A	Dividend	J	T					
8. SCHWAB ACCOUNT - [REDACTED] - AT&T, INC.	A	Dividend	J	T					
9. SCHWAB ACCOUNT - [REDACTED] - GENERAL MOTORS CO.	A	Dividend	J	T					
10. APPLE, INC.	B	Dividend			Sold	03/24/20	K	C	
11. AT&T, INC	C	Dividend			Sold	12/03/20	K		
12. CATERPILLAR, INC.	A	Dividend	J	T					
13. FORD MOTOR	B	Dividend			Buy	02/06/20	J		
14. FORD MOTOR	B	Dividend	L	T	Sold (part)	03/17/20	K		
15. INTERNATIONAL BUSINESS MACHINES, INC. (IBM)	B	Dividend	K	T					
16. TESLA, INC.		None	P1	T	Buy	02/05/20	N		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. TESLA, INC		None	P1	T	Sold (part)	06/19/20	O	G	
18. BOEING, INC.	A	Dividend	J	T					
19. DOW, INC.	B	Dividend	K	T	Buy	01/15/20	K		
20. McDONALDS CORP.	A	Dividend	J	T					
21. AMAZON INC. COMMON		None	L	T					
22. AMAZON INC. COMMON		None	L	T	Sold (part)	03/23/20	L	D	
23. BP ADR	A	Dividend			Buy	07/30/20	J		
24. BP ADR		None			Sold	11/16/20	J		
25. H&R BLOCK COMMON	B	Dividend	J	T	Buy	02/03/20	K		
26. H&R BLOCK COMMON.	B	Dividend	J	T	Buy (add'l)	12/21/20	J		
27. H&R BLOCK COMMON		None	J	T	Sold (part)	09/04/20	J		
28. FEDEX CORP	A	Dividend	J	T	Buy	02/03/20	K		
29. FEDEX CORP	A	Dividend	J	T	Buy (add'l)	05/13/20	J		
30. FEDEX CORP		None			Sold (part)	03/19/20	J		
31. GILEAD SCIENCES		None			Buy	02/05/20	K		
32. GILEAD SCIENCES	A	Dividend			Buy (add'l)	06/08/20	J		
33. GILEAD SCIENCES		None	J	T	Sold (part)	11/20/20	K		

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34. OCCIDENTAL PETROLEUM-WARRANT	A	Dividend			Buy	04/17/20	J		
35. OCCIDENTAL PETROLEUM-WARRANT		None	J	T	Sold (part)	08/04/20	J	A	
36. REGENERON PHARMACEUTICALS		None			Buy	04/12/20	J		
37. REGENERON PHARMACEUTICALS		None			Buy (add'l)	10/12/20	J		
38. REGENERON PHARMACEUTICALS		None			Sold	10/30/20	J		
39. SALESFORCE, INC.		None			Buy	04/17/20	K		
40. SALESFORCE, INC.		None			Buy (add'l)	09/08/20	K		
41. SALESFORCE, INC.		None			Sold	12/03/20	K		
42. UNITED STATES STEEL		None			Buy	01/05/20	K		
43. UNITED STATES STEEL		None			Buy (add'l)	01/15/20	K		
44. UNITED STATES STEEL		None			Sold	03/17/20	J		
45. VIATRIS INC		None			Buy	08/13/20	J		
46. VIATRIS INC		None	J	T	Sold (part)	11/20/20	J	A	
47. GENERAL STEEL HOLDINGS INC		None			Buy	04/17/20	L		
48. GENERAL STEEL HOLDINGS INC		None	J	T	Sold (part)	12/08/20	J		
49. JAGUAR HEALTH INC		None			Buy	04/17/20	M		
50. JAGUAR HEALTH INC		None			Sold	11/05/20	J		

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51. TIFFANY & CO		None	J	T	Buy	01/03/20	K		
52. TIFFANY & CO.	A	Dividend	J	T	Buy (add'l)	09/09/20	J		
53. TIFFANY & CO		None	J	T	Sold (part)	03/18/20	K	D	
54. WALMART INC	A	Dividend			Buy	01/02/20	K		
55. WALMART INC	A	Dividend			Sold	06/17/20	K	D	
56. BOEING CO.		None	J	T	Buy	01/15/20	J		
57. GOLDEN TIME NETWORK		None	J	T	Buy	01/15/20	J		
58. EXXON-MOBIL	B	Dividend	K	T	Buy	03/05/20	J		
59. JPMORGAN CHASE	B	Dividend	L	T	Buy	03/06/20	K		
60. CITIGROUP INC	A	Dividend	K	T	Buy	05/13/20	J		
61. OCCIDENTAL PETROLEUM COM	A	Dividend	K	T	Buy	05/06/20	K		
62. GOLDMAN SACHS GRP	A	Dividend	L	T	Buy	07/29/20	J		
63. MODERNA, INC.		None	N	T	Buy	07/15/20	K		
64. CHEVRON CORP.	A	Dividend	K	T	Buy	08/27/20	J		
65. NORTHERN TRUST CORP. 4.7 DEP SHS	A	Dividend	J	T	Buy	08/19/20	J		
66. PFIZER, INC	A	Dividend	K	T	Buy	08/13/20	J		
67. ELECTROCORE, INC.		None	K	T	Buy	09/04/20	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
68. HONEYWELL, INTL	A	Dividend	K	T	Buy	09/10/20	J		
69. ELI LILLY & CO.	A	Dividend	J	T	Buy	10/27/20	J		
70. NIO, INC.		None	J	T	Buy	10/02/20	J		
71. NOVAGOLD RESOURCES		None	J	T	Buy	10/12/20	J		
72. SPDR GOLD TRUST		None	J	T	Buy	10/12/20	J		
73. STARBUCKS CORP	A	Dividend	J	T	Buy	10/26/20	J		
74. ETF SERIES SOLUTIONS US GLB JETS ETF	A	Dividend	J	T	Buy	12/02/20	J		
75. WALGREENS BOOTS ALLIANCE, INC.		None	J	T	Buy	12/15/20	J		
76. MACY'S		None	K	T	Buy	09/30/20	J		
77. NIKOLA CORP.		None	J	T	Buy	11/30/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ CHARLES R. NORGLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544