AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Newsom, Kevin C.	U.S. Court of Appeals, Eleventh Circuit	05/04/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Circuit Judge (Active)	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2020 **to** 12/31/2020
7. Chambers or Office Address		
Hugo L. Black United States Courthouse 1729 Fifth Avenue North Birmingham, AL 35203		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Overseer	Samford University
2.	Adjunct Faculty	Cumberland Law School
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Newsom, Kevin C.	05/04/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2020	Cumberland Law School (teaching)	$11,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2020	Golds Holding Corp (wages)
2. 2020	LTF Club Management Company LLC (wages)
3. 2020	Mountain Brook Club (wages)
4. 2020	Premier Fitness (wages)

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. UVA	February 13-14, 2020	Charlottesville, VA	Speech	Transportation, meals, lodging
2. College of William & Mary	February 14-16, 2020	Williamsburg, VA	Speech	Transportation, meals, lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

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Newsom, Kevin C.	05/04/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Wells Fargo Bank (cash)	A	Interest	J	T					
3. Account #1 (H)									
4. Stifel Insured Bank Deposit (cash) (X)	A	Interest	J	T					
5. KKR Real Estate Finance Trust (48251K100)	A	Dividend			Sold	04/28/20	J		
6. Dow Jones Industrial Average LIRN Issuer BNS (MLVJV) (06417P801)		None	K	T					
7. International Basket LIRN Iss BofA (MLVJW) (097097844)		None			Redeemed	10/30/20	K	A	
8. MSCI Emerging Markets CLIRN Iss BofA (MLVJU) (097097885)		None			Redeemed	11/03/20	K	D	
9. Russell 2000 CLIRN Iss CIBC (MLVJT) (13606M342)		None			Redeemed	10/30/20	K	C	
10. Morgan Stanley Fin LLC Contin Income SECS (61771EAJ7)		None	K	T	Buy	10/27/20	K		
11. Kraft Foods Group Inc 3.5% Corp Bond 06/06/22 (50076QAZ9)	A	Interest	J	T					
12. CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest	J	T					
13. JPMorgan Chase Bank NA Stoxx Glbl Sel (48128HY44)		None	J	T					
14. JPMorgan Chase & Co 3.25% Corp Bond 09/23/22 (46625HJE1)	A	Interest	J	T					
15. JPMorgan Chase Finl Co Cap Lookback Ret ENH (48132PQX5)		None	K	T	Buy	10/27/20	K		
16. HSBC USA Inc Buf AMPS (40438CXL0)		None	K	T	Buy	10/27/20	K		
17. Conoco 3.35% Corporate Bond 11/15/24 (20826FAD8)	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18. CitiGroup Blobal Markets Holding Barrier SECS (17328WZA9)		None	K	T	Buy	10/27/20	K		
19. Account #2 (H)									
20. Stifel Insured Bank Deposit (cash) (Y)									
21. Bain Capital Specialty Finance (BCSF)	A	Dividend			Sold (part)	03/16/20	J		
22.					Sold	04/07/20	J		
23. KKR Real Estate Finance Trust (KREF)	A	Dividend			Sold	01/31/20	K	A	
24. Starwood Property Trust Inc (STWD)	A	Dividend			Buy (add'l)	01/14/20	J		
25.					Sold	08/12/20	J		
26. AdvisorShares Dorsey Wright Short ETF (00768Y529)		None			Buy	04/02/20	J		
27.					Buy (add'l)	04/07/20	J		
28.					Buy (add'l)	04/16/20	J		
29.					Buy (add'l)	04/24/20	J		
30.					Sold	07/29/20	K		
31. Dow Jones Industrial Average LIRN Issuer BNS (MLVJV) (06417P801)		None	K	T					
32. MSCI Emerging Markets CLIRN Iss BofA (MLVJU) (097097885)		None			Redeemed	11/03/20	K	D	
33. SP500 CLIRN Issuer CIBC (MLVJS) (13606M292)		None			Redeemed	10/30/20	K	C	
34. Russell 2000 CLIRN Iss CIBC (MLVJT) (13606M342)		None			Redeemed	10/30/20	K	C	

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	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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35. Pioneer Natural Resource 3.45% Corp Bond 01/15/21 (723787AL1)	A	Interest	K	T					
36. Jefferies Group Inc SR Note (472319AH5)	B	Interest			Buy	04/02/20	K		
37.					Redeemed	11/30/20	K	A	
38. JPMorgan Chase Finl Co Cap DUF Ret ENH (48132KGZ2)		None	K	T	Buy	03/11/20	K		
39. USD Goldcorp Inc 3.625% Corp Bond 06/09/21 (380956AF9)	A	Interest	K	T					
40. Morgan Stanley Fin LLC Contin Income SECS (61771BT79)		None			Buy	09/25/20	K		
41.					Redeemed	12/31/20	K		
42. HP Enterprise Co 3.5% Corp Bond 10/05/21 (42824CBC2)	A	Interest	K	T					
43. Barclays Bank PLC Buf Supertrk Note 0.00% (06747MLP9)		None	K	T					
44. HSBC USA Inc Buf Amps Lkd 0.00% (40435UKT0)		None	K	T					
45. BofA Finance LLC Note Lkd 0.00% (09709TVP3)		None	K	T					
46. Viacom Inc 3.875% Corp Bond 12/15/21 (92553PAJ1)	A	Interest			Redeemed	07/10/20	K	B	
47. Cigna Corp 4.0% Corp Bond 02/15/22 (125509BS7)	A	Interest	K	T					
48. GS Finance Corp Contin CPN Note (40056YXB5)	A	Interest			Buy	03/27/20	K		
49.					Redeemed	07/02/20	K		
50. Kraft Food Group Inc 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest	K	T					
51. JPMorgan Chase & Co FLT% Corp Bond 06/18/22 (46647PAT3)	A	Interest	K	T					

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52.	GS Finance Corp Contin CPN Note 9.6% (40057CD99)	A	Interest			Buy	06/30/20	K		
53.						Redeemed	10/05/20	K		
54.	CVS Health Corp 3.5% Corp Bond 07/20/22 (126650CK4)	A	Interest	K	T					
55.	Morgan Stanley Fin LLC Contin Income Note (61771BC28)	A	Interest	K	T	Buy	07/30/20	K		
56.	Celgene Corp 3.55% Corp Bond 08/15/22 (151020AR5)	A	Interest	K	T					
57.	JPMorgan Chase Finl Co Cap Lookback Ret ENH (48132PQX5)		None	K	T	Buy	10/30/20	K		
58.	Murphy Oil Corp Note 4.450% (626717AF9)	B	Interest	K	T					
59.	Morgan Stanley Fin LLC Contin Income Secs (61771EWE4)		None	K	T	Buy	12/30/20	K		
60.	Goldman Sachs Group Inc 3.625% Corp Bond 01/22/23 (38141GRD8)	A	Interest	K	T					
61.	Health Care Reit Inc 3.75% Corp Bond 03/15/23 (42217KBA3)	A	Interest	K	T					
62.	HSBC USA Inc BUF AMPS (40438CXL0)		None	K	T	Buy	10/27/20	K		
63.	GS Finance Corp Leveraged Buf Indx Note (40057ERM1)		None	J	T	Buy	12/23/20	J		
64.	BofA Finance LLC Contin Income Note 12.15% (09709TZ79)		None	K	T	Buy	10/29/20	K		
65.	JPMorgan Chase Bank NA Stoxx Global 0.00% (48128LJE0)		None	L	T					
66.	Barclays Bank PLC Buf Supertrk Note 0.00% (06747MKB1)		None	K	T					
67.	Delta Air Lines Inc De Note 2.900% (247361ZU5)	A	Interest	K	T					
68.	CitiGroup Global Markets Holding Barrier SECS (17328WZA9)		None	K	T	Buy	10/27/20	K		

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69. Morgan Stanley Fin LLC Buf Partn Secs (61771ENW4)		None	J	T	Buy	12/21/20	J		
70. GS Finance Corp Leveraged Buf Indx Note (40057ERL3)		None	K	T	Buy	12/23/20	K		
71. Synovus Finl Corp Sub Note Fixed/Var 5.750% (87161CAK1)	B	Interest			Redeemed	12/31/20	K		
72. Goldman Sachs BDC Inc Sr Note (38147UAD9)		None	K	T	Buy	12/15/20	K		
73. Goldman Sachs Bank USA Basket (38149JST8)		None	K	T	Buy	02/24/20	K		
74. United Rent NA Inc SR SECD Note (911365BM5)	A	Interest	K	T	Buy	10/26/20	K		
75. First Eagle Global A (SGENX)		None			Sold	03/24/20	L		
76. Putnam Equity Income Y (PEIYX)		None			Sold	03/05/20	K		
77. Angel Oak Financial Strat Inc Term (FINS)	A	Dividend	J	T	Sold (part)	03/16/20	J		
78. Account #3 (H)									
79. CREF Stock R3 Fund		None	J	T					
80. CREF Growth R3 Fund		None	J	T					
81. CREF Equity Index R3 Fund		None	J	T					
82. CREF Global Equities R3		None	J	T					
83. CREF Equity Index R2 Fund		None	K	T					
84. CREF Bond Market R2 Fund		None	J	T					
85. TIAA Traditional Fund		None	J	T					

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86. CREF Stock R2 Fund		None	J	T					
87. CREF Growth R2 Fund (X)		None	J	T					
88. CREF Global Equities R2 (X)		None	J	T					
89. CREF Growth R1 Fund (X)		None	J	T					
90. CREF Equity Index R1 Fund		None	J	T					
91. CREF Global Equities R1 (X)		None	J	T					
92. CREF Money Market Fund		None	J	T					
93. Account #4 (H)									
94. Fid Overseas K (FOSKX)		None	J	T					
95. Fid Growth Co K (FGCKX)		None	J	T					
96. Fid OTC K (FOCKX)		None	J	T					
97. Fid 500 Index (FXAIX)		None	K	T					
98. Account #5 (H)									
99. Fid Growth Co K (FGCKX)		None	K	T					
100. Fid OTC Portfolio (FOCKX)		None	K	T					
101. Fid Overseas K (FOSKX)		None	J	T					
102. Fid 500 Index (FXAIX)		None	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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103. Fidelity Money Market Retirement Gov II (FRTXX)		None	J	T					
104. Account #6 (H)									
105. Stifel Insured Bank Deposit Program (cash)	A	Interest	K	T					
106. IShares Mid Cap Russell (IWP)	A	Dividend	J	T	Sold (part)	12/09/20	J	C	
107. IShares Russell 1000 Growth (IWF)	A	Dividend	K	T	Sold (part)	03/18/20	J	D	
108. MSCI Emerging Markets CLIRNS Iss BNS (MLVKT) (06417P678)		None			Redeemed	11/30/20	K	D	
109. S&P 500 CLIRN Barclay (MLVFT) (06746R551)		None			Redeemed	05/29/20	K	C	
110. Russell 2000 CLIRN Barclay (MLVKR) (06746V701)		None			Redeemed	11/20/20	K	D	
111. S&P 500 CLIRN BofA (MLVKQ) (097098115)		None			Redeemed	11/20/20	K	D	
112. Deutsche Bank AG Leveraged Index Ret Note (MLTRN) (25156D423)		None	K	T					
113. Dow Jones LIRN (MLTJT) (40434G346)		None			Redeemed	05/29/20	L	E	
114. HSBC USA Inc Leveraged Idx Ret Note (MLVJJ) (40434N887)		None	K	T					
115. S&P 500 CLIRN (MLTDQ) (40435B239)		None	K	T					
116. HSBC USA Inc Leveraged Idx Ret (MLZNC) (40435D698)		None	L	T					
117. HSBC USA Inc Leveraged Indx Ret Note DJIA (MLTUR) (40435X371)		None	K	T					
118. Wells Fargo LIRN Dow Jones Ind Avg (MLVEQ) (94988U557)		None	K	T					
119. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056FE92)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056FRN7)		None	K	T					
121. GS Finance Corp Bearish Index LKD Note (40056YK60)		None			Buy	02/26/20	K		
122.					Redeemed	03/25/20	K		
123. GS Finance Corp Leveraged Buf Indx Note 0.00% (40056XMZ6)		None	K	T					
124. GS Finance Corp Leveraged Indx Note 0.00% (40056FEL5)		None	K	T					
125. JPMorgan Chase Finl Co Bearish Knock Out Note (48132M3R0)		None	K	T	Buy	08/24/20	K		
126. CitiGroup Global Markets Holding Contin Equity SECS 8.15% (17328WXC7)		None	K	T	Buy	09/29/20	K		
127. BofA Finance LLC Contin Income CYN 10% (09709T2P5)		None	K	T	Buy	11/20/20	K		
128. Royal Bank Canada Contin CPN Barrier 14.25 (78016EPK7)		None	K	T	Buy	11/20/20	K		
129. Morgan Stanley Fin LLC BUF Plus (61771BCE2)		None	L	T	Buy	05/26/20	K		
130. GS Finance Corp Autocllb Indx Note (40057ENC7)		None	K	T	Buy	11/30/20	K		
131. BofA Finance LLC Note LKD SX5E (09709TTW1) (X)		None	K	T					
132. GS Finance Corp Leveraged BUF Indx Note (40057EHM2)		None	K	T	Buy	11/24/20	K		
133. GS Finance Corp Leveraged BUF Indx Note (40057EHT7)		None	K	T	Buy	11/24/20	K		
134. Morgan Stanley Fin LLC Market 0.00% (61769H4T9)		None			Sold	12/16/20	K		
135. Barclays Bank PLC BUF Supertrk Note (06747MKB1)		None	K	T					
136. Morgan Stanley Fin LLC BUF Partn SECS 0.00% (61769HMZ5)		None	K	T					

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Morgan Stanley Fin LLC BUF Partn SECS 0.00% (61769HSV8)		None	K	T					
138. Morgan Stanley Fin LLC BUF Plus (61770FNH5)		None	K	T	Buy	03/26/20	K		
139. Morgan Stanley Fin LLC BUF Partn SECS (61771BCG7)		None	K	T	Buy	05/26/20	K		
140. JPMorgan Chase Finl Co Uncap Contin BUF Ret ENH (48132PYJ7)		None	K	T	Buy	11/24/20	K		
141. American Fund Bal (ABALX)	A	Dividend	L	T	Sold (part)	11/03/20	J	A	
142. American Growth (AGTHX)		None	K	T					
143. American New World (NEWFX)		None			Sold	10/02/20	K	D	
144. Account #7 (H)									
145. Stifel Insured Bank Program Money Market (cash) (Y)									
146. Bain Capital Specialty Finance (05684B107)	A	Dividend			Buy (add'l)	02/27/20	J		
147.					Sold (part)	04/06/20	J		
148.					Sold	04/30/20	J		
149. KKR Real Estate Finance Trust Inc (KREF)	A	Dividend			Sold (part)	04/24/20	J		
150.					Sold	04/28/20	J		
151. Advisorshares Dorsey Wright Short ETF (00768Y529)		None			Buy	02/20/20	K		
152.					Sold	02/26/20	K	B	
153.					Buy	04/16/20	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	06/09/20	J		
155.					Sold	07/29/20	J		
156. Ishares Silver Trust (SLV)		None			Buy	07/27/20	J		
157.					Sold	08/19/20	J	A	
158. Stifel Finl Corp Dep Shs Repstg 6.125% (860630870)	A	Dividend	K	T	Buy	05/13/20	K		
159. Etowah Cnty AL Wts B/E B/Q 1.85% (297749GR8)	A	Interest			Redeemed	05/01/20	K	A	
160. Morgan Stanley 2.65% Corp Bond 01/27/20 (61747YDW2)	A	Interest			Redeemed	01/27/20	K		
161. Goldman Sachs 2.6% Corp Bond 04/23/20 (38148LAA4)	A	Interest			Redeemed	03/23/20	K		
162. American Express FLT% Corp Bond 09/14/20 (0258M0DY2)	A	Interest			Redeemed	08/14/20	K		
163. Sara Lee Corp Note (803111AS2)	A	Interest			Buy	03/23/20	K		
164.					Redeemed	09/15/20	K		
165. JP Morgan Chase 2.55% Corp Bond 10/29/20 (46625HNX4)	A	Interest			Redeemed	09/29/20	K		
166. Autonation Inc 3.35% Corp Bond 01/15/21 (0258M0DY2)	A	Interest	K	T					
167. Kroger Co 2.6% Corp Bond 02/01/21 (501044DB4)	A	Interest	K	T					
168. Citigroup Inc 2.7% Corp Bond 03/30/21 (172967KK6)	A	Interest	K	T					
169. Verizon Commns Inc Note (92343VAX2)	A	Interest			Buy	03/20/20	K		
170.					Redeemed	06/29/20	K	A	

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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171. USD Goldcorp Inc 3.625% Corp Bond 06/09/21 (380956AF9)	A	Interest	K	T					
172. Wells Fargo 2.1% Corp Bond 07/26/21 (949746SA0)	A	Interest	K	T					
173. Freeport McMoran Inc Sr Note Cpn 4.00% (35671DBH7)	A	Interest			Redeemed	04/03/20	K	B	
174. Morgan Stanley Fin LLC Contin Incm SECS (61771BT79)		None			Buy	09/25/20	K		
175.					Redeemed	12/31/20	K		
176. Canada Nat Res 3.45% Corporate Bond 11/15/21 (136385AR2)	A	Interest	K	T					
177. Williams Partners 4.0% Corp Bond 11/15/21 (96950FAH7)	A	Interest	K	T					
178. JPMorgan Chase Finl Co Cap BUF Eqty Note (4813P3G7)		None	K	T	Buy	11/25/20	K		
179. Cigna Corp 4.0% Corp Bond 02/15/22 (125509BS7)	A	Interest	K	T					
180. Verizon Comm 2.946% Corp Bond 03/15/22 (92343VDQ4)	A	Interest	K	T					
181. JPMorgan Chase Finl Co Contin CPN CYN 15% (48132K2S3)	B	Interest			Buy	05/05/20	K		
182.					Redeemed	11/04/20	K		
183. Amgen Inc 2.65% Corp Bond 05/11/22 (031162CP3)	A	Interest	K	T					
184. Morgan Stanley 2.75% Corp Bond 05/19/22 (61744YAH1)	A	Interest	K	T					
185. Murphy Oil Corp Sr Note 4.00% (626717AD4)	A	Interest	K	T					
186. Kraft Food Group 3.5% Corp Bond 06/06/22 (500760AZ9)	A	Interest	K	T					
187. BOFA Finance LLC Contin Incm YLD NT 6.65% (09709TT68)	A	Interest	K	T	Buy	09/25/20	K		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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188. USD Cenovus Energy 3.0% Bond 08/15/22 (15135UAG4)	A	Interest	K	T					
189. Kinder Morgan Ener Part 3.95% Corp Bond 09/01/22 (494550BL9)	A	Interest	K	T					
190. Hewlett-Packard Co 4.05% Corp Bond 09/15/22 (428236BXO)	B	Interest	K	T					
191. Oneok Partners 3.375% Corp Bond 10/01/22 (68268NAJ2)	A	Interest	K	T					
192. McKesson Corp New Note 2.700% (581557AZ8)	B	Interest	L	T					
193. Morgan Stanley Fin LLC Contin Incm Secs (61771EWE4)		None	K	T	Buy	12/30/20	K		
194. CBS Corp New Gtd Sr Note 2.500% (124857AS2)	A	Interest	K	T					
195. CVS Health Corp 3.7% Corp Bond 03/09/23 (126650CVO)	A	Interest	K	T					
196. Southwest Airlines Co Unsecd Note (844741BH0)	A	Interest	K	T	Buy	04/30/20	K		
197. Morgan Stanley 4.1% Corp Bond 05/22/23 (61747YDU6)	B	Interest	K	T					
198. USD Cenovus Energy 3.8% Bond 09/15/23 (15135UAJ8)	A	Interest			Sold	05/19/20	K		
199. Morgan Stanley Fin LLC Mkt LKD Note (61770FCS3)		None	K	T	Buy	01/27/20	K		
200. Citigroup Inc FLT% Corp Bond 05/17/24 (172967LL3)	A	Interest	K	T					
201. Hess Corp 3.5% Corp Bond 07/15/24 (42809HAF4)	A	Interest			Sold	02/21/20	K	A	
202. Fluour Corp New Sr Note 3.500% (343412AC6)		None			Sold	02/20/20	K		
203. Vulcan Matls Co Note 4.500% (929160AS8)	B	Interest	K	T					
204. Autozone Inc 3.25% Corp Bond 04/15/25 (053332AR3)	A	Interest	K	T					

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205. Opus Bk Irvine CA Sub Note Var Cpn 0.000% (684000AA0)	B	Interest	K	T					
206. Synovus Finl Corp Sub Note Var Cpn 5.900% (87161CAM7)	C	Interest	L	T					
207. Pacific Premier Bancorp Inc Sub Note FXD/ VAR (69478XAE5)	A	Interest	K	T	Buy	06/10/20	K		
208. Angel Oak Dynamic Financial Strategies Income Term Trust (03462A102)	A	Dividend			Buy	06/26/20	K		
209.					Sold (part)	11/03/20	J		
210.					Sold	11/30/20	J		
211. Angel Oak Financial Strat Inc Term Trust (03464A100)	A	Dividend			Sold (part)	03/13/20	J		
212.					Sold	03/18/20	J		
213. Account #8 (H)									
214. Virginia 529 2024 Age-Based Portfolio		None	N	T					
215. Account #9 (H)									
216. My529 Age-Based Aggressive Global Portfolio	C	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kevin C. Newsom

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544