AO 10
Rev. 1/2021

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Manion, Daniel A.	USCA-7	10/28/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
Article III	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☑ Amended Report	01/01/2020 **to** 12/31/2020
7. Chambers or Office Address		
301 Grant Federal Building 204 S. Main Street South Bend, IN 46601		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Manion, Daniel A.	10/28/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Key Bank checking accounts	A	Interest	M	T					
2. UBS deposit account	A	Distribution	K	T					
3. Blackrock Muni (BTT)	B	Dividend	L	T					
4. Boeing Company common stock (BA)	B	Dividend	M	T					
5. Oppenheimer Steelpath (MLPDX)	C	Distribution	K	T					
6. Gaslog Ltd preferred	A	Dividend	J	T					
7. Nuveen Interduration (NID)	B	Int./Div.	K	T					
8. Indianapolis Public School Corp. 1st Mortgage Refunding Bond		None	J	T					
9. RMB Mendon Financial (RMBKX)		None	L	T					
10. Nuveen Mun Opportunities (NMCO)	B	Dividend	K	T					
11. Invesco Fund (QQQ)	A	Distribution	M	T	Buy	03/19/20	L		
12. SRS 500 Fund (SPY)	C	Distribution	M	T	Buy	03/19/20	M		
13. Wells Fargo Sweep	A	Distribution	K	T					
14. Income Fund Amer (IFACX)	A	Distribution	K	T					
15. Fund America Growth (AGTHX)	A	Distribution	L	T					
16. Franklin Income (IFAX)	C	Distribution	L	T					
17. Qwest Corp preferred	B	Dividend			Sold	12/14/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4) — A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000

2 Value Codes (See Columns C1 and D3) — J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000

3 Value Method Codes (See Column C2) — Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

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Manion, Daniel A.	10/28/2021

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. First Trust Equity	B	Distribution	K	T					
19. Ishares US Preferred Stock	A	Dividend	K	T					
20. American Balanced Fund (ABALX)	A	Distribution	K	T					
21. Northwestern Mutual Life Insurance (whole life policy)		None	N	T					
22. Unimproved real estate St. Joseph Cty, IN		None	L	W					
23. 529 Ed. Plan Funds: items 27-31 (H)									
24. Invesco College Bound 2017-2018 changed to College Bound Today		None			Merged (with line 26)	10/26/20	K		
25. Invesco College Bound Today		None			Redeemed	03/19/20	J		Tuition Paid
26. American Balance Fund 529A		None	M	T					
27. Growth Fund of America 529A		None	L	T					
28. College Choice Advisor Plan		None			Redeemed	06/30/20	J		Tuition Paid
29. US Charitable Gift Fund		None	J	T					
30. Diamond		None	J	W					
31. Baird Trust Acct: (items 35-93) (H)									
32. Automatic Data Processing (ADP)	B	Dividend	M	T					
33. Kellogg Company	B	Dividend	L	T	Buy	07/17/20	K		
34. Constellarion Brands	A	Dividend	K	T	Buy	08/28/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Manion, Daniel A.	10/28/2021

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☐ NONE *(No reportable income, assets, or transactions.)*

A	B		C		D				
Description of Assets (including trust assets)	Income during reporting period		Gross value at end of reporting period		Transactions during reporting period				
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35. Blackrock Inc.	B	Dividend	L	T					
36. Factset Research Systems	B	Dividend	M	T					
37. Illinois Tool Works common	C	Dividend	M	T					
38. MSCI Inc Class A	A	Dividend	M	T					
39. Richmond IN Community School Bond	A	Dividend			Redeemed	01/15/20	L		
40. Lebanon IN Cmnty Sch Corp	B	Dividend	L	T					
41. Tri Creek 2002 High Sch Bldg Corp	B	Dividend	L	T					
42. Tippecanoe Cnty IN NSE08 Sch Bldg Corp	A	Dividend	J	T					
43. IPS Multi School Building Bond	A	Dividend	K	T					
44. FDIC Insured Multi Bank & Cash	A	Distribution	K	T					
45. Intel Corp common	A	Dividend	K	T					
46. Varian Medical		None	M	T					
47. Johnson & Johnson	B	Dividend	L	T					
48. Procter & Gamble common	C	Dividend	M	T					
49. Elkhard, IN muni	A	Interest	J	T					
50. Nike Common	B	Dividend	M	T					
51. Fiserv Inc.		None	O	T					

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52. Medtronic	A	Dividend	K	T	Buy	08/28/20	K		
53. Becton Dickinson & Co.	B	Dividend	M	T					
54. Ecolab common	B	Dividend	M	T					
55. Patterson Companies common	C	Dividend	M	T					
56. Cognizant Technology	A	Dividend	L	T					
57. Fastenal common	C	Dividend			Donated				
58. Walt Disney Common	A	Dividend	M	T					
59. Bio Techne Corp.	A	Dividend	L	T					
60. Barnes Group common	A	Dividend	K	T					
61. Valmont Industries	A	Dividend	L	T	Buy	02/24/20	J		
62. Cisco Systems common	B	Dividend	L	T	Buy	02/27/20	K		
63. Gillead Sciences common	C	Dividend			Donated				
64. Harley Davidson common	A	Dividend			Sold	03/19/20	K	A	
65. Pepsico Inc.	C	Dividend	M	T					
66. Middleby Corp		None			Sold	08/18/20	K		
67. Emerson Electric	B	Dividend	L	T					
68. Richland IN School Bond		None	L	T	Buy	06/22/20	L		

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69. Microsoft Corp	C	Dividend			Donated				
70. Intuit Inc (INTU)	A	Dividend	M	T					
71. CDK Global	A	Dividend	K	T					
72. McCormick & Co.	C	Dividend	M	T					
73. Wells Fargo Muni Bond	C	Dividend	M	T					
74. Pfizer Inc.	A	Dividend	J	T					
75. Corning Common	A	Dividend	K	T					
76. Dowdupont common (DD)	A	Dividend	K	T					
77. JM Smucker (SJM)	A	Dividend	J	T					
78. Skyworks Solutions	B	Dividend	L	T					
79. Intercontinental Exchange (ICE)	B	Dividend	M	T					
80. Apple Common	B	Dividend	M	T					
81. Dow Inc.	A	Dividend	K	T					
82. South Central Indiana School Corp. Bond	B	Interest	L	T					
83. Flexshares Quality International Dividend Index	B	Dividend	L	T					
84. Viatris, Inc.		None	J	T	Spinoff (from line 74)	11/17/20	J		
85. Elkhart Indiana School Corp. Bond	A	Interest	K	T					

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86. Charles Schwab (formerly TD Ameritrade)	A	Dividend	K	T					
87. South Harrison Indiana Municipal Bond	B	Interest	K	T					
88. Greater Clark Indiana Municipal Bond	B	Interest	L	T					
89. Wawasee Municipal Bond	A	Interest	J	T					
90. Roth IRA (items 94-107) (H)									
91. Invesco S&P Fund (XSLV) (was Powershares)	A	Distribution			Sold	07/09/20	K		
92. Wells Fargo STYIX (Roth)	A	Distribution	K	T					
93. SPDR Series Dow Jones REIT Fund	A	Dividend	J	T					
94. Baird General Mkt Fund (Roth)	A	Int./Div.	K	T					
95. Vanguard Intermediate Term Investment Grade Fund	A	Dividend	K	T					
96. Wells Fargo Emerging Markets (EQIIX)	A	Distribution	J	T					
97. Invesco S&P 500 Low (SPLV)	A	Distribution	K	T					
98. Flexshares Morningstar Fund Roth	B	Distribution	K	T					
99. Flexshares Trust Intl Quality Div Index Fund	A	Distribution			Sold	04/20/20	K	A	
100. Janus Detroit Small Cap (JSML)	A	Dividend	K	T	Buy	07/08/20	K		
101. JP Morgan Hedged Equity (JHEQX)	A	Dividend	K	T	Buy	04/20/20	K		
102. LOCORR Fund (LFMIX)	A	Distribution	J	T					

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103. T Rowe Price Growth (PRGFX)	A	Distribution	L	T					
104. Blackrock High Yield Bond Fund (BHYIX)	B	Distribution	K	T					
105. Baird IRA (items 109-122) (H)									
106. Flexshares Morning Star (TLTD)	A	Distribution	K	T					
107. Baird General Market (cash equivalent)	A	Distribution	J	T					
108. Wells Fargo Fund (EMGNX)	A	Distribution	J	T					
109. Vanguard Intermediate Bond Fund (VC(T)	A	Distribution	J	T					
110. T Rowe Price Growth (PRUFX)	A	Distribution			Sold	10/23/20	J	A	
111. SPDR Series Trust Fund (RWR)	A	Distribution	J	T					
112. Flexshares Ready Access Variable Income ETF (RAVI)	A	Dividend	J	T					
113. Flexshares Trust Index Fund (QDF)	A	Distribution			Sold	04/20/20	K	A	
114. Invesco S&P SmallCap Fund (XSLV) (was Powershares)	A	Distribution			Sold	07/08/20	J	A	
115. Invesco S&P 500 (SPLV)	A	Distribution	K	T					
116. Wells Fargo Short-Term High Yield	A	Distribution	J	T					
117. Blackrock High Yield Bond Fund (BHYIX)	B	Distribution	K	T					
118. JP Morgan Hedged Equity (JHEQX)	A	Distribution	K	T	Buy	04/20/20	K		
119. Janus Detroit Small Cap (J.SML)	A	Distribution	J	T	Buy	07/08/20	J		

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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 114: Self-directed charitable foundation (value donated to charity reduced corpus in fund)
VII. Investments and Trusts, Line 116: Name change only - from Dupont to Dowdupont.

Name of Person Reporting	Date of Report
Manion, Daniel A.	10/28/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Daniel A. Manion

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544