

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pryor Jr., William H.	2. Court or Organization United States Court of Appeals	3. Date of Report 5/05/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 1729 Fifth Avenue North Suite 900 Birmingham, AL 35203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Visiting Professor	University of Alabama School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	University of Alabama School of Law	\$12,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	SELF-EMPLOYED ACCOUNTANT
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	George Mason University Antonin Scalia Law School	January 10-12, 2020	Arlington, VA	Panel Discussion	Transportation, Lodging, Meals
2.	The Federalist Society	January 21-22, 2020	Chicago, IL	Delivered Speech to Chicago Law School Chapter	Transportation, Lodging and Meals
3.	The Federalist Society	January 31- Febraury 1, 2020	Orlando, FL	Federalist Society Florida Chapters Conference	Transportation, Lodging and Meals
4.	The Federalist Society	February20-21, 2020	Washington, DC	Delivred Speech to George Washington Law School	Transportation, Lodging and Meals

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5.	The University of Alabama School of Law	August 17, 2020 - November 16, 2020	Tuscaloosa, AL	Professor, Legislation: Special Problems in Statutory Interpretation	Transportation
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. IRA #1 (H)									
2. -FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T					
3. -FIDELITY OTC PORT	D	Dividend	L	T					
4. IRA #2 (H)									
5. -FIDELITY GOVERNMENT CASH RESERVES (X)	A	Dividend	M	T	Buy (add'l)	11/17/20	M		
6. - FIDELITY BLUE CHIP GROWTH	D	Dividend	M	T					
7. - FIDELITY SELECT IT SERVICES PORTFOLIO	A	Dividend			Sold	11/17/20	M	F	
8. -FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	L	T					
9. -FIDELITY GROWTH DISCOVERY FUND	C	Dividend	K	T					
10. -FIDELITY SELECT WIRELESS PORTFOLIOS	C	Dividend	K	T					
11. IRA #3 (H)									
12. -FIDELITY OTC PORT	D	Dividend	L	T					
13. -FIDELITY NASDAQ COMPOSITE INDEX	A	Dividend	K	T					
14. IRA #4 (H)									
15. -FIDELITY GOVERNMENT CASH RESERVES	A	Dividend	J	T	Buy (add'l)	05/08/20	K		
16.					Buy (add'l)	05/27/20	M		
17.					Sold (part)	05/29/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	06/04/20	K		
19.					Sold (part)	06/22/20	J		
20.					Buy (add'l)	11/17/20	L		
21.					Sold (part)	11/27/20	L		
22. -FIDELITY BLUE CHIP GROWTH	D	Dividend	M	T					
23. -FIDELITY SMALL CAP GROWTH	D	Dividend	L	T					
24. -FIDELITY TREND	C	Dividend	M	T	Buy (add'l)	05/29/20	K		
25. -FIDELITY 500 INDEX FUND	A	Dividend			Sold	05/27/20	M	A	
26. -FIDELITY GROWTH DISCOVERY FUND	C	Dividend	K	T					
27. -FIDELITY SELECT IT SERVICES PORTFOLIO	B	Dividend			Sold	11/17/20	L	D	
28. -FIDELITY SELECT CONSTRUCTN & HOUSING	B	Dividend			Sold	05/08/20	K		
29. -FIDELITY SELECT GNMA FUND	A	Dividend			Sold	07/21/20	L	C	
30. -FIDELITY SELECT MED TECHNOLOGY AND DEVICES	C	Dividend	L	T	Buy	11/27/20	L		
31. FIDELITY SELECT SOFTWARE & IT SRVC	B	Dividend	L	T	Buy	05/29/20	K		
32.					Buy (add'l)	06/22/20	J		
33. T ROWE PRICE COMM & TECHNOLOGY INVESTOR	C	Dividend	L	T	Buy	06/04/20	K		
34. -FIDELITY INVESTMENT GRADE	B	Dividend	L	T	Buy	07/21/20	L		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. IRA #7 (H)									
36. -FIDELITY GOVERNMENT CASH RESERVES (X)					Buy (add'l)	05/06/20	K		
37.					Sold (part)	05/08/20	K		
38.					Buy (add'l)	06/05/20	K		
39.					Sold (part)	06/22/20	K		
40. -FIDELITY OTC PORTFOLIO	C	Dividend	L	T					
41. -FIDELITY SELECT DEFENSE & AREOSPACE	A	Dividend			Sold	05/06/20	K		
42. -T ROWE PRICE COMM & TECHNOLOGY INVESTOR	B	Dividend	K	T	Buy	06/22/20	K		
43. -WASATCH HOISINGTON US TREASURY					Buy	05/08/20	K		
44.					Sold	06/05/20	K		
45. -BROKERAGE ACCOUNT #2 (H)									
46. -FIDELITY GOVERNMENT MONEY MARKET	A	Dividend	J	T	Sold (part)	03/06/20	K		
47.					Sold (part)	06/12/20	J		
48.					Sold (part)	08/03/20	J		
49.					Sold (part)	09/21/20	J		
50. -FIDELITY LIMITED TERM BOND FUND	A	Dividend	L	T					
51. -FIDELITY CORPORATE BOND FUND	A	Dividend			Buy	03/06/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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52.					Buy (add'l)	06/12/20	J		
53.					Sold	11/24/20	K	A	
54. -FID INTER TREASURY BOND INDEX FUND	A	Dividend			Buy	11/24/20	K		
55.					Sold	12/17/20	K	A	
56. -FIDELITY BLUE CHIP GROWTH	A	Dividend	K	T	Buy	12/17/20	K		
57.					Sold	06/05/20	K		
58. WARREN AVERETT, LLC PROFIT SHARING PLAN (H)									
59. -DFA INTERNATIONAL SMALL COMPANY I	A	Dividend	K	T					
60. -DFA INTERNATIONAL VALUE I	B	Dividend	L	T					
61. -MELLON STABLE VALUE FUND	A	Dividend	K	T					
62. -VANGUARD EMERGING MKTS STOCK IDX.	B	Dividend	L	T					
63. -VANGUARD GROWTH INDEX ADM	A	Dividend	M	T					
64. -VANGUARD INTERM-TERM CORPORATE BOND	B	Dividend	K	T					
65. -VANGUARD MID-CAP GROWTH INDEX ADM	A	Dividend	K	T					
66. VANGUARD MID-CAP VALUE INDEX ADM	B	Dividend	L	T					
67. -VANGUARD SMALL CAP GROWTH INDEX ADM	A	Dividend	K	T					
68. -VANGUARD SMALL CAP VALUE INDEX ADM	A	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. -VANGUARD TOTAL BOND MARKET INDEX ADM	B	Dividend	L	T					
70. -VANGUARD VALUE INDEX ADM	C	Dividend	M	T					
71. BBVA COMPASS BANK - A/C #1	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William H. Pryor Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544