

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Nelson, Ryan D.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address 350 Memorial Drive Idaho Falls, ID 83402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Judicial Liaison	American Bar Association Section of Administrative Law and Regulatory Practice
2. Adjunct Professor	BYU Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Nelson, Ryan D.

Date of Report

08/12/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	BYU Law School (teaching)	\$8,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Federalist Society	01/29/2020-01/31/2020	San Francisco, CA	Speaker	Transportation, meals, lodging
2.	George Mason University	02/04/2020-02/09/2020	Key West, FL	Educational Seminar	Transportation, meals, lodging
3.	Federalist Society	02/17/2020-02/18/2020	Washington DC	Speaker	Transportation, meals, lodging
4.	Federalist Society	02/18/2020-02/19/2020	New York, NY	Speaker	Transportation, meals, lodging
5.	Federalist Society	02/19/2020-02/21/2020	Cambridge, MA	Speaker	Transportation, meals, lodging
6.	Federalist Society	02/23/2020-02/28/2020	Phoenix, AZ	Educational Seminar	Transportation, meals, lodging

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Nelson, Ryan D.	08/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	KeyBank	Mortgage on Rental Property, #1, Idaho Falls, ID	M
2.	KeyBank	Mortgage on Rental Property #2, Sun Valley, ID	O
3.	Wells Fargo	Mortgage on Rental Property #3, Massanutten, VA	None
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Key Bank (cash)	A	Interest	L	T					
3. Northwestern Mutual Whole Life Insurance Policy	A	Dividend	K	T					
4. Undeveloped Land, Lot #1, Shenandoah, VA		None			Sold	08/21/20	K		
5. Undeveloped Land, Lot #2, Shenandoah, VA		None			Sold	08/21/20	K		
6. Undeveloped Land, Lot #3, Shenandoah, VA		None			Sold	08/21/20	K		
7. Secured note: debtors Kathleen & Daniel Caudle III (50% Interest)	B	Interest	L	T	Open	08/21/20	L		
8. Rental Property #1, Idaho Falls, ID	E	Rent	N	W	Buy	04/06/20	N		
9. Rental Property #2, Sun Valley, ID (X)	F	Rent	P1	W					
10. Rental Property #3, Massanutten, VA	C	Rent			Sold	02/11/20	N		
11. Account #1 (H)									
12. LPL Deposit Cash (cash)	A	Interest	J	T					
13. Artisan International Value Investor (ARTKX)	A	Dividend	K	T					
14. Blackrock International Opp Inst (BISIX)	A	Dividend			Sold (part)	05/19/20	J		
15.					Sold	06/19/20	J		
16. Cohen & Steers Realty Cl L (CSRSX)	A	Dividend	K	T					
17. Dodge & Cox International Stock (DODFX)	A	Dividend	K	T	Buy (add'l)	06/03/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	07/06/20	J		
19.					Buy (add'l)	08/03/20	J		
20.					Buy (add'l)	09/03/20	J		
21. FMI Large Cap Fund (FMIHX)		None			Sold (part)	06/01/20	J		
22.					Sold (part)	07/01/20	J		
23.					Sold	08/03/20	J		
24. Gabelli Small Cap Growth AAA (GABSX)		None			Sold (part)	05/21/20	J		
25.					Sold	06/22/20	K		
26. Loomis Sayles Bond (LSBRX)	A	Dividend	K	T					
27. Matthews Asia (MAPTX)		None			Sold (part)	06/01/20	J		
28.					Sold	07/01/20	J		
29. T. Rowe Price Small Growth (PRDSX)	B	Dividend	K	T					
30. T. Rowe Price Health Sciences (PRHSX)	C	Dividend	K	T					
31. Templeton Global Bond A (TPINX)	A	Dividend			Sold (part)	05/20/20	J		
32.					Sold	06/22/20	J		
33. Vanguard Inflation Protected Secs Adm Cl (VAIPX)	A	Dividend	K	T	Buy (add'l)	06/09/20	J		
34.					Buy (add'l)	07/09/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	07/24/20	J		
36. Vanguard Intermediate Term Bond Index Admiral Cl (VBILX)	A	Dividend	K	T	Buy	05/15/20	J		
37.					Buy (add'l)	06/23/20	J		
38.					Buy (add'l)	07/21/20	J		
39.					Buy (add'l)	08/21/20	J		
40.					Buy (add'l)	09/22/20	J		
41.					Buy (add'l)	10/21/20	J		
42.					Buy (add'l)	12/04/20	J		
43. Vanguard Emerging (VEMAX)	A	Dividend			Sold	05/15/20	J		
44. Vanguard 500 Index Adm. (VFIAX)	B	Dividend	L	T	Buy (add'l)	06/16/20	J		
45.					Buy (add'l)	07/16/20	J		
46.					Buy (add'l)	08/17/20	J		
47.					Buy (add'l)	09/15/20	J		
48.					Buy (add'l)	09/22/20	J		
49.					Buy (add'l)	09/29/20	J		
50.					Buy (add'l)	10/06/20	J		
51.					Buy (add'l)	10/13/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	10/20/20	J		
53.					Buy (add'l)	10/27/20	J		
54. Vanguard Growth Index Adm. (VIGAX)	A	Dividend	K	T	Sold (part)	05/15/20	J		
55.					Sold (part)	07/24/20	J		
56.					Sold (part)	12/04/20	J		
57. Vanguard Wellesley Income Adm (VWIAIX)	B	Dividend	K	T	Buy (add'l)	06/05/20	J		
58.					Buy (add'l)	07/07/20	J		
59.					Buy (add'l)	08/05/20	J		
60.					Buy (add'l)	09/08/20	J		
61. Account #2 (H)									
62. LPL Financial Cash Deposit (cash)	A	Interest	J	T					
63. IShares Cohen & Steers REIT ETF (ETF)	A	Dividend	K	T	Buy	08/25/20	J		
64.					Buy (add'l)	10/09/20	J		
65.					Buy (add'l)	10/13/20	J		
66.					Buy (add'l)	10/21/20	J		
67. Schwab Total Stock Market Index (SWTSX)	A	Dividend	L	T	Sold (part)	09/08/20	J		
68. Schwab U S REIT ETF (SCHH)	A	Dividend			Buy (add'l)	07/23/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

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69.					Sold	08/21/20	J		
70. Sector Healthcare Select Sector SPDR ETF (XLV)	A	Dividend	K	T	Sold (part)	01/23/20	J		
71.					Sold (part)	08/07/20	J		
72. T Rowe Price US Small Cap Growth Equity Investor Cl (PRDSX)	A	Dividend	K	T					
73. T Rowe Price Global Multi Sector Bond Investor Cl (PRSNX)	A	Dividend	J	T	Sold (part)	10/09/20	J		
74. T Rowe Price Capital Appreciation Investor Cl (PRWCX) (PRWCX)	C	Dividend	L	T	Buy (add'l)	10/09/20	J		
75. Templeton Global bond Advisor Cl (TGBAX)	A	Dividend			Sold	10/09/20	J		
76. Tweedy Browne Global Value (TBGVX)	A	Dividend	K	T					
77. Vanguard Intermediate Term Bond Index Admiral Cl (VBILX)	A	Dividend	K	T	Sold (part)	05/15/20	J		
78. Vanguard Short Term Bd Idx Adm (VBIRX)	A	Dividend	K	T					
79. Vanguard Consumer Staples Index Admiral Cl (VCSAX)	A	Dividend	K	T					
80. Vanguard Real Estate Index ETF (VNQ)	A	Dividend	J	T	Buy (add'l)	01/23/20	J		
81.					Buy (add'l)	07/24/20	J		
82.					Sold (part)	08/21/20	J		
83. Vanguard Small Cap Value Index Admiral Cl (VSIAX)	A	Dividend	K	T	Buy (add'l)	05/15/20	J		
84. Account #3 (H)									
85. Blackrock Equity Dividend Inv C (MCDVX)	A	Dividend	K	T					

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86. Blackrock Health Sciences Opps Inv C (SHSCX)	B	Dividend	K	T					
87. First Eagle Global C (FESGX)	A	Dividend	K	T					
88. PGIM Index Fund (PSICX)	C	Dividend	K	T					
89. Pimco Income C (PONCX)	A	Dividend	J	T					
90. Account #4 (H)									
91. Hartford Capital Appreciation A (ITHAX)	B	Dividend	K	T					
92. Account #5 (H)									
93. Blackrock Equity Dividend Inv A (MDDVX)	A	Dividend	J	T					
94. Blackrock Health Sciences Opps Inv A (SHSAX)	A	Dividend	J	T					
95. Account #6 (H)									
96. John Hancock Value (PZFBVX)	A	Dividend	J	T					
97. Account #7 (H)									
98. Blackrock Health Sciences Opps Inv C (SHSAX)	B	Dividend	K	T					
99. Account #8 (H)									
100. Amcap Fund (CAFAX)	B	Dividend	K	T	Sold (part)	08/25/20	J		
101. Account #9 (H)									
102. Cap World Growth and Income (CWIAX)	A	Dividend	K	T					

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Fundamental Investors (CFNAX)	A	Dividend	K	T					
104. Account #10 (H)									
105. Growth Fund America (CGFAX)	A	Dividend	J	T					
106. Washington Mutual (CWMAX)	A	Dividend	K	T					
107. Account #11 (H)									
108. Investment Co of America (CICAX)	A	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Nelson, Ryan D.

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08/12/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Undeveloped Land #1-#3, Shenandoah, VA was sold to Kathleen Caudle and Daniel Caudle III.

Part VII, Rental Property #1, Idaho Falls, ID was purchased from Steve Nickels.

Part VII, Rental Property #3, Massanutten, VA was sold to Daniel and Linda Grocott.

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Name of Person Reporting

Nelson, Ryan D.

Date of Report

08/12/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ryan D. Nelson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544