

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

|                                                                                                                                                                                            |                                                                                                                                                                                                                                        |                                                                  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Owen, Priscilla R.                                                                                                    | <b>2. Court or Organization</b><br><br>Fifth Circuit Court of Appeals                                                                                                                                                                  | <b>3. Date of Report</b><br><br>11/06/2021                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. Circuit Judge - Active                                    | <b>5a. Report Type (check appropriate type)</b><br><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final | <b>6. Reporting Period</b><br><br>01/01/2020<br>to<br>12/31/2020 |
|                                                                                                                                                                                            | <b>5b.</b> <input checked="" type="checkbox"/> Amended Report                                                                                                                                                                          |                                                                  |
| <b>7. Chambers or Office Address</b><br><br>903 San Jacinto Blvd., Rm 434<br>Austin, TX 78701                                                                                              |                                                                                                                                                                                                                                        |                                                                  |
| <b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i> |                                                                                                                                                                                                                                        |                                                                  |

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |
| 4. |  |
| 5. |  |

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions)*

☐ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

|         |                                                                                                                                                           |
|---------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. 2020 | State of Texas Employee Retirement System, Judicial Retirement Plan System II; age 65, 50% of state salary paid TX Supreme Court Justice or 40% at age 60 |
| 2.      |                                                                                                                                                           |
| 3.      |                                                                                                                                                           |

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Name of Person Reporting

Owen, Priscilla R.

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions )***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>              | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|-------------------------------------|----------------------------------------|
| 1. 2020     | Judicial Retirement System of Texas | \$67,200.00                            |
| 2.          |                                     |                                        |
| 3.          |                                     |                                        |
| 4.          |                                     |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

|                          |                |
|--------------------------|----------------|
| Name of Person Reporting | Date of Report |
| Owen, Priscilla R.       | 11/06/2021     |

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Federated Government Obligations #5                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy<br>(add'l)                                  | 12/09/20                | J                               |                                |                                                                   |
| 2.                                                                                                                         |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 12/09/20                | J                               |                                |                                                                   |
| 3. 1/8 interest, surface, 640 acres Matagorda<br>County, TX                                                                | B                                      | Rent                                          | M                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. 1/12 mineral interest, 640 acres, Matagorda<br>County, TX                                                               |                                        | None                                          | J                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. 1/4 surface interest in 35 acres, Matagorda<br>County, TX                                                               | A                                      | Rent                                          | J                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. mineral interest, 40 acres, Matagorda<br>County, TX (Brigham                                                            |                                        | None                                          | J                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. 5 acres land, Matagorda County, TX                                                                                      | A                                      | Rent                                          | J                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. royalty or mineral interests in Matagorda,<br>Brazoria, Cts., TX                                                        |                                        | None                                          | J                                              | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. cash, Chase checking                                                                                                    |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. cash, Chase money market                                                                                               | A                                      | Interest                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. cash, Community Bank & Trust                                                                                           |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Real Property A, McLennan County, TX X                                                                                 |                                        | None                                          | L                                              | W                                         | Open                                            | 10/01/20                | L                               |                                |                                                                   |
| 13. Real Property B, McLennan County, TX X                                                                                 |                                        | None                                          | M                                              | W                                         | Open                                            | 10/01/20                | M                               |                                |                                                                   |
| 14. 1/16th interest, 80 acres, Matagorda Cty.,<br>TX X                                                                     |                                        | None                                          |                                                |                                           | Open                                            | 10/01/20                | J                               |                                |                                                                   |
| 15.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 12/23/20                | J                               |                                | Gustave W. Franzen                                                |
| 16. Certificate of Deposit X Community Bank &<br>Trust, Waco                                                               |                                        | None                                          | L                                              | T                                         | Open                                            | 10/01/20                | L                               |                                |                                                                   |
| 17. Community Bank & Trust X                                                                                               |                                        | None                                          | L                                              | T                                         | Open                                            | 10/01/20                | L                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J =\$15,000 or less<br>N =\$250,001 - \$500,000<br>P3 =\$25,000,001 - \$50,000,000 | K =\$15,001 - \$50,000<br>O =\$500,001 - \$1,000,000 | L =\$50,001 - \$100,000<br>P1 =\$1,000,001 - \$5,000,000<br>P4 =More than \$50,000,000 | M =\$100,001 - \$250,000<br>P2 =\$5,000,001 - \$25,000,000 |                        |
| 3 Value Method Codes<br>(See Column C2)         | Q =Appraisal<br>U =Book Value                                                      | R =Cost (Real Estate Only)<br>V =Other               | S =Assessment<br>W =Estimated                                                          | T =Cash Market                                             |                        |

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Name of Person Reporting

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Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e g ,<br>div , rent,<br>or int ) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e g ,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
|                                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 18. Federated Government Obligations #5 (IRA)                                                                              | A                                      | Dividend                                      | O                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 19. T Rowe Price Blue Chip Growth Fd Inc. CL I                                                                             |                                        | None                                          |                                                |                                           | Sold                                            | 09/04/20                | L                               | E                              |                                                                   |
| 20. T Rowe Price Intl Discovery Funds Class I                                                                              |                                        | None                                          |                                                |                                           | Sold                                            | 09/04/20                | K                               | D                              |                                                                   |
| 21. Vanguard Equity Income Fund Admiral Shs (IRA)                                                                          | B                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/04/20                | J                               | D                              |                                                                   |
| 22. Vanguard World Fund Intl Growth Fund Admiral Shs                                                                       |                                        | None                                          |                                                |                                           | Sold                                            | 09/04/20                | L                               | E                              |                                                                   |
| 23. Dodge & Cox Income Fund                                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/04/20                | K                               | C                              |                                                                   |
| 24. Eaton Vance Mutual Funds Tr Fltg Rate Fund Class I                                                                     | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/04/20                | K                               |                                |                                                                   |
| 25. Vanguard Fixed Income GNMA Fund Admiral Shs                                                                            | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/04/20                | K                               |                                |                                                                   |
| 26. Vanguard Interm-Term Invest. Grade Adm.                                                                                | A                                      | Dividend                                      |                                                |                                           | Sold                                            | 09/04/20                | K                               | B                              |                                                                   |
| 27. Vanguard Emerging Mkts Stock Idx Adm                                                                                   |                                        | None                                          |                                                |                                           | Sold                                            | 03/20/20                | K                               |                                |                                                                   |
| 28. FHLB 2.07% Due 6/30/2023                                                                                               | A                                      | Interest                                      |                                                |                                           | Redeemed (part)                                 | 01/23/20                | J                               |                                |                                                                   |
| 29.                                                                                                                        |                                        |                                               |                                                |                                           | Redeemed                                        | 03/10/20                | K                               |                                |                                                                   |
| 30. FFCB 1.8% Due 10/19/2022                                                                                               | A                                      | Interest                                      |                                                |                                           | Redeemed                                        | 03/18/20                | K                               |                                |                                                                   |
| 31.                                                                                                                        |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 32. Vanguard Emerging Markets Bond Fund                                                                                    | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 08/18/20                | J                               |                                |                                                                   |
| 33.                                                                                                                        |                                        |                                               |                                                |                                           | Sold                                            | 09/04/20                | J                               | A                              |                                                                   |
| 34. Frost Total Return Bond Fund Ttl CLASS Inst                                                                            | A                                      | Dividend                                      |                                                |                                           | Buy                                             | 08/18/20                | K                               |                                |                                                                   |

|                                                 |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|-------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A =\$1,000 or less<br>F =\$50,001 - \$100,000                                      | B =\$1,001 - \$2,500<br>G =\$100,001 - \$1,000,000   | C =\$2,501 - \$5,000<br>H1 =\$1,000,001 - \$5,000,000                                  | D =\$5,001 - \$15,000<br>H2 =More than \$5,000,000         | E =\$15,001 - \$50,000 |
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                        | C<br>Gross value at end<br>of reporting period |                                    | D<br>Transactions during reporting period |                  |                          |                         |                                                            |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|------------------------------------------------|------------------------------------|-------------------------------------------|------------------|--------------------------|-------------------------|------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                    | (1)                                            | (2)                                | (1)                                       | (2)              | (3)                      | (4)                     | (5)                                                        |
|                                                                                                                            | Amount<br>Code 1<br>(A-H)              | Type (e g ,<br>div , rent,<br>or int ) | Value<br>Code 2<br>(J-P)                       | Value<br>Method<br>Code 3<br>(Q-W) | Type (e g ,<br>buy, sell,<br>redemption)  | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 35.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 09/04/20         | K                        | A                       |                                                            |
| 36. PIMCO Foreign Bond Fund Inst Cl                                                                                        | A                                      | Dividend                               |                                                |                                    | Buy                                       | 08/18/20         | J                        |                         |                                                            |
| 37.                                                                                                                        |                                        |                                        |                                                |                                    | Sold                                      | 09/04/20         | K                        | A                       |                                                            |

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Priscilla R. Owen**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544