

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rao, Neomi J.	2. Court or Organization United States Court of Appeals for the District of Columbia	3. Date of Report 11/29/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge-Active status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address E. Barrett Prettyman Courthouse 333 Constitution Ave. NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Rao, Neomi J.

Date of Report

11/29/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	-Salary and Bonus
2. 2020	- 1099 Payments
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Brigham Young University Law School	2/27/2020 - 2/28/2020	Salt Lake City, Utah	Moot Court Competition	Transportation; lodging; meals
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Rao, Neomi J.	11/29/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. [REDACTED]	Tuition	L
2.		
3.		
4.		
5.		

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Name of Person Reporting

Rao, Neomi J.

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11/29/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America-Cash Bank Accounts	A	Interest	O	T					
2. Capital One-Cash Bank Account	E	Interest	P1	T					
3. PNC Bank-Cash Bank Account	A	Interest	P1	T					
4. Barclays-Cash Bank Account	D	Interest			Closed	11/10/20	O		
5. HSBC-Cash Bank Account	D	Interest	P1	T					
6. American Express-Cash Bank Account	D	Interest	P1	T					
7. Marcus-Cash Bank Accounts & CDs	E	Interest	P1	T					
8. CIBC-Cash Bank Accounts	B	Interest			Closed	10/02/20	N		
9. BMO-Cash Bank Accounts	B	Interest			Closed	10/21/20	M		
10. ██████████-Common Units		None			Sold	01/08/20	P2	H2	DaVinci Purchaser Holdings
11. EQT Avatar Parent L.P. - Common Units		None			Sold	12/11/20	P2	H1	
12. CERT		None	P2	T	Spinoff (from line 11)	12/11/20	P2		
13. ██████████ - Profits Interest		None			Sold	01/08/20	P1	H1	DaVinci Purchaser Holdings
14. DaVinci Purchaser Holding LP-Common Units		None	P1	U	Spinoff (from line 10)	01/08/20	P1		
15. DaVinci Purchaser Holding LP-Profits Interests		None	J	W					
16. ██████████-Profits Interests		None			Sold	12/22/20	N	G	Symplr Software,Inc.
17. ██████████ - Profits Interests		None	J	W					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Optimvia LLC-Profits Interests		None	J	W					
19. Legends of Learning-Common Stock & Series A Preferred Stock		None	M	U					
20. FISMV	A	Dividend	J	T					
21. FSNVX	D	Dividend	M	T					
22. FLCNV	B	Dividend	O	T					
23. SRJAX	D	Dividend	M	T					
24. VPMAX		None			Sold	10/23/20	N		
25. TRP LargeCap Growth Tr-E		None	N	T	Buy	10/23/20	N		
26. CITI1656 - Vanguard Target Retirement 2035 Trust Plus		None			Sold	10/23/20	K		
27. Vanguard Target Retirement 2035 Trust		None	K	T	Buy	10/23/20	K		
28. SX135		None	N	T	Buy (add'l)	01/07/20	J		
29.					Buy (add'l)	01/17/20	J		
30.					Buy (add'l)	02/03/20	J		
31.					Buy (add'l)	02/18/20	J		
32.					Buy (add'l)	03/02/20	J		
33.					Sold (part)	03/09/20	J		
34.					Buy (add'l)	03/16/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/01/20	J		
36.					Buy (add'l)	04/14/20	J		
37.					Buy (add'l)	04/27/20	J		
38.					Buy (add'l)	05/11/20	J		
39.					Buy (add'l)	05/26/20	J		
40.					Buy (add'l)	06/08/20	J		
41.					Sold (part)	06/12/20	J		
42.					Buy (add'l)	06/22/20	J		
43.					Buy (add'l)	07/06/20	J		
44.					Buy (add'l)	07/21/20	J		
45.					Buy (add'l)	08/04/20	J		
46.					Buy (add'l)	08/17/20	J		
47.					Sold (part)	08/28/20	J		
48.					Buy (add'l)	08/31/20	J		
49.					Buy (add'l)	09/14/20	J		
50.					Buy (add'l)	09/28/20	J		
51.					Buy (add'l)	09/30/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	10/13/20	J		
53.					Buy (add'l)	10/26/20	J		
54.					Buy (add'l)	11/09/20	J		
55.					Buy (add'l)	11/23/20	J		
56.					Buy (add'l)	12/07/20	J		
57.					Sold (part)	12/10/20	J		
58.					Buy (add'l)	12/18/20	J		
59. AAFTX	D	Dividend	N	T	Sold (part)	01/21/20	J		
60.					Buy (add'l)	02/06/20	J		
61. KBS Real Estate Investment Trust Inc.	B	Dividend	J	T					
62. FDRXX	A	Dividend	M	T	Sold (part)	01/10/20	J		
63.					Buy (add'l)	01/23/20	J		
64.					Buy (add'l)	01/24/20	K		
65.					Sold (part)	01/28/20	K		
66.					Buy (add'l)	01/31/20	J		
67.					Buy (add'l)	02/03/20	J		
68.					Buy (add'l)	02/24/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
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J =\$15,000 or less
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P3 =\$25,000,001 - \$50,000,000
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K =\$15,001 - \$50,000
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R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
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S =Assessment
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M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Buy (add'l)	02/28/20	J		
70.					Buy (add'l)	03/23/20	J		
71.					Buy (add'l)	03/31/20	J		
72.					Sold (part)	03/31/20	J		
73.					Sold (part)	04/13/20	J		
74.					Buy (add'l)	04/22/20	J		
75.					Buy (add'l)	04/30/20	J		
76.					Buy (add'l)	05/21/20	J		
77.					Buy (add'l)	05/22/20	J		
78.					Buy (add'l)	05/29/20	J		
79.					Buy (add'l)	06/18/20	J		
80.					Buy (add'l)	06/19/20	J		
81.					Buy (add'l)	06/30/20	J		
82.					Sold (part)	07/10/20	J		
83.					Buy (add'l)	07/23/20	J		
84.					Buy (add'l)	07/24/20	J		
85.					Buy (add'l)	07/29/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

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O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86.					Buy (add'l)	07/31/20	J		
87.					Buy (add'l)	08/21/20	J		
88.					Buy (add'l)	08/31/20	J		
89.					Buy (add'l)	09/21/20	J		
90.					Buy (add'l)	09/23/20	J		
91.					Buy (add'l)	09/30/20	J		
92.					Sold (part)	10/12/20	J		
93.					Buy (add'l)	10/22/20	J		
94.					Buy (add'l)	10/26/20	J		
95.					Buy (add'l)	10/30/20	J		
96.					Buy (add'l)	11/19/20	J		
97.					Buy (add'l)	11/20/20	J		
98.					Buy (add'l)	11/30/20	J		
99.					Buy (add'l)	12/18/20	J		
100.					Buy (add'l)	12/22/20	J		
101.					Buy (add'l)	12/31/20	J		
102. FSPSX	A	Dividend	K	T	Buy (add'l)	01/28/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103.					Sold (part)	07/23/20	J		
104. FSSNX	A	Dividend	K	T	Buy (add'l)	01/28/20	J		
105.					Buy (add'l)	03/31/20	J		
106. FXAIX	B	Dividend	M	T	Buy (add'l)	01/28/20	J		
107.					Buy (add'l)	07/23/20	J		
108. PMDQX	B	Dividend	M	T	Buy (add'l)	01/28/20	J		
109.					Buy (add'l)	03/31/20	J		
110.					Sold (part)	07/23/20	J		
111. PFUAX	A	Dividend	J	T					
112. PFUUX	A	Dividend	J	T					
113. PFUNX	A	Dividend	J	T	Buy (add'l)	01/28/20	J		
114. PMFQX	A	Dividend	K	T	Buy (add'l)	01/28/20	J		
115.					Sold (part)	03/31/20	J		
116.					Buy (add'l)	07/23/20	J		
117. CMLAX	B	Dividend	L	T	Buy (add'l)	01/14/20	J		
118. CGFAX	C	Dividend	L	T	Buy (add'l)	01/14/20	J		
119.					Sold (part)	12/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. CNPAX	C	Dividend	L	T	Buy (add'l)	01/14/20	J		
121.					Sold (part)	12/18/20	J		
122. CFTAX	C	Dividend	L	T	Buy (add'l)	01/14/20	J		
123. CSTAX	C	Dividend	L	T	Buy	01/14/20	J		
124. CTLAX	D	Dividend	M	T	Buy (add'l)	01/14/20	J		
125. CIPAX	B	Dividend	K	T	Buy (add'l)	01/14/20	J		
126. CCFAX	C	Dividend	L	T	Buy	01/14/20	J		
127. Mass Mutual - Whole Life Policy	B	Dividend	M	T					
128. Mass Mutual - Whole Life Policy	A	Dividend	L	T					
129. Mass Mutual - Whole Life Policy	A	Dividend	L	T					
130. DFREX	D	Dividend	N	T	Buy (add'l)	02/18/20	L		
131.					Buy (add'l)	03/04/20	K		
132. FPADX		None			Buy (add'l)	02/18/20	L		
133.					Buy (add'l)	03/04/20	K		
134.					Sold	03/13/20	N		
135. FSMDX		None			Buy (add'l)	02/18/20	L		
136.					Buy (add'l)	03/04/20	L		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold	03/13/20	O		
138. VTMGX		None			Buy (add'l)	02/18/20	M		
139.					Buy (add'l)	03/04/20	M		
140.					Sold	03/13/20	P1		
141. VIGAX	D	Dividend	P1	T	Buy (add'l)	03/04/20	L		
142. VTMSX		None			Buy (add'l)	02/18/20	M		
143.					Buy (add'l)	03/04/20	L		
144.					Sold	03/13/20	O		
145. VVIAX	E	Dividend			Buy (add'l)	02/18/20	L		
146.					Buy (add'l)	03/04/20	L		
147.					Sold	03/13/20	O		
148. FUMBX	C	Dividend	N	T	Buy (add'l)	02/18/20	L		
149. WFBIX	D	Dividend	O	T	Buy (add'l)	02/18/20	L		
150.					Sold (part)	03/13/20	L		
151. VWEAX	C	Dividend			Buy (add'l)	02/18/20	L		
152.					Buy (add'l)	03/04/20	J		
153.					Sold	03/13/20	O		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. VTABX	C	Dividend	N	T	Buy (add'l)	02/18/20	L		
155. IJR	D	Dividend	P1	T	Buy	03/16/20	O		
156. ESGD	E	Dividend	P1	T	Buy	03/16/20	P1		
157. JKF	E	Dividend	P1	T	Buy	03/16/20	O		
158. VWO	D	Dividend	O	T	Buy	03/16/20	N		
159. VO	E	Dividend	P1	T	Buy	03/16/20	O		
160. SHYG	E	Dividend	N	T	Buy	03/16/20	N		
161.									
162.									
163.									
164.									
165.									
166.									
167.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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R =Cost (Real Estate Only)

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C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

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S =Assessment

W =Estimated

D =\$5,001 - \$15,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Rao, Neomi J.	11/29/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Rao, Neomi J.

Date of Report

11/29/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Neomi J. Rao**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544