

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rosenbaum, Robin S.	2. Court or Organization U.S. Court of Appeals for the Eleventh Circuit	3. Date of Report 05/10/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. Courthouse 299 E. Broward Blvd., Room 108 Fort Lauderdale, Florida 33301		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Holland & Knight -- salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Ameritrade Money Market Account	A	Interest	J	T					
2. Astropower common		None	J	T					
3. Bank of America--check/savings	A	Interest	J	T					
4. Cisco Systems common	A	Dividend	K	T					
5. DiscoverBank Savings and Money Market Account & CDs	C	Interest	N	T					
6. Dodge & Cox Int'l Stock Fund	A	Int./Div.	K	T					
7. Domini Impact Equity	A	Int./Div.	K	T					
8. Ericsson L M Tel Co. ADR	A	Dividend	J	T					
9. Fidelity Cash Reserves - Government Money Market	A	Dividend	J	T					
10. Fidelity Contrafund (H&K 401k)	A	Int./Div.	M	T					
11. Fidelity Contrafund (IRA)	A	Int./Div.	M	T					
12. Fidelity Low Priced Stock Fund	A	Dividend	K	T					
13. Fidelity Municipal Money Market Fund	A	Interest	J	T					
14. Fidelity Retirement Government Money Market Fund	A	Dividend	K	T					
15. Fidelity Unique NH 2021 Portfolio Index	A	Int./Div.	K	T	Sold (part)	03/06/20	K	A	
16. -(another partial sale from Fidelity account listed above)					Sold (part)	08/17/20	J	A	
17. Fidelity Unique NH Bank Deposit Portfolio	A	Int./Div.	L	T	Sold (part)	04/15/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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18. -(additional buy into account listed above)					Buy (add'l)	03/06/20	K	A	
19. -(another partial sale from Fidelity account listed above)					Sold (part)	08/17/20	K	A	
20. Intel Corp.	A	Dividend	K	T					
21. Janus Henderson Forty Fund	A	Dividend	K	T					
22. Janus Global Research Fund (previously Janus Worldwide Fund)	A	Dividend	K	T					
23. Lumentum Holdings, Inc. common		None	J	T					
24. MassMutual VUL: Oppenheimer Capital Appreciation Fund		None	K	T					
25. MassMutual Variable Universal Life: Oppenheimer Midcap Fund		None	K	T					
26. NVIDIA common	A	Dividend	K	T					
27. Parnassus Mid Cap Growth Fund (name change from Parnassus Fund)	A	Int./Div.	L	T					
28. PRU Total Return bond Fund-Holland & Knight 401k	A	Int./Div.	K	T					
29. Roku Inc. common		None	K	T					
30. T. Rowe Price 529 College Savings Plan Portfolio 2021	A	Int./Div.	K	T	Sold (part)	03/26/20	K	A	
31. T. Rowe Price 529 College Savings Plan Money Market Portfolio	A	Int./Div.	M	T	Sold (part)	03/06/20	K	A	
32. T. Rowe PriceBlue Chip Growth Fund	A	Int./Div.	K	T					
33. T. Rowe Price Health Sciences Fund (UGMA) - Account 1	A	Int./Div.	J	T					
34. T. Rowe Price Health Sciences Fund (UGMA) - Account 2	A	Int./Div.	J	T					

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35. Universal Display Corp. common	A	Dividend	L	T					
36. Vanguard Institutional Index Fund Holland & Knight 401k	A	None	K	T					
37. Viavi Solutions, Inc. common		None	J	T					
38. Wells Fargo Small Company Growth Fund-Holland & Knight 401k	A	Int./Div.	L	T					
39. Apple	A	Dividend	K	T	Open	08/31/20	J	A	
40. Ameritrade Money Market Account	A	Interest	J	T					
41. Netflix		None	K	T					
42. Trust #1	A	Interest	N	T					
43. -MML VUL: MassMutual Life Blend		None	K	T					
44. -MML VUL: Oppenheimer Main Street		None	L	T					
45. -MML VUL: Janus Henderson Forty		None	L	T					
46. -MML VUL: Oppenheimer Discovery Mid Cap Growth		None	L	T					
47. -MML VUL: T. Rowe Price Mid-Cap Growth		None	L	T					
48. -MML VUL: Oppenheimer Global		None	L	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. Investments and Trusts

1. Lines 39-41 are held by [REDACTED] but I am listed as [REDACTED] tenant on [REDACTED] account. This has been the case for several years. I derive no income or other benefits from [REDACTED] investments.
2. Lines 43-48 list the assets in Trust #1. I do not receive any income or other benefits from this trust or from serving as the trustee, nor am I a beneficiary of the trust.
3. Line 42 reflects the cumulative value of Trust #1. As I indicated in Note 2 above, lines 43-48 list the specific mutual-fund holdings that make up this Trust.
4. Lines 16 lists additional partial sales of the asset identified on line 15.
6. Line 19 lists additional partial sales of the asset identified on line 17.
7. Line 18 lists an additional buy into the asset identified on line 17.
8. Line 39 represents a splitting of Apple's stock.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robin S. Rosenbaum**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544