

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rainey, John D.	2. Court or Organization U. S. District Court, Texas	3. Date of Report 04/17/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 312 S. Main Room 406 Victoria, TX 77901		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prosperity Bank (IRA)	B	Distribution	L	T	Distributed (part)	12/15/17	J		
2. State Farm Insurance	C	Interest	L	T	Distributed (part)	12/01/17	J		
3. Wells Fargo, Victoria, TX	A	Interest	O	T					
4. Land, Edwards County, TX		None	O	W					
5. Fidelity Money Market	A	Interest	J	T					
6. Fidelity Brokerages Services IRA	D	Distribution	N	T					
7. -Boston Prtns Long Short Research Instl		None	K	T					
8. -SPDR S&P 500 EFT Trust	B	Distribution	L	T					
9. -Equinox Chesapeake Strategy Fund	A	Dividend			Sold	10/23/17	J	B	
10. -Blackrock Global		None			Sold	10/23/17	J		
11. Twenty Express, Limited	C	Distribution	J	W					
12. -Vanguard Small Cap Value Index Admiral	A	Dividend	K	T					
13. -Eagle MLP Strategy Fund CL	C	Dividend	K	T					
14. -Vanguard Small Cap Value Index Investor	A	Dividend	K	T					
15. District Columbia Income Tax Rev Bds	A	Interest	K	T					
16. San Antonio Tex Wtr Rev	B	Interest	K	T					
17. Grand Prairie Tex ISD Ultl	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Equinox Chesapeake Strategy Fund Cl	B	Dividend			Sold	10/24/17	K		
19. BrainDead Brewing Deep Ellum, LLC	A	Distribution	K	W					
20. Juniper Sharyland Ltd.	B	Distribution	K	W					
21. AQR Multi Strgy Alternative	B	Dividend	K	T					
22. PayPal Hldgs Inc		None	K	T					
23. Juniper Portland, Ltd.	B	Distribution	K	W					
24. Boston Partners Long Short Research Instl		None	L	T					
25. AQR Multi Strgy Alternative Cl I		None			Sold	10/24/17	K		
26. Principal Real Estate Sec Instl Cl	A	Dividend			Sold	10/24/17	J	B	
27. Vanguard Developed Mrkts Index Admiral	A	Dividend	K	T	Buy (add'l)	10/24/17	K		
28. DFA US Core Equity II	A	Dividend	K	T					
29. Vanguard 500 Index Admiral	A	Dividend	K	T					
30. Causeway Internatl Opportunities instl	A	Dividend	L	T					
31. Equinox Chesapeake Strategy Fund		None			Sold	10/24/17	K	B	
32. Prosperity Bank	A	Interest	M	T					
33. AQR Style Premia Alternative Cl I (X)	C	Dividend	K	T	Buy	10/23/17	K		
34. Strategic Global Equity Instl (X)		None	L	T	Buy	10/23/17	L		

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35. DFA Investment Grade Portfolio Instl (X)	A	Dividend	K	T	Buy	10/24/17	K		
36. Metropolitan Wesr Total Return Class 1 (X)	A	Dividend	K	T	Buy	10/24/17	K		
37. ASG Managed Futures Strategy Fund (X)		None	K	T	Buy	10/24/17	K		
38.									
39.									
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52.									
53.									
54.									
55.									
56.									
57.									
58.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Eagle MLP Strategy Fund was shown on two separate lines last year but has been combined this year. AQR Multi Strgy Alternative was also shown on two lines last year and has been combined.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ John D. Rainey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544