

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Richardson, Julius N.	2. Court or Organization Fourth Circuit Court of Appeals	3. Date of Report 08/03/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 1100 Laurel Street Columbia, South Carolina 29201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Goldman Sachs	Line of Credit	M
2.	Bank of America	Line of Credit	O
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First Citizens Bank - Cash Account #1	A	Interest	J	T					
2. Bank of America - Cash Account #2	A	Interest	K	T					
3. Goldman Sachs - Cash Account #3	B	Interest	P1	T					
4. Trust #1 (H)									
5. - GS International Equity Insights Fund I		None	K	T					
6. - GS U.S. Equity Dividend and Premium Fund Institutional Shares	A	Dividend	K	T					
7. - GS International Small Cap Insights Fund I	A	Dividend	J	T					
8. - GS Small Cap Value Fund Institutional Class Shares	A	Dividend	J	T					
9.					Sold (part)	06/12/20	J	A	
10. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
11. - GS Small/MID Cap Growth Fund Class P		None	K	T					
12. - IShares Short-Term ETF Corporate Bond ETF	A	Dividend	L	T					
13. - GS Technology Opportunities Fund Class P		None	K	T	Buy	06/02/20	K		
14. Trust #2 (H)									
15. - GS Strategic Factor Allocation Fund Institutional		None	L	T					
16.					Sold (part)	06/05/20	J		
17. - GS International Equity Insights Fund I		None	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. - GS International Small Cap Insights Fund I	A	Dividend	K	T					
19. - GS U.S. Equity Dividend and Premium Fund Institutional Shares	B	Dividend	M	T					
20. - GS Small Cap Value Fund Institutional Class Shares	A	Dividend	L	T					
21. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
22. - GS Small/MID Cap Growth Fund Class P		None	K	T					
23. - iShares Short-Term ETF Corporate Bond ETF	A	Dividend	K	T					
24. - GS Technology Opportunities Fund Class P		None	K	T	Buy	06/02/20	K		
25. Trust #3 (H)									
26. - GS Strategic Factor Allocation Fund Institutional		None	L	T					
27.					Sold (part)	06/05/20	J		
28. - GS International Equity Insights Fund I	B	Dividend	M	T					
29. - GS International Small Cap Insights Fund I	A	Dividend	K	T					
30. -GS U.S. Equity Dividend and Premium Fund Institutional Shares	B	Dividend	M	T					
31. - GS Small Cap Value Fund Institutional Class Shares	A	Dividend	K	T					
32. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
33. - GS Small/MID Cap Growth Fund Class P		None	K	T					
34. - iShares Short-Term ETF Corporate Bond ETF	A	Dividend	K	T					

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35. - GS Technology Opportunities Fund Class P		None	K	T	Buy	06/02/20	K		
36. Trust #4 (H)									
37. - GS Strategic Factor Allocation Fund Institutional		None	L	T					
38.					Sold (part)	06/05/20	J		
39. - GS U.S. Equity Dividend and Premium Fund Institutional Shares	B	Dividend	M	T					
40. - GS International Equity Insights Fund I	B	Dividend	M	T					
41. - GS International Small Cap Insights Fund I	A	Dividend	K	T					
42. - GS Small Cap Value Fund Institutional Class Shares	A	Dividend	K	T					
43. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
44. - GS Small/MID Cap Growth Fund Class P		None	K	T					
45. - iShares Short-Term ETF Bond ETF	A	Dividend	K	T					
46. - GS Technology Opportunities Fund Class P		None	K	T	Buy	06/02/20	K		
47. Investment Account #1 (H)									
48. - Amex Consumers Staples Select Fund 'SPDR'	C	Dividend			Sold (part)	02/27/20	L	C	
49.					Sold	06/03/20	O	E	
50. - The Financial Select Sector SPDR Fund	A	Dividend			Sold	08/04/20	K	B	
51. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					

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52. - SPDR S&P Dividend ETF	C	Dividend	M	T	Buy	06/03/20	N		
53.					Sold (part)	08/04/20	K	A	
54.					Sold (part)	08/06/20	L	A	
55. - Vanguard FTSE Developed MKTS CMN ETF	B	Dividend	L	T	Buy	06/03/20	M		
56.					Sold (part)	08/06/20	L	A	
57. - iShares MSCI Europe Financials ETF	A	Dividend	L	T	Buy	06/04/20	K		
58. Investment Account #2 (H)									
59. - Vanguard Inst Target Retirement 2040 Fund	C	Dividend	N	T					
60. Investment Account #3 (H)									
61. - VanguardSmall Cap Index Fund	A	Dividend	L	T					
62. Trust #5 (H)									
63. - SPDR S&P 500 ETF Trust		None	P1	T					
64. - iShares Russell 2000 ETF		None	N	T					
65. - GS High Yield Municipal Fund Institutional Shares	B	Dividend	N	T					
66. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
67. - GS Short Duration Tax Free Fund Class P	B	Dividend	M	T	Buy	06/04/20	K		
68. - Amex Consumers Staples Select Fund 'SPDR'		None	M	T					

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69.					Sold (part)	06/03/20	O	E	
70. - Jpmorgan Chase & Co. Linked to basket of Indices		None	L	T					
71. - The Bank of Nova Scotia Lnkd to NASDAQ 100 Stock INDX		None	M	T					
72. - Canadian Imperial Bank of Comm Lnkd to NASDAQ 100 Stock Indx		None	L	T					
73. - Vanguard FTSE Developed MKTS CMN ETF		None	O	T	Buy	06/03/20	N		
74. Trust #6 (H)									
75. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
76. - Amex Consumer Staples Select Fund 'SPDR'	B	Dividend			Sold	06/03/20	N	E	
77. - GS Short Duration Tax Free Fund Class P	C	Dividend	N	T					
78. - JPMorgan Chase & Co Linked to Basket of Indices Upside Due 5/11/2020		None			Matured	05/11/20	K	A	
79. - JPMorgan Chase & Co Linked to Euro Stoxx 50 PR Updise Due 5/21/2020		None			Matured	05/21/20	L	A	
80. - NBC Linked to SX7E Auocal Structured Due 7/21		None			Sold	09/30/20	K	B	
81. - Toronto Dominion Bank Linked to S&P Banks Select Indus Due 11/10/21		None	L	T	Buy	10/06/20	K		
82. - UBS AG Linked to NASDAQ 100 Stock Due 1/29/2020		None			Matured	01/29/20	L	B	
83. - Societe Generale Linked To ESTX Banks Eur PR		None			Sold	09/30/20	K		
84. - SPDR S&P DIVIDEND ETF	D	Dividend	N	T	Buy (add'l)	06/03/20	L		
85.					Buy (add'l)	08/10/20	L		

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86.					Buy (add'l)	09/24/20	L		
87.					Buy (add'l)	09/25/20	L		
88. - Citigroup Inc. Linked to Euro Stoxx 50 PR due 7/24/2020		None			Matured	07/24/20	L	B	
89. - Citigroup Inc. Linked to Euro Stoxx 50 PR due 8/12/2020		None			Matured	08/12/20	L	A	
90. - BOFA Finance LLC Linked to Basket of Indices		None			Matured	06/30/20	M	B	
91. - JPMorgan Chase & Co. Linked to S&P 500 Index		None	M	T					
92. - BNP Paribas Linked to XLP VS. XLY		None			Matured	10/07/20	K		
93. - Morgan Stanley Fiance LLC LNKD to NASDAQ 100 Stock		None	L	T					
94. - The Bank of Nova Scotia LNKD to NASDAQ 100 Stock		None	L	T					
95. - Societe Generale Linked to Eurostox Banks Inde		None			Sold	09/24/20	L		
96. - Vanguard FTSE Developed Mkts CMN ETF	C	Dividend	M	T	Buy	06/03/20	M		
97. - iShares MSCI Europe Financials ETF	A	Dividend	L	T	Buy	06/04/20	K		
98. - BNP Paribas Linked to Euro Stoxx 50 due 10/19/2021		None	L	T	Buy	02/19/20	L		
99. - Royal Bank of Canada linked to SPDR S&P Bank ETF due 01/19/2022		None	L	T	Buy	05/14/20	L		
100. - Canadian Imperial Bank of Comm due 07/20/2022		None	L	T	Buy	07/22/20	K		
101. - HSBC USA, Inc Autocallable due 07/20/2022		None	M	T	Buy	07/22/20	K		
102. - Toronto Dominion Bank LnkD to S&P 500 due 11/10/2021		None	M	T	Buy	10/06/20	K		

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103. - JPMorgan Chase & Co LImkd to S&P EQL WGT Energy due 12/08/2021		None	L	T	Buy	05/04/20	K		
104. - Societe Generale Lnkcd to SPDR S&P Oil & Gas Exp		None			Buy	01/23/20	L		
105.					Sold	09/25/20	K		
106. Investment LLC (35.45% interest) (H)									
107. - Undeveloped Tract, Charleston County South Carolina (57.4% interest)		None	L	W					
108. - John Hancock Flexible Premium Adjustable Life	D	Interest	O	T					
109. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
110. Trust #7 (H)									
111. - 3M Co Common	A	Dividend			Buy	01/08/20	K		
112.					Sold	03/05/20	K	B	
113. - Abbvie Inc Common	A	Dividend	J	T	Buy	01/02/20	J		
114.					Buy (add'l)	01/10/20	J		
115. - Accenture PLC Cl A Common Ireland	A	Dividend			Sold (part)	03/25/20	K	B	
116.					Buy (add'l)	04/27/20	K		
117.					Sold (part)	06/04/20	J	A	
118.					Sold	12/08/20	K	A	
119. - Altria Group Inc Common	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Richardson, Julius N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - American Elec Pwr Inc Common	A	Dividend			Sold	02/06/20	J	A	
121. - Anderson S C WTR & SWR SYS Rev Bonds	C	Dividend	M	T					
122. - Appalachian St Univ NC Rev Ref-Gen-Ser A	B	Dividend	L	T					
123. - Apple Inc Common	A	Dividend			Sold (part)	02/06/20	J	A	
124.					Buy (add'l)	06/04/20	J		
125.					Sold (part)	09/17/20	K	B	
126.					Sold (part)	11/27/20	J	A	
127.					Sold	12/08/20	L	E	
128. - Artisan International Fund	D	Dividend	N	T					
129. - Artisan International Value Fund	C	Dividend	N	T					
130. - AT&T Inc Common	A	Dividend			Sold (part)	03/25/20	K	B	
131.					Buy (add'l)	04/27/20	K		
132.					Sold (part)	05/30/20	K	B	
133.					Sold (part)	06/04/20	J	A	
134.					Buy (add'l)	08/18/20	K		
135.					Sold	12/08/20	K	A	
136. - Automatic Data Processing Inc Common	A	Dividend			Sold (part)	06/04/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Richardson, Julius N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold	12/08/20	K	A	
138. - Baxter Intl Inc COM	A	Dividend			Sold (part)	06/04/20	J	A	
139.					Sold	12/08/20	K	B	
140. - Truist Finl Corp Com (formerly BB&T Corp Common)	A	Dividend			Buy	01/23/20	J		
141.					Buy (add'l)	03/19/20	J		
142.					Sold	03/25/20	K	B	
143. - Blackrock Inc Class A	A	Dividend			Sold (part)	06/04/20	J	B	
144.					Sold	12/08/20	K	B	
145. - Blackrock Liquidity Fedfund Institutional Class	B	Dividend	N	T					
146. - Blackrock Small Cap Growth Equity Portfolio CL I		None			Redeemed	03/31/20	M	D	
147. - Boeing Co Common	A	Dividend	L	T					
148. - Barclays PLC Senior Unsecured Note DTD 11/10/14 2.75% Due 11/8/19		None			Redeemed	01/01/20	K	A	
149. - Bristol Myers Squibb Co Common	A	Dividend			Sold (part)	03/25/20	K	C	
150.					Buy (add'l)	04/27/20	K		
151.					Sold (part)	06/04/20	J	A	
152.					Sold	12/08/20	K		
153. - Charlotte-Mecklenburg Hosp Aut Ref Rev BDS DTD 11/22/16	B	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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Name of Person Reporting

Richardson, Julius N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. - Chase Issuance TR 2015-a4 CL a DTD 5/1/15 1.840% Due 4/15/22	A	Dividend			Sold	04/15/20	K	C	
155. - Chevron Corp Common	C	Dividend			Buy	01/23/20	J		
156.					Sold (part)	03/25/20	K	B	
157.					Buy (add'l)	04/27/20	L		
158.					Sold	12/08/20	L	C	
159. - Chubb LTD Common Switzerland	A	Dividend			Sold	12/08/20	K		
160. - Cisco SYS Inc Common	B	Dividend			Sold (part)	06/04/20	J	A	
161.					Sold (part)	10/01/20	J	A	
162.					Sold	12/08/20	L		
163. - CitiGroup Inc SR GBL NT C01/24/22 @ 10 DTD 1/24/18 3.142%DUE 1/24/23	B	Dividend	L	T					
164. - CME Group Inc Common	A	Dividend			Sold (part)	06/04/20	J		
165.					Sold	12/08/20	K		
166. - CMS Energy Corp Common	A	Dividend			Sold (part)	06/04/20	J		
167.					Sold	12/08/20	J		
168. - College Station Tex Go Refunds Bonds DTD 07/01/16 5% Due 02/15/26	B	Dividend	L	T					
169. - Columbia Select Large Cap Growth Fund Instl3 Cl	F	Dividend	N	T					
170. - Comcast Corp New CL A Common	B	Dividend			Sold	02/21/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Comcast Corp Unsecured Senior Note Dtd 10/5/18 3.3% Due 10/1/20	A	Dividend			Buy	02/06/20	J		
172.					Sold (part)	06/04/20	J	A	
173.					Buy (add'l)	11/27/20	J		
174.					Sold	12/08/20	L	A	
175. - Constellation Brands Inc	A	Dividend			Sold	10/16/20	K	A	
176. - Crown Castle Intl Corp Reits	A	Dividend			Sold (part)	06/04/20	J	A	
177.					Sold	12/08/20	K		
178. - Cummins Inc Common	A	Dividend			Sold (part)	03/25/20	J		
179.					Buy (add'l)	04/27/20	J		
180.					Sold (part)	06/04/20	J		
181.					Sold	12/08/20	K		
182. - CVS Health Corp Common	B	Dividend			Sold	12/22/20	J		
183. - Digital Rlty Tr Inc Reits	A	Dividend			Sold (part)	06/04/20	J		
184.					Sold	12/18/20	K		
185. - Disney Walt Co Common Disney	A	Dividend			Sold (part)	02/06/20	J	A	
186.					Sold (part)	03/25/20	J	A	
187.					Buy (add'l)	04/27/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold	04/30/20	K	B	
189. - District Columbia Hosp Rev Ref BDS DTD 9/17/15 05.000 Due 7/15/25	B	Dividend	L	T					
190. - Dominion Energy Inc Common	A	Dividend			Sold (part)	06/04/20	J		
191.					Sold	12/08/20	J		
192. - Dowdupont Inc Common	B	Dividend			Matured	11/16/20	K	A	
193.									
194. - Eversource Energy Common	A	Dividend			Sold (part)	06/04/20	J	A	
195.					Sold	12/08/20	K	A	
196. - Excelsior Private Markets Fund III (TI) LLC Valuation DTD 12/29/17	D	Dividend	M	T					
197. - Exxon Mobil Corp Common		None			Sold	01/23/20	K	D	
198. - Federal Home LN MTG Corp Ser 4068	A	Dividend	J	T					
199. - Federal Home LN MTG Corp Ser 4322	A	Dividend	J	T					
200. - Federal Natl Assn Pool #AL1193	B	Dividend	K	T					
201. - Federal Natl MTG Assn Pool #AL5883	A	Dividend	K	T					
202. - Federal Natl MTG Assn Pool #AW7402	A	Dividend	J	T					
203. - Federal Natl MTG Assn Pool #AS2947 DTD 6/1/14 4% DUE 7/1/44	B	Dividend	L	T					
204. - Federal Natl MTG Assn Pool #BM1880 DTD 10/1/17	B	Dividend	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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205. - Federated Strategic Value Fund Instl	E	Dividend	O	T					
206. - General Elec Co Common	A	Dividend	J	T					
207. - Georgetown Cnty SC Go Ref Bonds	B	Dividend			Sold	02/21/20	M	C	
208. - Gilead Sciences	A	Dividend			Sold (part)	06/04/20	J	A	
209.					Sold	12/08/20	J	A	
210. - Hershey Co COM	A	Dividend			Sold (part)	06/04/20	J	A	
211.					Sold	12/08/20	J	A	
212. - Home Depot Inc Common	B	Dividend			Sold (part)	06/04/20	J	A	
213.					Sold	12/08/20	L	D	
214. - Honeywell Intl Inc Common	B	Dividend			Sold (part)	06/04/20	J	A	
215.					Sold	12/08/20	L	B	
216. - Ingersoll Rand Inc		None			Sold (part)	03/19/20	J	A	
217.					Sold (part)	03/19/20	J	A	
218.					Sold (part)	03/20/20	J	A	
219.					Sold (part)	03/20/20	J	A	
220.					Sold	04/13/20	J	A	
221. - Intel Corp Common	A	Dividend			Sold (part)	01/23/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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(See Column C2)	U =Book Value	V =Other	W =Estimated		

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222.					Sold (part)	06/04/20	J	A	
223.					Sold (part)	08/03/20	K	A	
224.					Sold	08/20/20	J	A	
225. - International Business Machs	B	Dividend			Buy	02/06/20	J		
226.					Sold (part)	03/25/20	K	B	
227.					Buy (add'l)	04/27/20	K		
228.					Sold (part)	06/04/20	J	A	
229.					Sold	12/08/20	K	B	
230. - IShares Core MSCI EAFE ETF		None			Sold	04/01/20	L	C	
231. - Ishares Core MSCI Emerging Mkts ETF		None			Sold	04/01/20	N	E	
232. - JPMorgan Chase & Co Common	C	Dividend			Buy	05/15/20	J		
233.					Sold	12/08/20	M	D	
234. - Janus Enterprise Fund CL I	E	Dividend	M	T					
235. - Johnson & Johnson Common	C	Dividend	L	T	Buy	03/24/20	J		
236.					Sold (part)	12/09/20	M	E	
237. - JPMorgan Chase & Co Unsecd SR NT DTD 1/25/13 3.2% Due 1/25/23	B	Dividend	L	T					
238. - JPMorgan TR I Emerging Mkts Equity FD Select	A	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. - Kimberly Clark Corp Common	A	Dividend			Sold (part)	06/04/20	J	A	
240.					Sold	12/08/20	K	C	
241. - KLA-Tencor Corp Common	A	Dividend			Sold (part)	06/04/20	J	A	
242.					Sold	12/08/20	K	D	
243. - Lake Cnty ILL Go Sales Tax Alt Rev Source REF DTD 4/23/18	B	Dividend	L	T					
244. - LAM Research Corp Common	A	Dividend			Sold (part)	06/04/20	J	A	
245.					Sold	12/08/20	L	B	
246. - Lancaster PA Go BDB DTD 4/7/16 05.000 Due 11/01/24	B	Dividend	L	T					
247. - Lexington Cnty SC Rural Rec D Go Ref BDS DTD 2/20/14	C	Dividend	L	T					
248. - Lilly Eli & Co Common	A	Dividend			Sold (part)	06/04/20	J	A	
249.					Sold	12/08/20	K	C	
250. - Lockheed Martin Corp Common	B	Dividend			Sold (part)	06/04/20	J	B	
251.					Sold	12/08/20	L	C	
252. - Marsh & McLennan Cos Inc Common	A	Dividend			Sold (part)	06/04/20	J	B	
253.					Sold	12/08/20	K	A	
254. - McDonalds Corp Common	A	Dividend			Sold (part)	02/06/20	J	A	
255.					Sold (part)	06/04/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	07/23/20	J		
257.					Sold	12/08/20	K	A	
258. - Medtronic PLC Common Ireland	A	Dividend			Buy	03/24/20	J		
259.					Sold (part)	03/25/20	K	A	
260.					Buy (add'l)	04/27/20	K		
261.					Sold (part)	06/04/20	J	A	
262.					Sold	12/08/20	K		
263. - Merck & Co Inc New Common	A	Dividend			Buy	03/24/20	J		
264.					Sold	12/08/20	K	B	
265. - MFS Value Fund CL R5	B	Dividend	M	T					
266. - Microsoft Corp Common	B	Dividend			Sold (part)	02/06/20	J	A	
267.					Sold (part)	06/04/20	J	A	
268.					Sold (part)	06/25/20	J	A	
269.					Sold (part)	11/27/20	J	B	
270.					Sold	12/08/20	L	E	
271. - Milwaukee Wisconsin GO Bonds	B	Dividend	L	T					
272. - Mississippi Dev BK SPL Oblig	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. - Morgan Stanley Sr Unsecd Gmnt DTD 7/23/15 4% Due 7/23/25	A	Dividend	K	T					
274. - New York NY GO BDS DTD 5/27/16 05.000 Due 8/01/25	B	Dividend	L	T					
275. - Nextera Energy Inc Common	A	Dividend			Sold (part)	06/04/20	J	A	
276.					Sold	12/08/20	K	B	
277. - Northern TR Corp Common	A	Dividend			Buy	02/06/20	J		
278.					Sold (part)	03/25/20	J	A	
279.					Buy (add'l)	04/27/20	K		
280.					Buy (add'l)	05/15/20	J		
281.					Sold	12/08/20	K	A	
282. - Ohio St Dept Administrative SV Cops DTD 11/02/16 05.000 Due 9/01/24	B	Dividend	L	T					
283. - Packaging Corp Amer	A	Dividend			Sold (part)	03/25/20	J	A	
284.					Buy (add'l)	04/27/20	J		
285.					Buy (add'l)	05/28/20	J		
286.					Buy (add'l)	05/29/20	J		
287.					Sold	12/08/20	J	A	
288. - Parker Hannifin Corp Common	A	Dividend			Sold (part)	06/04/20	J	A	
289.					Sold	12/08/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Pennsylvania ST TPK Common TPK REV BDS DTD 10/11/17	B	Dividend	L	T					
291. - Pepsico Inc Common	B	Dividend	K	T	Sold (part)	06/04/20	J	A	
292.					Sold (part)	07/23/20	J	A	
293.					Sold (part)	12/09/20	K	B	
294. - Pfizer Inc Common	A	Dividend			Sold	03/24/20	J	A	
295.					Sold (part)	03/25/20	J	A	
296.					Sold	11/27/20	J		
297. - Philip Morris Intl Inc Common	C	Dividend	K	T	Sold (part)	06/04/20	J	A	
298.					Sold (part)	12/09/20	J	A	
299.					Sold (part)	12/09/20	J	A	
300.					Sold (part)	12/09/20	J	A	
301.					Sold (part)	12/09/20	J	A	
302.					Sold (part)	12/09/20	J	A	
303. - PNC Finl Svcs Group Inc Common	B	Dividend			Buy	05/15/20	J		
304.					Sold	12/08/20	L	B	
305. - Price T Rowe Group Inc Common	A	Dividend			Sold (part)	06/04/20	J	A	
306.					Buy (add'l)	10/01/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Sold	12/08/20	K	A	
308. - Principal Finl Group Inc	A	Dividend			Sold (part)	03/19/20	J	A	
309.					Sold	03/20/20	J	A	
310. - Procter & Gamble Co Common	C	Dividend	L	T	Sold (part)	06/04/20	J	A	
311.					Sold (part)	12/09/20	J	A	
312.					Sold (part)	12/09/20	J	A	
313.					Sold (part)	12/09/20	J	A	
314.					Sold (part)	12/09/20	K	A	
315. - Prudential Global Real Estate Fund CL Z		None			Redeemed	03/31/20	K	A	
316. - Regions BK Birmingham ALA	A	Dividend			Sold	05/21/20	K	A	
317. - South Carolina Jobs-Economic D Ref Impt Bds DTD 6/28/18	B	Dividend	L	T					
318. - Sonoco Prods Co Common	A	Dividend			Sold (part)	03/25/20	J	A	
319.					Buy (add'l)	04/27/20	J		
320.					Sold (part)	06/04/20	J	A	
321.					Sold	12/08/20	J		
322. - Southern Co Common	A	Dividend	K	T					
323. - Sullivan Cnty Tenn Go SCH BDS DTD 3/30/17 05.000 Due 5/01/24	B	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. - Suntrust Bk Atl GA Unsecd Med Term SR BK NT C10/26/20	B	Dividend			Sold	10/26/20	K		
325. - Tampa Fla Sports Authority Revenue Bonds	B	Dividend	L	T					
326. - Texas Instrs Inc Common	B	Dividend			Sold (part)	06/04/20	J	B	
327.					Sold	12/08/20	L		
328. - TJX Cos Inc New Common	A	Dividend			Sold	03/25/20	J	A	
329. - Union Pac Corp Common	B	Dividend			Sold (part)	06/04/20	J	A	
330.					Sold	12/08/20	K	C	
331. - United Technologies Corp Common	A	Dividend							
332. - Unitedhealth Group Inc Common	A	Dividend			Buy	03/19/20	J		
333.					Buy (add'l)	03/24/20	J		
334.					Sold (part)	06/04/20	J	A	
335.					Sold	12/08/20	K	B	
336. - US Bancorp Del Common New	B	Dividend			Buy	03/19/20	J		
337.					Buy (add'l)	03/20/20	J		
338.					Sold (part)	03/25/20	K	A	
339.					Buy (add'l)	04/27/20	K		
340.					Buy (add'l)	05/15/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	11/27/20	J		
342.					Sold	12/08/20	K	A	
343. - UST Global Private Markets Fund LLC	G	Dividend	M	T					
344. - Valero Energy Corp New Common	B	Dividend			Buy	01/23/20	J		
345.					Sold (part)	03/25/20	J	A	
346.					Buy (add'l)	04/27/20	J		
347.					Sold	12/08/20	K	B	
348. - Vanguard Growth ETF	D	Dividend	P1	T	Buy	12/08/20	P1		
349. - Vanguard Dividend Appreciation Index Fund	C	Dividend			Sold (part)	01/08/20	L	A	
350.					Buy (add'l)	03/25/20	O		
351.					Sold	04/27/20	O	E	
352. - Vanguard Mid-Cap ETF	A	Dividend			Sold	04/01/20	K	A	
353. - Vanguard Mid-Cap Growth Index Fund	B	Dividend	M	T					
354. - Vanguard Real Estate ETF	D	Dividend	N	T	Buy	04/01/20	K		
355. - Vanguard Small-Cap ETF	A	Dividend			Sold	04/01/20	L	C	
356. - Victory Small Co Oppty Fund CL I SHS					Redeemed	03/31/20	M	D	
357. - Virtus Ceredex Mid Cap Value Equity Fund CL I					Redeemed	03/31/20	M	C	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. - Walmart Inc Common	A	Dividend			Buy	04/08/20	J		
359.					Sold (part)	06/04/20	J	A	
360.					Sold	12/08/20	K	A	
361. - Waste MGMT Inc Del Common	A	Dividend			Sold (part)	06/04/20	J	A	
362.					Sold	12/08/20	K	A	
363. - WEC Energy Group Inc Common	A	Dividend			Sold (part)	06/04/20	J	A	
364.					Sold	12/08/20	K	A	
365. - Wells Fargo & Co New Common	A	Dividend			Buy	03/19/20	J		
366.					Sold (part)	03/25/20	K	A	
367.					Buy (add'l)	04/27/20	K		
368.					Sold	05/15/20	K	A	
369. - WFRBS Coml MTG TR 2013-UBS1 MTG Passthr	B	Dividend			Sold	11/18/20	K	A	
370. - Xcel Energy Inc Com	A	Dividend			Sold (part)	06/04/20	J	A	
371.					Sold	12/08/20	K	A	
372. - York Cnty SC SCH Dist NO 003 Go Ref Bonds	C	Dividend	M	T					
373. - Allstate Corp Com	A	Dividend			Buy	03/19/20	J		
374.					Buy (add'l)	03/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Sold (part)	03/25/20	K	A	
376.					Buy (add'l)	04/27/20	K		
377.					Buy (add'l)	05/15/20	J		
378.					Sold	12/08/20	K	B	
379. - AerCap Ireland Cap LTD / AerCap GBL Aviation	B	Dividend	K	T					
380. - AT&T Inc SR Unsec'd NT Call 2/15/25 @100 DTD 5/4/15 Due 5/15/25	A	Dividend	K	T					
381. - Blackrock High Yield Bond Portfolio Inst'l CL	C	Dividend	L	T	Buy	04/02/20	K		
382. - Deere & CO Com	A	Dividend			Sold (part)	03/25/20	J	A	
383.					Buy (add'l)	04/27/20	J		
384.					Buy (add'l)	06/25/20	J		
385.					Sold	12/08/20	K	B	
386. - Dow Inc Com	A	Dividend			Sold	03/25/20	J	A	
387. - Eaton Vance FLT'G Rate Fund CL I	C	Dividend	L	T	Buy	04/02/20	K		
388. - Federal Home LN MTG Corp Pool #G07806	B	Dividend	K	T					
389. - Federal Home LN MTG Corp Pool #G08660	B	Dividend	K	T					
390. - Federal Home LN MTG Corp Pool #SB0036	B	Dividend	L	T					
391. - Ford CR Auto Lease TR 2019-B NT CL A-3	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392. - Kinder Morgan Energy Partners L P SR Unsecd NT DTD 5/19/10	B	Dividend			Matured	09/15/20	K		
393. - M&T BK Corp Com	A	Dividend			Sold (part)	03/19/20	J	A	
394.					Sold	03/20/20	J	A	
395. - Mondelez Intl Inc Com	A	Dividend			Sold (part)	03/25/20	J	A	
396.					Buy (add'l)	04/27/20	J		
397.					Sold	12/08/20	K	A	
398. - Pioneer Nat Res Co SR Unsecd NT Call 4/15/22	A	Dividend			Sold	05/27/20	K	A	
399. - Southcentral PA Gen Auth Rev BDS DTD 4/3/19 Due 6/1/28	C	Dividend	L	T					
400. - Target Corp Com	A	Dividend			Buy	02/06/20	J		
401.					Sold (part)	03/25/20	K	A	
402.					Buy (add'l)	04/27/20	K		
403.					Sold (part)	06/04/20	J	A	
404.					Buy (add'l)	06/25/20	J		
405.					Buy (add'l)	09/17/20	J		
406.					Buy (add'l)	11/27/20	J		
407.					Sold	12/08/20	L	A	
408. - United Parcel Svc Inc Cl B	A	Dividend			Sold (part)	03/25/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.					Buy (add'l)	04/27/20	K		
410.					Sold (part)	06/25/20	J	A	
411.					Sold	12/08/20	K	A	
412. - United States Treas NT DTD 5/31/15 1.500% Due 5/31/20	A	Dividend			Buy	03/13/20	L		
413.					Sold (part)	04/20/20	L	B	
414.					Matured	06/01/20	K	A	
415. - United State Treas NT DTD 9/30/18 2.750% Due 9/30/20	A	Dividend			Matured	09/30/20	J	A	
416. - United States Treas NT DTD 12/31/18 2.625% Due 12/31/25	A	Dividend	K	T					
417. - United States Treas NT DTD 8/15/18 2.875% Due 8/15/28	B	Dividend	L	T					
418. - United States Treas NT DTD 5/15/19 2.375% Due 5/15/29	A	Dividend			Sold	03/12/20	L	A	
419. - Verizon Communications Inc	B	Dividend			Buy	02/06/20	J		
420.					Sold (part)	03/25/20	K	A	
421.					Buy (add'l)	04/27/20	K		
422.					Sold (part)	06/04/20	J	A	
423.					Buy (add'l)	11/27/20	J		
424.					Sold	12/08/20	K	A	
425. - Verizon Communications Inc SR Unscd NT DTD 9/18/15	A	Dividend			Sold	08/08/20	K	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. - Verizon Communications Inc SR Unsecd NT DTD 9/18/13	A	Dividend			Sold	08/08/20	K	B	
427. - Abbott Labs	A	Dividend			Sold (part)	03/25/20	J	A	
428.					Buy (add'l)	04/27/20	J		
429.					Sold (part)	06/04/20	J	A	
430.					Sold	12/08/20	K	B	
431. - Abbvie Inc Unsecd		None	K	T					
432. - American Intl Group Inc SR Unsecd NT DTD 5/24/12 4.875% Due 6/01/22	A	Dividend			Matured	09/10/20	J	B	
433. - American Intl Group Inc SR Unsecd NT DTD 8/9/13 3.375% Due 8/15/20	A	Dividend			Matured	08/17/20	J	B	
434. - American Intl Group Inc SR Unsecd NT Call 2/01/21 @ DTD 2/26/16	A	Dividend			Sold	09/10/20	J	A	
435. - Broadcom Inc	B	Dividend			Buy	01/23/20	J		
436.					Sold (part)	03/25/20	K	A	
437.					Buy (add'l)	04/27/20	K		
438.					Sold (part)	06/04/20	J	A	
439.					Buy (add'l)	08/03/20	J		
440.					Sold	12/08/20	L	C	
441. - Clark CNTY Wash Sch Dist No 11	C	Dividend	L	T					
442. - Energy Transfer Partners LP	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Richardson, Julius N.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. - Northrop Grumman Corp	A	Dividend			Sold (part)	03/25/20	J	A	
444.					Buy (add'l)	04/27/20	K		
445.					Sold (part)	06/04/20	J	A	
446.					Buy (add'l)	09/17/20	J		
447.					Sold	12/08/20	K	A	
448. - Pembroke Pines FLA Cap IMPT RE	B	Dividend	L	T					
449. - Bank 2019-BNK22 Coml Mtg PassThru CTF CL A-1 Dtd 11/01/19		None	K	T	Buy	12/10/20	K		
450. - BMW Veh Oner TR 2019-A Asset Backed NT CL A-4 Dtd 9/18/19	A	Dividend	L	T	Buy	11/25/20	L		
451. - Boeing Co Unsecd Due 2/1/30	A	Dividend	K	T	Buy	02/12/20	K		
452. - Carrier Global Corp	A	Dividend	J	T					
453. - Clearbridge Small Cap Growth Fund CL IS	D	Dividend	M	T	Buy	03/31/20	M		
454. - Credit Suisse Group FDG Guernsey LTD	B	Dividend	L	T	Buy	01/08/20	L		
455. - Federal Home LN MTG Corp Pool #RA3538	A	Dividend	L	T	Buy	10/14/20	L		
456. - Federal Natl MTG Assn Pool #FM4996		None	K	T	Buy	12/14/20	K		
457. - General Mtrs Finl Co Inc	B	Dividend	K	T	Buy	03/03/20	K		
458.					Buy (add'l)	03/04/20	J		
459. - IShares Core S&P Mid Cap ETF	A	Dividend	L	T	Buy	04/01/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460. - IShares Core S&P Small-Cap	B	Dividend	M	T	Buy	04/01/20	L		
461.					Buy (add'l)	12/08/20	M		
462. - Metropolitan Transn Auth	B	Dividend	L	T	Buy	07/31/20	L		
463. - MFS Mid Cap Value Fund CL R5	B	Dividend	M	T	Buy	03/31/20	M		
464. - Natixis Loomis Sayles Growth Fund CL Y	B	Dividend	K	T	Buy	05/14/20	J		
465.					Buy (add'l)	05/21/20	J		
466.					Buy (add'l)	06/25/20	J		
467. -Otis Worldwide Corp Com	A	Dividend	J	T	Sold (part)	05/29/20	J	A	
468. - Raytheon Technologies Corp Com	A	Dividend	K	T					
469. - Shire ACQ UNV Ireland DA SR		None	K	T	Buy	10/06/20	K		
470. - United States Treas NT DTD 4/15/20 .250% DUE 4/15/23	A	Dividend	M	T	Buy	04/27/20	K		
471.					Buy (add'l)	06/02/20	L		
472. - United States Treas NT DTD 4/16/18 2.375% DUE 4/15/21		None	L	T	Buy	10/23/20	L		
473. - United States Treas NT DTD 5/15/20 .125% DUE 5/15/23	A	Dividend	K	T	Buy	09/30/20	K		
474. - United States Treas NT DTD 9/30/16 1.125% DUE 9/30/21	A	Dividend	K	T	Buy	08/03/20	L		
475.					Sold (part)	10/06/20	K	A	
476. - United States Treas NT DTD 8/17/20 .625% DUE 8/15/30		None	K	T	Buy	09/15/20	K		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477. - University Conn Go BDS 12/17/20 5.000 DUE 2/15/24		None	L	T	Buy	12/17/20	L		
478. - Vanguard FTSE Developed Markets ETF	B	Dividend	M	T	Buy	04/01/20	L		
479. - Vanguard FTSE Emerging Mkts ETf	D	Dividend	O	T	Buy	04/01/20	N		
480. - Vanguard Value ETF	D	Dividend	P1	T	Buy	12/08/20	P1		
481.					Buy (add'l)	12/09/20	M		
482. - Wells Fargo BK N A San Francisco CA Unsecd Medium Term	A	Dividend	K	T	Buy	06/08/20	K		
483. - Wells Fargo Special Small Cap Value Fund Instl CL	B	Dividend	M	T	Buy	03/31/20	M		
484.					Buy (add'l)	05/14/20	J		
485.					Buy (add'l)	05/21/20	J		
486. - Ameren Corp Com	A	Dividend			Sold (part)	06/04/20	J		
487.					Sold	12/08/20	K	A	
488. - Analog Devices Inc Com	A	Dividend			Buy	08/03/20	J		
489.					Buy (add'l)	08/20/20	J		
490.					Buy (add'l)	08/21/20	J		
491.					Buy (add'l)	09/17/20	J		
492.					Sold	12/08/20	K	A	
493. - Avalonbay Cmnty Inc Com	A	Dividend			Buy	02/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494.					Buy (add'l)	02/21/20	J		
495.					Sold (part)	03/25/20	J	A	
496.					Buy (add'l)	04/27/20	J		
497.					Sold (part)	06/04/20	J		
498.					Sold	12/08/20	J	A	
499. - Avery Dennison Corp Com	A	Dividend			Buy	10/01/20	J		
500.					Buy (add'l)	10/02/20	J		
501.					Sold	12/08/20	J	A	
502. - Blackrock High Yield BD Port Fund CL K	A	Dividend							
503. - Conocophillips Com	B	Dividend			Buy	01/08/20	K		
504.					Buy (add'l)	01/23/20	J		
505.					Sold (part)	03/25/20	K	A	
506.					Buy (add'l)	04/27/20	K		
507.					Sold	12/08/20	K	A	
508. - DTE Energy Co Com	A	Dividend			Buy	03/24/20	J		
509.					Buy (add'l)	10/01/20	J		
510.					Sold	12/08/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511. - Eaton Corp PLC Com Ireland	A	Dividend			Buy	03/05/20	K		
512.					Sold (part)	03/25/20	J	A	
513.					Buy (add'l)	04/27/20	K		
514.					Sold	12/08/20	K	A	
515. - Ebay Inc Com	A	Dividend			Buy	04/30/20	J		
516.					Buy (add'l)	06/11/20	J		
517.					Buy (add'l)	07/23/20	J		
518.					Buy (add'l)	09/17/20	J		
519.					Buy (add'l)	11/27/20	J		
520.					Sold	12/08/20	K	A	
521. - Extra Space Storage Inc Reits	A	Dividend			Buy	04/06/20	J		
522.					Buy (add'l)	04/07/20	J		
523.					Buy (add'l)	05/28/20	J		
524.					Sold	12/08/20	J	A	
525. - Fidelity Natl Information Svcs Inc Com	A	Dividend			Buy	04/30/20	J		
526.					Buy (add'l)	07/23/20	J		
527.					Buy (add'l)	09/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Richardson, Julius N.

Date of Report

08/03/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528.					Sold	12/08/20	K	A	
529. - Northrop Grumman Corp Unsecd SR Pidi Nt Call 9/15/22	A	Dividend			Sold	10/08/20	K	B	
530. - Suncor Energy Inc New Com Canada	A	Dividend			Buy	01/08/20	K		
531.					Buy (add'l)	01/23/20	J		
532.					Buy (add'l)	01/24/20	J		
533.					Sold (part)	03/24/20	J	A	
534.					Sold	03/25/20	J	A	
535. - TE Connectivity LTD Com Switzerland	A	Dividend			Buy	06/11/20	J		
536.					Buy (add'l)	06/12/20	J		
537.					Sold	12/08/20	K	A	
538. - Trane Tech Pub LTD Co Com Ireland	A	Dividend			Buy	03/19/20	J		
539.					Buy (add'l)	03/20/20	J		
540.					Sold (part)	06/04/20	J		
541.					Sold	12/08/20	K	A	
542. - United Sattes Treas NT DTD 2/18/20 1.5% DUE 2/15/30	A	Dividend			Buy	06/02/20	L		
543.					Sold	10/23/20	L	C	
544. - United States Treas NT DTD 7/31/13 2% DUE 7/31/20	A	Dividend			Buy	02/20/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Richardson, Julius N.

Date of Report

08/03/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545.					Matured	07/31/20	L	C	
546. Trust #8 (H)									
547. - Acco Brands Corp Common	A	Dividend	J	T	Sold (part)	03/23/20	J	A	
548. - Bank of America Temporary Overnight Deposit	A	Dividend	K	T					
549. - Blackrock Treasury Trust Fund Institutional Class	A	Dividend	L	T					
550. - Chemours Co Common	A	Dividend	J	T	Sold (part)	03/23/20	J	A	
551. - Dominion Energy Inc Common	C	Dividend			Sold	03/23/20	J	A	
552. - Exelon Corp Common	A	Dividend	K	T	Sold (part)	03/23/20	J	A	
553. - Exxon Mobil Corp Common	D	Dividend	L	T	Sold (part)	03/23/20	J	A	
554. - Fortune Brands Home & Sec Inc Common					Sold	03/23/20	J	A	
555. - Texas Pac LC TR SUB CTF Prop I T	E	Dividend	P1	T	Sold (part)	03/23/20	K	E	
556. - Universal Corp VA Common	C	Dividend	K	T	Sold (part)	03/23/20	J	A	
557. - Corteva Inc Com (formerly part of Dowdupont inc Common)	A	Dividend	K	T	Sold (part)	03/23/20	J	A	
558. - Dow Inc Com (formerly part of Dowdupont Inc Common)	B	Dividend	K	T	Sold (part)	03/23/20	J	A	
559. - Dupont De Nemours Inc Com (formerly Dowdupont Inc Common)	A	Dividend	K	T	Sold (part)	03/23/20	J	A	
560. Insurance Trust #1 (H)									
561. - Prudential Whole Life	C	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Richardson, Julius N.

Date of Report

08/03/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. Insurance Trust #2 (H)									
563. - Genworth Universal Life	D	Interest	M	T					
564. Trust #9 (H)									
565. -- Goldman Sachs Bank USA Deposit	A	Interest	J	T					
566. - GS Short Duration Tax Free Fund Class P Dividend	C	Dividend	M	T	Buy (add'l)	06/04/20	K		
567.					Sold (part)	10/23/20	J	A	
568. - SPDR S&P Dividend ETF ETF Non-Qualified Gross Dividend	D	Dividend	M	T	Sold (part)	09/28/20	L	B	
569. Real Estate LLC #1 (H)									
570. - Undeveloped Tract, Charleston County, South Carolina		None	M	W					
571. - Goldman Sachs - Cash Account	A	Interest	J	T					
572. Real Estate LLC #2 (33.3% interest) (H)									
573. - Undeveloped Tracts A through D, Barnwell County, South Carolina			K	W					
574. - Undeveloped Tracts A through H, Bamberg County, South Carolina	B	Rent	K	W					
575. - Goldman Sachs - Cash Account	A	Interest	M	T					
576. Real Estate LLC #3 (32.1% interest) (H)									
577. - Vacation Property, Colleton County		None	N	W					
578. - First Citizens Bank - Cash Account	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Richardson, Julius N.

Date of Report

08/03/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579. Real Estate Corporation (16.6% interest) (H)									
580. - Undeveloped Tracts A, B, C, D, Barnwell County, South Carolina	A	Rent	L	W					
581. - First Citizens Bank - Cash Account	A	Interest	J	T					
582. Undeveloped Land, Barnwell County, South Carolina #1 (33.3% interest)	A	Rent	K	W					
583. Undeveloped Land, Barnwell County, South Carolina #2 (20% interest)		None	L	W					
584. Undeveloped Land, Barnwell County, South Carolina #3 (16.6% interest)		None	J	W					
585. Undeveloped Land, Barnwell County, South Carolina #4 (25% interest)	A	Rent	J	W					
586. Undeveloped Land, Bamberg County, South Carolina #1 (25% interest)		None	J	W					
587. Undeveloped Land, Bamberg County, South Carolina #2 (50% interest)		None	K	W					
588. Undeveloped Land, Bamberg County, South Carolina #3 (50% interest)		None	J	W					
589. Undeveloped Land, Bamberg County, South Carolina #4 (50% interest)		None	J	W					
590. Undeveloped Land, Florence County, South Carolina (16.6% interest)		None	J	W					
591. Trust #10 (33.3% interest) (H)									
592. - Amex Consumers Staples Select Fund 'SDPDR'	C	Dividend	M	T					
593. - GS Short Duration Tax Free Fund Class P	D	Dividend	O	T	Sold (part)	03/27/20	K	A	
594.					Sold (part)	05/07/20	J	A	
595.					Sold (part)	06/17/20	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	06/22/20	J		
597.					Sold (part)	08/12/20	J	A	
598. - SPD S&P Dividend ETF	D	Distribution	N	T	Sold (part)	07/13/20	J	A	
599. - Goldman Sachs Bank USA Deposit (BDA)	A	Interest	J	T					
600. Real Estate LLC #4 (14.28%) (H)									
601. - Undeveloped Land, Richland County, South Carolina		None	M	W	Buy	08/12/20	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Richardson, Julius N.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Richardson, Julius N.

Date of Report

08/03/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Julius N. Richardson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544