

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stinnett, Matthew A.	2. Court or Organization U.S. District Court, Eastern District of Kentucky	3. Date of Report 08/12/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Lexington Courthouse 101 Barr Street Lexington, KY 40507		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Name of Person Reporting

Stinnett, Matthew A.

Date of Report

08/12/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Chrysalis House - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Stinnett, Matthew A.	08/12/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. INDIVIDUAL ASSETS (H)									
2. JPMORGAN CHASE BANK (CASH)		None	K	T					
3. NWM LIFE INSURANCE POLICY (WHOLE)	A	Dividend	J	T					
4. ACCOUNT #1 (H)									
5. BROWN CAPITAL MANAGEMENT SMALL COMPANY FUND INST CL (BCSSX)		None	J	T					
6. COHEN & STEERS REALTY INCOME FUND INSTITUTIONAL CL (CSDIX)	A	Dividend	J	T					
7. DEUTSCHE ENHANCED COMDTY STRAT INST (SKIRX)	A	Dividend			Sold (part)	04/13/20	J		
8.					Sold	08/31/20	J		
9. FMI FDS INC INTL FD INSTL (FMIYX)	A	Dividend	J	T					
10. GOLDMAN SACHS INTERNATIONAL SMALL CAP INSIGHTS FUND CLASS I (GICIX)	A	Dividend	J	T					
11. OAKMARK SELECT FUND ADVISOR CLASS (OAKYX)	A	Dividend	J	T					
12. NEW WORLD FUND-F2 (NFFFX)	A	Dividend	J	T					
13. PARNASSUS MID CAP FUND INSTITUTIONAL CLASS (PFPMX)	A	Dividend	J	T					
14. PIMCO INCOME FUND CLASS P (PONPX)	A	Dividend	J	T	Sold (part)	04/13/20	J		
15. PIMCO COMMODITIES PLUS STRATEGY FUND CLASS P (PCLPX)	A	Dividend	J	T					
16. ISHARES GOLD TR ISHARES (IAU)		None	J	T	Buy (add'l)	04/13/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
17.					Buy (add'l)	08/31/20	J		
18. ISHARES TR CORE S&P500 ETF (IVV)	B	Dividend	L	T	Buy (add'l)	04/13/20	J		
19.					Sold (part)	08/31/20	J	A	
20. ISHARES TR CORE S&P MCP ETF (IJH)	A	Dividend	J	T	Buy (add'l)	04/13/20	J		
21. ISHARES TR CORE S&P SMALL-CAP ETF (IJR)	A	Dividend	J	T					
22. ISHARES TR MSCI USA VALUE FACTOR (VLUE)	A	Dividend	J	T	Buy (add'l)	08/31/20	J		
23. SCHWAB STRATEGIC TR INTL EQTY ETF (SCHF)	A	Dividend	K	T					
24. VANGUARD BD INDEX FD INC TOTAL BND MRKT (BND)	A	Dividend	J	T					
25. VANGUARD MALVERN FDS SHORT- TERM INFLATION PROTECTED SECS INDEX (VTIP)	A	Dividend	J	T					
26. VANGUARD INTL EQUITY INDEX F FTSE EMR MKT ETF (VWO)	A	Dividend	K	T					
27. ACCOUNT #2 (H)									
28. VAN ECK FDS VAN ECK INDX Y (CMCYX)	A	Dividend	J	T	Sold (part)	08/31/20	J		
29. ISHARES GOLD TR ISHARES (IAU)		None	J	T	Buy	04/13/20	J		
30.					Buy (add'l)	08/31/20	J		
31. ISHARES TR CORE S&P MCP ETF (IJH)	A	Dividend	K	T	Buy (add'l)	04/13/20	J		
32. ISHARES TR CORE S&P SMALL CAP ETF (IJR)	A	Dividend	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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33. ISHARES TR MSCI USA VALUE FACTOR (VLUE)	A	Dividend	J	T	Buy	08/31/20	J		
34. ISHARES TR CORE TOTAL USD BD MKT ETF (IUSB)	A	Dividend	J	T	Sold (part)	04/13/20	J	A	
35. SPDR S&P 500 ETF TR TR UNIT (SPY)	A	Dividend	L	T	Sold (part)	08/31/20	J	C	
36. VANGUARD FTSE DEVELOPED MARKET ETF (VEA)	B	Dividend	K	T	Buy (add'l)	04/13/20	J		
37. VANGUARD MALVERN FDS SHORT-TERM INFLATION PROTECTED (VTIP)	A	Dividend	J	T					
38. VANGUARD INTL EQUITY INDEX F FTSE EMR MKT ETF (VWO)	A	Dividend	K	T	Buy (add'l)	04/13/20	J		
39. VANGUARD INDEX FDS REAL ESTATE ETF (VNQ)	A	Dividend	J	T					
40. ACCOUNT #3 (H)									
41. STADION MGD MAX GROWTH SATT		None	K	T					
42. LINCOLN MGD PRIN PROTECT PORTFOLIO		None	J	T					
43. ACCOUNT #4 (H)									
44. FIDELITY FREEDOM INDEX 2050 FUND (X)		None	J	T					
45. ACCOUNT #5 (H)									
46. BERKSHIRE HATHAWAY INC (BRKB)		None	J	T					

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 41 and 42: These items have no underlying investments.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, Oakmark Select I (OAKLX) was Oakmark Select Fund Advisor Class (OAKYX).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Matthew A. Stinnett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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