

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Latham, Christopher B.	2. Court or Organization Bankruptcy Court-Calif. Southern	3. Date of Report 08/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Jacob Weinberger United States Courthouse 325 West F Street San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Latham, Christopher B.

Date of Report

08/11/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

Pillsbury Winthrop Shaw Pittman LLP -- partner draws

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Latham, Christopher B.

Date of Report

08/11/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Kenneth J. Licht	Mortgage on Rental Prop., San Diego, CA (Pt VII, line 1)	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Latham, Christopher B.

Date of Report

08/11/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, San Diego, CA (1994 \$214,000)	E	Rent	M	R					
2. Evergreen Ventures LLC	A	Int./Div.	J	U					
3. Wells Fargo Bank (deposit accounts)	A	Interest	L	T					
4. ScholarShare 529 account (age-based portfolio)-daughter #1	C	Int./Div.	L	T					
5. ScholarShare 529 account (age-based portfolio)-daughter #2	C	Int./Div.	L	T					
6. Schwab Money Market	A	Interest	K	T					
7. Pillsbury Winthrop Shaw Pittman Cash Balance Plan	A	Interest	L	U	Buy (add'l)	12/31/14	J		PWSP Cash Balance Plan
8. Schwab IRA #1-Money Market	A	Interest	M	T					
9. Schwab IRA #1-Guggenheim S&P 500 Equal Weight ETF (RSP)	A	Int./Div.	L	T					
10. Schwab IRA #1-Vanguard FTSE Dev'd Markets ETF (VEA)	A	Int./Div.	K	T					
11. Schwab IRA #1-Vanguard Growth Fund (VUG)	A	Int./Div.	K	T					
12. Schwab IRA #1-Vanguard Mid Cap Growth Fund (VOT)	A	Int./Div.	L	T					
13. Schwab IRA #1-Market Vectors ETF Trust Gold Miners Fund (GDX)	A	Int./Div.	J	T	Buy	02/10/14	J		
14.					Buy (add'l)	03/04/14	J		
15.					Buy (add'l)	07/03/14	J		
16. Schwab IRA #1-Market Vectors New ETF Junior Gold Miners (GDXJ)	A	Int./Div.	J	T	Buy	02/10/14	J		
17.					Buy (add'l)	03/04/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 F ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P ~\$1,000,001 - \$5,000,000 P ~\$5,000,001 - \$25,000,000	M ~\$100,001 - \$250,000 P ~\$250,001 - \$500,000	P ~\$500,001 - \$1,000,000
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Latham, Christopher B.

Date of Report

08/11/2015

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18.					Buy (add'l)	07/03/14	J		
19. Schwab IRA #1-Powershares QQQ Trust Ser 1 (QQQ)	A	Int./Div.	L	T					
20. Schwab IRA #1-Vanguard Small Cap Growth Fund (VBK)	A	Int./Div.	J	T					
21. Schwab IRA #1-Vanguard Whitehall High Div. Yield ETF (VYM)	A	Int./Div.	J	T					
22. Schwab IRA #2-Money Market	A	Interest	J	T					
23. Schwab IRA #2-Vanguard Mid Cap Value Fund (VOE)	A	Int./Div.	K	T	Buy (add'l)	07/03/14	J		
24. Schwab IRA #2-Vanguard Small Cap Fund (VB)	A	Int./Div.	J	T					
25. Schwab IRA #2-ProShares Short 20+ Year Treas. ETF (TBF)	A	Int./Div.	K	T					
26. Schwab IRA #2-Financial Select Sector SPDR Fund (XLF)	A	Int./Div.	K	T					
27. Fidelity 401K-Cash BrokerageLink (FDRXX)	A	Interest	M	T					
28. Fidelity 401K-Vanguard Index Extended Market (VXF)	B	Int./Div.	M	T	Buy (add'l)	01/14/14	K		
29.					Buy (add'l)	01/30/14	K		
30. Fidelity 401K-Vanguard Index Total Stock Market (VTI)	B	Int./Div.	M	T	Buy (add'l)	01/14/14	K		
31.					Buy (add'l)	01/30/14	K		
32. Fidelity 401K-Vanguard Star Fund (VXUS)	B	Int./Div.	K	T	Buy (add'l)	01/14/14	J		
33. Fidelity 401K-ProShares Short Treas. (TBF)	A	Int./Div.	K	T	Buy	01/14/14	J		
34. Fidelity 401K-Chevron Corp New (common stock) (CVX)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Latham, Christopher B.

Date of Report

08/11/2015

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35. Vanguard Specialized Healthcare Inv (VGHCX)	A	Int./Div.			Sold	08/01/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Latham, Christopher B.

Date of Report

08/11/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

"Schwab IRA #1" here includes those positions held in the reporting judge's IRA with Schwab.

"Schwab IRA #2" here includes those positions held in the reporting [REDACTED] IRA with Schwab.

"Fidelity 401K" here includes those positions held in the reporting [REDACTED] non-IRA retirement account with Fidelity.

Line 19 re QQQ -- Inadvertently omitted from previous report.

Re August 11, 2015 amendment to report: Line 35 added to Part VII to include the sale of VGHCX inadvertently omitted from the original 2014 disclosure report.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Latham, Christopher B.

Date of Report

08/11/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Christopher B. Latham**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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