

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thapar, Amul R.	2. Court or Organization U.S. Court of Appeals for the Sixth Circuit	3. Date of Report 07/27/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court 35 W. Fifth Street Covington, Kentucky 41011		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Heyburn Initiative for Judicial Excellence
2. Trustee	Centre College
3. Advisory Board Member	Berkeley Judicial Institute
4. Member	American Law Insitute
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	University of Virginia Law School	\$13,701.00
2. 2020	Notre Dame Law School	\$15,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2020	Self-employed Real Estate Agent -- commissions on sales and rentals
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Antonin Scalia Law School	1/9/20 to 1/12/20	Naples, FL	Seminar participant	Travel, meals, hotel
2.	University of Virginia Law School	1/13/20 to 1/16/20	Charlottesville, VA	Teaching	Travel, meals, lodging
3.	Federalist Society	1/24/20 to 1/26/20	Simi Valley, CA	Speaker	Travel, meal, lodging
4.	BYU Law School	2/4/20 to 2/6/20	Provo, UT	Seminar participat	Travel, meals, hotel
5.	Federalist Society	2/18/20 to 2/19/20	Tuscaloosa, AL	Speaker	Travel, meals, hotel
6.	Federalist Society	3/3/20	Columbus, OH	Speaker	Travel
7.	Federalist Society	7/22/20 to 7/26/20	Amelia Island, FL	Speaker	Travel, meals, hotel

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Name of Person Reporting Thapar, Amul R.	Date of Report 07/27/2021
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8.	The Claremont Institute	8/17/20 to 8/19/20	Newport, CA	Speaker	Travel, meals, hotel
9.	Notre Dame Law School	10/25/20 to 10/30/20	South Bend, IN	Teaching	Travel, meals, hotel
10.	Federalist Society	11/15/20 to 11/17/20	New Haven, CT	Speaker	Travel, meals, hotel

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Thapar, Amul R.	07/27/2021

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Thapar, Amul R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Capital One	A	Interest	K	T					
2. TD Ameritrade (Ameritrade Money Market)	A	Interest	K	T					
3. Fifth Third Bank (Checking Acct.)	A	Interest	J	T					
4. US Bank (Checking and Savings Acct)	A	Interest	J	T					
5. Vanguard Windsor Portfolio	A	Dividend	L	T					
6. Vanguard Mid-Cap Index Portfolio	A	Dividend	J	T					
7. Vanguard Small-Cap Index	A	Dividend	J	T					
8. Vanguard Total International Stock Index	A	Dividend	J	T					
9. Vanguard Total Stock Market Portfolio	A	Dividend	J	T					
10. Vanguard Aggressive Age Based Fund	A	Dividend	K	T					
11. Vanguard Conservative Age Based Portfolio	A	Dividend	J	T					
12. Vanguard Moderate Age Based Portfolio	A	Dividend	J	T					
13. Vanguard Commencement Portfolio	A	Dividend	K	T					
14. Vanguard Target Enrollment 2020/2021	A	Dividend	K	T					
15. Apple Inc. (AAPL) Common	A	Dividend	J	T					
16.					Buy	7/31/20	J		
17.					Sold (part)	9/14/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

Thapar, Amul R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	10/29/20	J		
19.				T	Sold (part)	12/21/20	J		
20.				T	Sold (part)	12/28/20	J		
21. Axon Enterprises Inc (AAXN)		None	J	T	Buy	4/14/20	J		
22.					Buy	05/13/20	J		
23.					Buy	05/13/20	J		
24.					Buy	06/11/20	J		
25.					Buy	04/15/20	J		
26.					Sold (part)	09/21/20	J		
27. Abbott Laboratories (ABT)		None			Buy	03/30/20	J		
28.					Sold (part)	04/07/20	J		
29.					Sold	04/08/20	J		
30. Amazon.com Inc (AMZN)		None	J	T					
31. Agora, Inc (API)		None			Buy	07/30/20	J		
32.					Buy	08/17/20	J		
33.					Sold	11/12/20	J		
34. Appian Corp (APPN)		None			Buy	05/08/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.					Sold	07/02/20	J		
36. ARK ETF TR Genomic Rev ETF (ARKG)	A	Dividend	J	T	Buy	12/23/20	J		
37.					Buy	12/29/20	J		
38.					Buy	08/20/20	J		
39.					Buy	09/03/20	J		
40.					Buy	12/16/20	J		
41. Alteryx Inc (AYX)		None			Buy	05/06/20	J		
42.					Buy	06/22/20	J		
43.					Sold	9/28/20	J		
44.					Buy	05/06/20	J		
45.					Sold	09/24/20	J		
46. Beam Therapeutics Inc (BEAM)		None	J	T	Buy	06/12/20	J		
47. Roundhill Sports Betting & Gaming ETF (BETZ)		None			Buy	06/08/20	J		
48.					Sold	08/13/20	J		
49. Bilibili Inc (BILI)		None			Buy	05/18/20	J		
50.					Sold	05/22/20	J		
51. Blackline Inc (BL)		None			Buy	06/04/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy	06/04/20	J		
53.					Buy	08/27/20	J		
54.					Buy	09/04/20	J		
55.					Sold	09/28/20	J		
56. Blue Prism Group (BPRMF)		None			Buy	07/14/20	J		
57.					Sold	08/03/20	J		
58. Berkshire Hathaway Cl B (BRK.B)		None	J	T	Buy	03/09/20	J		
59.					Sold (part)	04/29/20	J		
60.					Sold (part)	06/03/20	J		
61.					Sold (part)	06/05/20	J		
62.					Sold (part)	06/12/20	J		
63.					Sold (part)	07/02/20	J		
64.					Sold (part)	08/03/20	J		
65.					Sold (part)	08/03/20	J		
66.					Sold (part)	08/06/20	J		
67.					Sold (part)	08/11/20	J		
68.					Sold (part)	03/23/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
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P3 =\$25,000,001 - \$50,000,000
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R =Cost (Real Estate Only)
V =Other

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H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
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S =Assessment
W =Estimated

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H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
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☐ NONE (No reportable income, assets, or transactions.)

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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.					Sold (part)	06/11/20	J		
70.					Sold (part)	08/20/20	J		
71.					Sold (part)	09/28/20	J		
72.					Sold (part)	03/19/20	J		
73.					Sold (part)	03/25/20	J		
74.					Sold (part)	06/22/20	J		
75. Beyond Meats (BYND)		None			Buy	04/27/20	J		
76.					Buy	05/07/20	J		
77.					Sold	07/02/20	J		
78. Chewy Inc (CHWY)		None	J	T	Buy	05/04/20	J		
79.					Buy	05/05/20	J		
80.					Buy	06/08/20	J		
81. Chipotle Mexican Grill Inc (CMG)		None	J	T	Sold (part)	07/20/20	J		
82. Costco Wholesale Corp (COST)		None	J	T	Buy	05/15/20	J		
83.	A	Dividend			Buy	05/15/20	J		
84.					Sold (part)	10/06/20	J		
85. Coupa Software Inc (COUP)		None			Buy	06/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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86.					Sold	09/28/20	J		
87. CRISPR Therapeutics AG (CRSP)		None	J	T	Buy	06/11/20	J		
88. Crowdstrike Holdings (CRWD)		None	J	T	Buy	04/17/20	J		
89.					Buy	06/01/20	J		
90.					Buy	06/22/20	J		
91.					Buy	07/06/20	J		
92.					Buy	07/24/20	J		
93.					Buy	08/03/20	J		
94.					Buy	08/07/20	J		
95.					Buy	10/12/20	J		
96.					Buy	10/21/20	J		
97.					Buy	10/23/20	J		
98.					Buy	12/04/20	J		
99.					Buy	04/17/20	J		
100.					Buy	06/01/20	J		
101.					Buy	06/26/20	J		
102.					Buy	07/16/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

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103.					Buy	08/07/20	J		
104.					Buy	09/06/20	J		
105.					Buy	10/07/20	J		
106.					Buy	10/14/20	J		
107.					Buy	10/21/20	J		
108. Datadog Inc (DDOG)		None	J	T	Buy	06/22/20	J		
109.					Buy	07/24/20	J		
110.					Buy	08/04/20	J		
111.					Buy	08/07/20	J		
112.					Buy	09/03/20	J		
113.					Buy	04/16/20	J		
114.					Buy	06/11/20	J		
115.					Buy	06/24/20	J		
116.					Buy	07/13/20	J		
117.					Buy	07/16/20	J		
118.					Buy	08/07/20	J		
119.					Buy	08/07/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

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P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

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B =\$1,001 - \$2,500

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K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

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H1 =\$1,000,001 - \$5,000,000

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D =\$5,001 - \$15,000

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. The Walt Disney Company (DIS)		None	J	T	Buy	03/17/20	J		
121.					Sold (part)	09/28/20	J		
122.					Buy	03/17/20	J		
123.					Buy	03/17/20	J		
124.					Buy	03/17/20	J		
125.					Sold (part)	09/28/20	J		
126.					Buy	03/23/20	J		
127. Draftkings Inc (DKNG)		None	J	T	Buy	05/18/20	J		
128.					Buy	06/03/20	J		
129.					Buy	07/06/20	J		
130.					Buy	07/06/20	J		
131.					Buy	07/06/20	J		
132.					Buy	07/07/20	J		
133.					Buy	07/13/20	J		
134. Dunkin Brands Group Inc (DNKN)		None			Buy	04/03/20	J		
135.	A	Dividend							
136.					Sold	11/13/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Thapar, Amul R.

Date of Report

07/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Docusign Inc (DOCU)		None	J	T	Buy	10/30/20	J		
138.					Buy	11/10/20	J		
139.					Buy	06/22/20	J		
140.					Buy	07/13/20	J		
141.					Sold (part)	09/28/20	J		
142.					Buy	11/10/20	J		
143.					Buy	04/13/20	J		
144.					Buy	06/04/20	J		
145.					Sold (part)	09/28/20	J		
146.					Buy	06/04/20	J		
147.					Buy	06/19/20	J		
148.					Buy	07/07/20	J		
149.					Buy	07/13/20	J		
150.					Buy	08/24/20	J		
151.					Buy	10/21/20	J		
152. Editas Medicine Inc(EDIT)		None	J	T	Buy	06/11/20	J		
153. EtsyInc (ETSY)		None	J	T	Buy	12/21/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy	07/29/20	J		
155.					Buy	09/17/20	J		
156.					Buy	08/06/20	J		
157.					Buy	08/26/20	J		
158.					Buy	10/12/20	J		
159.					Buy	10/20/20	J		
160.					Buy	10/21/20	J		
161.					Buy	09/06/20	J		
162. Ever Quote Inc (EVER)		None			Buy	6/11/20	J		
163.					Sold	7/20/20	J		
164. Facebook (FB)		None			Buy	3/27/20	J		
165.					Buy	06/22/20	J		
166.					Sold (part)	09/28/20	J		
167.					Sold	10/14/20	J		
168. Fastly Inc (FSLY)		None	J	T	Buy	9/11/20	J		
169.					Buy	09/11/20	J		
170.					Buy	09/11/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	10/07/20	J		
172.					Buy	07/24/20	J		
173.					Buy	08/05/20	J		
174.					Buy	08/07/20	J		
175.					Sold (part)	12/04/20	J		
176.					Buy	05/22/20	J		
177.					Buy	07/06/20	J		
178.					Buy	07/14/20	J		
179.					Buy	05/26/20	J		
180.					Buy	08/04/20	J		
181.					Buy	09/03/20	J		
182.					Sold (part)	10/21/20	J		
183.					Buy	7/30/20	J		
184.					Buy	8/6/20	J		
185.					Buy	8/7/20	J		
186.					Buy	9/3/20	J		
187.					Buy	9/4/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Buy	9/11/20	J		
189.					Buy	10/14/20	J		
190.					Sold (part)	11/12/20	J		
191. Fubo TV (FUBO)		None	J	T	Buy	12/31/20	J		
192.					Buy	12/17/20	J		
193.					Buy	12/21/20	J		
194. Fiverr International (FVRR)		None	J	T	Buy	11/12/20	J		
195. GAN Limited		None			Buy	06/26/20	J		
196.					Sold	10/29/20	J		
197. Gaurdant Health Inc (GH)		None			Buy	05/26/20	J		
198.					Buy	6/4/20	J		
199.					Buy	8/11/20	J		
200.					Sold	9/28/20	J		
201. Globus Medical Inc (GMED)		None			Buy	5/21/20	J		
202.					Sold	07/2/20	J		
203. Insu Acquistions Corp (INAQ)		None	J	T	Buy	12/02/20	J		
204.					Buy	12/02/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Thapar, Amul R.

Date of Report

07/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Intuit Inc (INTU)	A	Dividend			Buy	3/27/20	J		
206.					Sold	9/10/20	J		
207. Social Capital Hedosophia Hldgs (IPOB)		None	J	T	Buy	9/17/20	J		
208.					Buy	10/08/20	J		
209.					Buy	10/30/20	J		
210.					Buy	9/16/20	J		
211.					Buy	10/06/20	J		
212.					Buy	12/02/20	J		
213.					Buy	12/16/20	J		
214. JD.COM Inc (JD)		None			Buy	03/23/20	J		
215.					Buy	05/07/20	J		
216.					Sold	05/22/20	J		
217. Jumia Technologies (JMIA)		None	J	T	Buy	08/04/20	J		
218.					Buy	09/03/20	J		
219.					Sold (part)	11/24/20	J		
220.					Buy	06/22/20	J		
221.					Buy	07/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Koala Corp (KARE)		None	J	T					
223. Luckin Coffee (LKNCY)		None			Buy	03/23/20	J		
224.					Buy	03/27/20	J		
225.					Buy	04/02/20	J		
226.					Sold (part)	04/02/20	J		
227.					Sold	09/28/20	J		
228. Lemonade Inc (LMND)		None	J	T	Buy	09/18/20	J		
229.					Buy	12/21/20	J		
230. Livongo Health Inc (LVGO)	A	Dividend			Buy	04/08/20	J		
231.					Buy	04/17/20	J		
232.					Buy	05/15/20	J		
233.					Buy	05/31/20	J		
234.					Buy	06/22/20	J		
235.					Buy	07/07/20	J		
236.					Buy	04/06/20	J		
237.					Buy	04/30/20	J		
238.					Buy	06/02/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Buy	06/24/20	J		
240.					Buy	08/11/20	J		
241.					Sold	11/04/20	J		
242. Mastercard (MA	A	Dividend	J	T	Buy	03/23/20	J		
243.					Buy	04/01/20	J		
244.					Buy	04/13/20	J		
245.					Sold (part)	12/16/20	J		
246. McDonalds (MCD)		None	J	T	Sold (part)	08/04/20	J		
247. Mongo DB Inc		None			Buy	04/21/20	J		
248.					Sold	09/28/20	J		
249.					Buy	05/18/20	J		
250.					Sold	08/24/20	J		
251. Mercadolibre Inc (MELI)		None	J	T	Buy	03/30/20	J		
252.					Buy	04/01/20	J		
253.					Buy	07/29/20	J		
254.					Buy	03/27/20	J		
255.					Buy	06/24/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
256. Magnite Inc (MGNI)		None	J	T	Buy	11/20/20	J		
257.					Buy	11/24/20	J		
258. MP Materials Corp (MP)		None	J	T	Buy	11/24/20	J		
259. Cloudflare (NET)		None	J	T	Buy	08/03/20	J		
260.					Buy	08/10/20	J		
261.					Buy	09/03/20	J		
262.					Buy	10/23/20	J		
263.					Buy	10/21/20	J		
264.					Buy	08/03/20	J		
265. NIO Inc (NIO)		None	J	T	Buy	10/14/20	J		
266. Nike Inc (NKE)	A	Dividend	J	T					
267. Nano X Imaging (NNOX)		None	J	T	Buy	09/15/20	J		
268.					Buy	09/17/20	J		
269.					Buy	09/10/20	J		
270. Service Now Inc (NOW)		None			Buy	05/07/20	J		
271.					Sold	07/02/20	J		
272. Intellia Therapeutics (NTLA)		None	J	T	Buy	06/11/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Thapar, Amul R.

Date of Report

07/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. Nova Cure Limited (NVCR)		None			Buy	05/05/20	J		
274.					Buy	06/04/20	J		
275.					Sold	07/02/20	J		
276. Nvidia (NVDA)	A	Dividend	J	T					
277. Invitae Corp (NVTX)		None	J	T	Buy	06/11/20	J		
278. Okta Inc (OKTA)		None	J	T	Buy	06/22/20	J		
279.					Buy	09/04/20	J		
280.					Buy	12/29/20	J		
281. Opendoor Technologies (OPEN)		None	J	T	Buy	12/23/20	J		
282. Penn National Gaming (PENN)		None			Buy	03/18/20	J		
283.					Sold	03/25/20	J		
284. Pinterest Inc (PINS)		None	J	T	Buy	03/31/20	J		
285.					Buy	06/04/20	J		
286.					Buy	10/02/20	J		
287. Pershing Square Tontine Holdings (PSTH)		None			Buy	07/24/20	J		
288.					Sold	10/29/20	J		
289. Peloton Interactive Inc (PTON)		None	J	T	Buy	08/25/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy	09/10/20	J		
291.					Buy	09/17/20	J		
292.					Buy	09/24/20	J		
293.					Buy	10/23/20	J		
294.					Buy	11/06/20	J		
295.					Buy	09/14/20	J		
296.					Buy	10/26/20	J		
297.					Buy	10/21/20	J		
298.					Buy	10/22/20	J		
299.					Buy	10/26/20	J		
300.					Buy	09/22/20	J		
301.					Buy	10/20/20	J		
302.					Buy	10/21/20	J		
303.					Buy	11/10/20	J		
304. Paypal		None	J	T	Buy	03/20/20	J		
305. Quidel Corp QDEL		None			Buy	09/04/20	J		
306.					Sold	09/28/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Buy	05/12/20	J		
308.					Buy	05/22/20	J		
309.					Buy	06/05/20	J		
310.					Sold	07/02/20	J		
311. Redfin Corp (RDFN)		None	J	T	Buy	06/30/20	J		
312.					Buy	07/01/20	J		
313.					Buy	07/07/20	J		
314.					Buy	07/10/20	J		
315.					Buy	07/26/20	J		
316.					Buy	08/27/20	J		
317.					Buy	10/16/20	J		
318. Roku Inc (ROKU)		None	J	T	Buy	05/29/20	J		
319.					Buy	06/22/20	J		
320.					Buy	09/03/20	J		
321.					Buy	10/13/20	J		
322. Starbucks Corp (SBUX)	A	Dividend			Buy	03/23/20	J		
323.					Buy	03/23/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Thapar, Amul R.

Date of Report

07/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Buy	03/27/20	J		
325.					Sold	06/11/20	J		
326. Sea Limited ADR (SE)		None	J	T	Buy	07/14/20	J		
327.					Buy	05/06/20	J		
328.					Buy	07/29/20	J		
329.					Buy	08/20/20	J		
330.					Buy	05/14/20	J		
331.					Buy	06/22/20	J		
332.					Buy	07/23/20	J		
333.					Buy	08/07/20	J		
334.					Buy	09/24/20	J		
335. Stitch Fix Inc (SFIX)		None	J	T	Buy	03/26/20	J		
336.					Sold (part)	10/01/20	J		
337. Shopify Inc (SHOP)		None	J	T	Buy	03/16/20	J		
338.					Buy	04/02/20	J		
339.					Buy	06/08/20	J		
340.					Buy	09/04/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Buy	04/02/20	J		
342.					Buy	10/29/20	J		
343. Virgin Galactic Holdings Inc (SPCE)		None	J	T	Buy	03/31/20	J		
344.					Sold (part)	09/28/20	J		
345.					Buy	03/31/20	J		
346.					Sold (part)	07/20/20	J		
347. Spyder (SPY)	A	Dividend			Sold	05/11/20	J		
348. SPDR Series Trust Portfolio S&P 500 ETF (SPYG)	A	Dividend	J	T	Buy	02/26/20	J		
349.					Buy	02/28/20	J		
350.					Sold (part)	04/17/20	J		
351.					Buy	03/12/20	J		
352.					Buy	03/23/20	J		
353.					Sold (part)	03/25/20	J		
354. Square Inc (SQ)		None	J	T	Buy	04/16/20	J		
355.					Buy	05/12/20	J		
356.					Buy	10/30/20	J		
357.					Buy	04/16/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Thapar, Amul R.

Date of Report

07/27/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358.					Buy	04/16/20	J		
359.					Buy	04/21/20	J		
360.					Buy	06/22/20	J		
361.					Buy	10/12/20	J		
362.					Buy	10/21/20	J		
363. Suro Capital (SSSS)	A	Dividend			Buy	07/13/20	J		
364.					Sold	09/16/20	J		
365. Shot Spotter Inc (SSTI)		None			Buy	04/20/20	J		
366.					Buy	05/01/20	J		
367.					Buy	05/01/20	J		
368.					Buy	05/08/20	J		
369.					Sold (part)	09/28/20	J		
370.					Buy	04/20/20	J		
371.					Sold	10/29/20	J		
372. Stoneco LTD (STNE)		None	J	T	Buy	05/05/20	J		
373.					Buy	06/22/20	J		
374.					Buy	07/10/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Buy	08/21/20	J		
376.					Buy	08/26/20	J		
377. Store Capital Corp (STOR)		None			Buy	04/28/20	J		
378.					Sold	06/22/20	J		
379. Teladoc Health Inc		None	J	T	Buy	08/11/20	J		
380.					Buy	08/19/20	J		
381.					Buy	09/04/20	J		
382.					Buy	10/08/20	J		
383.					Buy	10/30/20	J		
384.					Buy	11/10/20	J		
385.					Buy	08/24/20	J		
386.					Buy	08/27/20	J		
387.					Buy	09/04/20	J		
388.					Buy	10/30/20	J		
389.					Buy	08/24/20	J		
390. Atlassain Corp Inc (TEAM)		None			Buy	04/21/20	J		
391.					Buy	05/08/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
392.					Sold	08/03/20	J		
393. Transmedics Group Inc (TMDX)		None	J	T	Buy	05/12/20	J		
394.					Buy	05/27/20	J		
395.					Buy	09/29/20	J		
396.					Buy	09/22/20	J		
397.					Buy	05/08/20	J		
398.					Buy	05/27/20	J		
399. Tesla Inc (TSLA)		None	J	T	Buy	03/09/20	J		
400.					Buy	03/12/20	J		
401.					Buy	03/17/20	J		
402.					Sold (part)	03/19/20	J		
403.					Sold (part)	04/17/20	J		
404.					Buy	03/16/20	J		
405.					Buy	08/12/20	J		
406.					Sold (part)	12/16/20	J		
407.					Buy	09/10/20	J		
408. The Trade Desk (TTD)		None	J	T	Buy	09/17/20	J		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.					Buy	03/27/20	J		
410.					Buy	03/31/20	J		
411.					Buy	04/02/20	J		
412.					Buy	05/13/20	J		
413.					Buy	04/01/20	J		
414.					Buy	04/01/20	J		
415.					Buy	05/27/20	J		
416.					Buy	09/17/20	J		
417.					Buy	04/16/20	J		
418.					Buy	03/31/20	J		
419.					Buy	04/01/20	J		
420.					Buy	04/01/20	J		
421.					Buy	04/21/20	J		
422. Twilio Inc (TWLO)		None	J	T	Buy	04/08/20	J		
423.					Buy	04/07/20	J		
424.					Buy	05/18/20	J		
425.					Sold (part)	09/28/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Thapar, Amul R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. Unity Software (U)		None	J	T	Buy	10/23/20	J		
427. Under Armour (UAA)		None			Sold	06/22/20	J		
428. Proshares Trust Ultrapro Dow 30 (UDOW)	A	Dividend			Buy	03/06/20	J		
429.					Buy	03/09/20	J		
430.					Buy	03/12/20	J		
431.					Buy	03/16/20	J		
432.					Buy	03/23/20	J		
433.					Sold (part)	03/25/20	J		
434.					Sold	06/08/20	J		
435. Utl Beauty Inc(ULTA)		None	J	T	Buy	03/23/20	J		
436.					Buy	03/27/20	J		
437.					Buy	05/14/20	J		
438. U S Bancorp (USB)		None			Buy	06/10/20	J		
439.					Sold	06/22/20	J		
440. Verizon Communications (VZ)	A	Dividend			Buy	03/03/20	J		
441.					Sold	06/22/20	J		
442. Wells Fargo & Co (WFC)	A	Dividend			Buy	03/24/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Buy	04/01/20	J		
444.					Sold	06/22/20	J		
445. Wingstop Inc (WING)	A	Dividend	J	T	Buy	08/11/20	J		
446.					Buy	09/03/20	J		
447.					Buy	10/30/20	J		
448. Slack Technologies (WORK)		None			Buy	03/17/20	J		
449.					Buy	04/02/20	J		
450.					Buy	06/03/20	J		
451.					Buy	06/08/20	J		
452.					Buy	04/02/20	J		
453.					Buy	07/20/20	J		
454.					Sold (part)	09/28/20	J		
455.					Sold (part)	11/24/20	J		
456.					Sold	11/25/20	J		
457. Wrap Technologies (WRTC)		None			Buy	08/25/20	J		
458.					Sold	09/28/20	J		
459. Zoom Video Communications (ZM)		None	J	T	Buy	06/22/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Buy	07/24/20	J		
461.					Buy	07/29/20	J		
462.					Buy	08/04/20	J		
463.					Buy	10/12/20	J		
464.					Buy	11/11/20	J		
465.					Buy	12/30/20	J		
466.					Buy	12/31/20	J		
467.					Buy	06/05/20	J		
468.					Buy	07/08/20	J		
469.					Buy	03/17/20	J		
470.					Buy	06/05/20	J		
471.					Buy	08/04/20	J		
472.					Buy	07/15/20	J		
473.					Buy	10/14/20	J		
474.					Sold (part)	07/20/20	J		
475. Zynga Inc (ZNGA)		None			Buy	03/16/20	J		
476.					Sold	03/25/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The Vanguard Mutual Funds are all part of a 529 plan. We invest monthly in the plans, but the monthly amounts invested are less than the reporting requirement.

Vanguard converted the Vanguard Aggressive Age-Based to the enrollment target year commencement portfolio and the target enrollment fund. Vanguard eliminated the Age-Based plan for its own 529 plan. The fund still exists in Ohio's 529 where we hold it.

We also used portions of the 529 to pay for the [REDACTED] college tuition.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Amul R. Thapar**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544