

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tostrud, Eric C.	2. Court or Organization U.S. District Court, Minnesota	3. Date of Report 04/08/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address Warren E. Burger Federal Building, Room 334 316 North Robert Street St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3. Trustee	Trust #3
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Bank Checking Account	A	Interest	M	T					
2. US Bank Money Market Account	A	Interest	N	T					
3. US Bank Managed Account 1 (H)									
4. First American Retail Prime Obligations Fund	A	Interest	M	T					
5. Congress Mid Cap Growth Fund	D	Dividend	N	T					
6. Jensen Quality Growth Fund	E	Dividend	M	T					
7. JPM 24M EEM 1.5X LBR 5/21/20		None			Matured	05/21/20	K	A	
8. RBC 24M SPX 1.5X LBR 6/3/20		None			Matured	06/03/20	M	E	
9. Vanguard Dividend Appreciation Index Fund	C	Dividend	N	T					
10. Vanguard Growth Index Fund	A	Dividend	M	T					
11. Vanguard 500 Index Fund	D	Dividend	N	T					
12. MFS International Value Fund	E	Dividend	N	T					
13. Causeway Emerging Markets Fund		None			Sold	06/01/20	M	A	
14. Vanguard Intermediate Term Tax-Exempt Fund	A	Interest			Sold	01/13/20	M	D	
15. Vanguard Total Bond Market Index Fund		None			Sold	01/13/20	K	A	
16. Vanguard Inflation-Protected Securities Fund		None			Sold	01/13/20	K	A	
17. Loomis Sayles Strategic Alpha Fund	A	Interest	M	T	Sold (part)	01/13/20	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. SPDR S&P 500 Index	D	Dividend	O	T					
19. Ishares Core MSCI Eafe		None			Sold	06/01/20	M	A	
20. Schwab U.S. REIT	A	Dividend			Sold	06/01/20	M	A	
21. JPM 24M BCOM 2X LBR 5/29/20		None			Matured	05/29/20	K	A	
22. Fidelity intermediate municipal income fund	D	Interest	O	T	Sold (part)	01/13/20	K	A	
23. American Century High Income Fund	C	Interest			Sold	06/01/20	M	A	
24. Columbia Small Cap Growth Fund	D	Dividend	L	T					
25. Driehaus Active Income Fund	C	Interest			Sold	10/08/20	M	A	
26. Ishares Core MSCI Emerging ETF	C	Dividend	M	T					
27. Ishares Core U.S. REIT	C	Dividend	M	T					
28. Jenson Quality Growth Fund	E	Dividend	M	T					
29. Mainstay Floating Rate Fund	B	Interest			Sold	06/01/20	M	A	
30. US Bank Managed Account 2 (H)									
31. First American Retail Prime Obligations Fund	A	Interest	M	T					
32. Congress Mid Cap Growth Fund	D	Dividend	N	T					
33. Jensen Quality Growth Fund	E	Dividend	M	T					
34. JPM 24M EEM 1.5X LBR 5/29/20		None			Matured	05/29/20	K	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. JPM 24M ECOM 2X LBR 5/29/20		None			Matured	05/29/20	K	A	
36. RBC 24M SPX 1.5X LBR 6/3/20		None			Matured	06/03/20	M	E	
37. Vanguard Dividend Appreciation Index Fund	C	Dividend	N	T					
38. Vanguard Growth Index Fund	A	Dividend	M	T					
39. Vanguard 500 Index Fund	D	Dividend	N	T					
40. MFS International Value Fund	E	Dividend	N	T					
41. Causeway Emerging Markets Fund		None			Sold	06/01/20	L	A	
42. Vanguard Intermediate Term Tax-Exempt Fund	C	Interest	M	T	Sold (part)	01/13/20	L	C	
43. Vanguard Total Bond Market Fund		None			Sold	01/13/20	K	A	
44. Vanguard Inflation-Protected Securities Fund		None			Sold	01/13/20	K	A	
45. Loomis Sayles Strategic Alpha Fund	A	Interest	M	T	Sold (part)	01/13/20	L	A	
46. SPDR S&P 500 ETF	D	Dividend	O	T					
47. Schwab U.S. Reit	A	Dividend			Sold	06/01/20	M	A	
48. Ishares Core MSCI EAFE ETF		None			Sold	06/01/20	M	A	
49. American Century High Income Fund	C	Interest			Sold	06/01/20	M	A	
50. Driehaus Active Income Fund	C	Distribution			Sold	10/08/20	M	A	
51. Fidelity Intermediate Muni Bond Fund	C	Interest	N	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Ishares Core MSCI Emerging ETF	C	Dividend	M	T					
53. Ishares Core U.S. REIT ETF	C	Dividend	M	T					
54. Mainstay Floating Rate Fund	B	Interest			Sold	06/01/20	M	A	
55. US Bank Managed Account 3 (H)									
56. First American Retail Prime Obl	B	Interest	P1	T					
57. Vanguard Total Bond Market Fund		None			Sold	01/13/20	L	B	
58. Jensen Quality Growth Fund	F	Dividend	O	T					
59. MFS International Value Fund Class 1	E	Dividend	O	T					
60. Vanguard Dividend Appreciation Index Fund	D	Interest	O	T					
61. Vanguard Growth Index Fund	D	Dividend	P1	T					
62. Vanguard 500 Index Fund	E	Dividend	P1	T					
63. Vanguard Short-term Bond Fund		None			Sold	01/13/20	N	D	
64. Fidelity Intermediate Municipal Income Fund	D	Interest	P1	T					
65. Nuveen MN Municipal Bond Fund	B	Interest			Sold	01/13/20	N	E	
66. Neuberger Berman Genesis Fund	A	Dividend			Sold	05/18/20	N	A	
67. SPDR S&P 500 ETF	E	Dividend	P1	T					
68. Ishares Core MSCI EAFE		None			Sold	05/18/20	O	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Causeway Emerging Markets Fund		None			Sold	05/18/20	O	A	
70. Schwab U.S. Reit	B	Dividend			Sold	05/18/20	N	A	
71. American Century High Income Fund	D	Interest			Sold	05/18/20	N	A	
72. Clearbridge International Growth	A	Distribution	M	T					
73. Columbia Small Cap Growth Fund	E	Distribution	N	T					
74. Driehaus Active Income Fund	D	Distribution			Sold	10/08/20	N	B	
75. Ishares Core MSCI Emerging Markets ETF	D	Dividend	O	T					
76. Ishares Core U.S. REIT ETF	D	Dividend	N	T					
77. Ishares Russell Mid cap ETF	D	Dividend	P1	T					
78. JPM Morgan U.S. Small Company Fund		None			Sold	07/30/20	M	E	
79. Loomis Sayles Strategic Alpha Fund	C	Distribution	N	T					
80. Mainstay Floating Rate Fund	D	Dividend			Sold	05/18/20	N	A	
81. T.Rowe Price Micap Growth Fund		None			Sold	05/18/20	M	A	
82. Trust #1 (H)									
83. First American Retail Prime Obligations Fund Class Y	A	Interest	K	T					
84. Vanguard Mid-Cap Index Fund	A	Dividend			Sold	05/18/20	L	B	
85. JP Morgan U.S. Small Company Fund		None			Sold (part)	01/14/20	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. JP Morgan U.S. Small Company Fund		None			Sold	07/30/20	K	B	
87. Vanguard 500 Index Fund	C	Dividend	M	T					
88. Fidelity Advisor International Discovery Fund		None			Sold	05/18/20	L	B	
89. Parametric Emerging Markets Inst'l Fund		None			Sold (part)	02/06/20	K	A	
90. Parametric Emerging Markets Inst'l Fund		None			Sold	05/08/20	J	A	
91. Boston Partners Long/Short Research Fund		None			Sold	01/14/20	L	B	
92. Nuveen All American Municipal Bond Fund	A	Interest			Sold	01/14/20	K	B	
93. Nuveen Intermediate Duration Municipal Bond Fund	A	Interest			Sold (part)	01/14/20	L	C	
94. Nuveen Intermediat Duration Municipal Bond Fund		None			Sold	05/08/20	L	A	
95. Nuveen Real Estate Securities Fund		None			Sold	01/14/20	K	A	
96. Goldman Sachs Commodity Strategy Fund		None			Sold	01/14/20	K	A	
97. iShares MSCI EAFE	B	Dividend	L	T	Sold (part)	07/30/20	J	A	
98. Columbia Dividend Income Fund	B	Interest	L	T					
99. T. Rowe Price Growth Stock Fund	C	Distribution	L	T					
100. American Century High Income Fund	B	Interest	K	T	Sold (part)	10/08/20	J	A	
101. Causeway Emerging Market Fund		None			Sold	05/08/20	L	A	
102. Columbia Small Cap Growth	B	Distribution	K	T	Sold (part)	05/08/20	L	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Drieshaus Active Income	A	Distribution			Sold	05/08/20	K	A	
104. Fidelity Intermediate Muni Fund	B	Interest	L	T					
105. Ishare Core MSCI Emerging	B	Dividend	L	T	Sold (part)	07/30/20	J	B	
106. Ishares Core U.S. REIT	A	Dividend	K	T					
107. Ishares MSCI EAFE Value		None			Sold	01/14/20	L	A	
108. Ishares Russell Midcap	B	Dividend	M	T					
109. Loomis Sales Strategic Alpha	A	Distribution	K	T					
110. Mainstay Floating Rate	A	Dividend			Sold	05/08/20	K	A	
111. Vanguard Real Estate ETF		None			Sold	05/08/20	K	A	
112. Trust #2 (H)									
113. First American Retail Prime Obligations Fund Class Y	A	Interest	K	T					
114. JP Morgan U.S. Small Company Fund		None			Sold	01/15/20	L	A	
115. Vanguard Mid-Cap Index Fund	A	Distribution			Sold	05/08/20	L	A	
116. Vanguard 500 Index Fund	C	Dividend	M	T					
117. Fidelity Advisor International Discovery Fund		None			Sold	05/08/20	L	B	
118. Parametric Emerging Markets Fund		None			Sold (part)	02/06/20	K	A	
119. Parametric Emerging Markets Fund		None			Sold	05/08/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Boston Partners Long/Short Research Fund		None			Sold	01/15/20	L	B	
121. Nuveen All American Municipal Bond Fund	A	Interest			Sold	01/15/20	K	B	
122. Nuveen Intermediate Duration Municipal Bond Fund	A	Interest			Sold (part)	01/15/20	L	C	
123. Nuveen Intermediate Duration Muni Bond Fund		None			Sold	05/08/20	L	A	
124. Nuveen Real Estate Securities Fund		None			Sold	01/15/20	K	A	
125. Goldman Sachs Commodity Strategy Fund		None			Sold	01/15/20	K	A	
126. Ishares MSCI EAFE ETF		None			Sold	05/08/20	L	A	
127. Columbia Dividend Income Fund	B	Distribution	L	T					
128. T. Rowe Price Growth Stock Fund	C	Distribution	M	T					
129. American Century High Income Fund	B	Interest	K	T	Sold (part)	10/08/20	J	A	
130. Causeway Emerging Markets Fund		None			Sold	05/08/20	L	A	
131. Columbia Small Cap Growth Fund	B	Distribution	K	T	Sold (part)	05/08/20	K	A	
132. Driehaus Active Income Fund	A	Distribution			Sold	10/08/20	K	A	
133. Fidelity Intermediate Muni Fund	B	Interest	M	T					
134. Ishares Core MSCI EAFE ETF	A	Dividend	M	T	Sold (part)	07/30/20	J	C	
135. Ishares Core MSCI Emerging Markets ETF	B	Dividend	L	T	Sold (part)	07/30/20	J	B	
136. Ishares Core U.S. REIT	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

137. Ishares MSCI EAFE Value		None			Sold	01/15/20	L	A	
138. Ishares Russell Midcap ETF	B	Dividend	M	T					
139. Loomis Sayles Strategic Alpha	A	Distribution	K	T					
140. Mainstay Floating Rate	A	Dividend			Sold	05/08/20	K	A	
141. Vanguard Real Estate	A	Distribution			Sold	05/20/20	K	A	
142. US Bank IRA (H)									
143. First American Retail Prime Obl	A	Interest	L	T					
144. Baird Aggregate Bond Fund	D	Distribution	M	T	Sold (part)	05/18/20	M	D	
145. Oppenheimer Senior Floating Rate Fund	A	Interest			Sold	01/13/20	M	A	
146. SPDR S&P500 ETF	C	Dividend	N	T					
147. Vanguard Total Stock Market Fund	C	Distribution	N	T					
148. Ishares MSCI EAFE Value ETF		None			Sold	01/15/20	L	A	
149. Ishares MSCI EAFE ETF		None			Sold	05/08/20	L	A	
150. Causeway Emerging Markets Fund	B	Distribution	M	T	Sold (part)	05/18/20	K	A	
151. American Century High Income Fund	C	Interest	L	T					
152. Columbia Small Cap Growth Fund	D	Distribution	M	T	Sold (part)	05/18/20	M	C	
153. Congress Mid Cap Grwth	D	Distribution	N	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Ishare Core U.S. Reit	B	Dividend	L	T					
155. Mainstay Floating Rate Fund	A	Dividend			Sold	05/18/20	L	D	
156. Trust #3 (H)									
157. First American Retail Prime Obligations Fund	B	Interest	M	T					
158. 3M Co	B	Dividend			Sold	03/09/20	M	F	
159. Alphabet Inc Cl A		None	N	T					
160. Apple Inc	C	Dividend	N	T					
161. Bank of America		None			Sold	01/14/20	L	E	
162. Berkshire Hathaway Inc		None			Sold	01/14/20	M	F	
163. Booking Holdings		None			Sold	01/14/20	L	E	
164. Causeway Emerging Markets Intl Fund		None			Sold (part)	03/13/20	M	A	
165. Causeway Emerging Markets Intl Fund		None			Sold	03/20/20	O	A	
166. Costar Group Inc		None	M	T					
167. CVS Health Corp		None			Sold	01/14/20	K	E	
168. Deutsche X Trackers MSCI Europe ETF		None			Sold	03/13/20	M	A	
169. Ecolab Inc	A	Dividend			Sold	03/13/20	M	G	
170. Five Below		None			Sold	01/14/20	K	E	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Grand Canyon Education Inc		None			Sold	01/14/20	K	E	
172. Healthcare Select SPDR	B	Distribution			Sold	03/20/20	M	A	
173. JP Morgan Chase Co	B	Dividend			Sold (part)	01/14/20	K	E	
174. JP Morgan Chase Co.	B	Dividend			Sold	03/20/20	M	F	
175. Johnson Johnson	A	Dividend			Sold	03/20/20	M	F	
176. Maximus Inc		None			Sold	01/14/20	K	E	
177. Microsoft corp	C	Dividend	N	T					
178. Nike Inc	A	Dividend			Sold	03/20/20	M	G	
179. Nuveen All American Muni Bd Fd	C	Interest			Sold	03/20/20	N	A	
180. Nuveen High Yield Muni Bd Cl I	B	Interest			Sold	03/20/20	L	A	
181. Nuveen Inter Dur Muni Bond I	C	Interest			Sold	03/20/20	N	A	
182. Nuveen Short Dur Hi Yld Muni Bd I	C	Interest			Sold	03/20/20	N	A	
183. Paypal Hldgs Inc		None			Sold	01/14/20	L	F	
184. RBC 24M EFA 1.5X 6/3/20		None			Matured	06/03/20	M	A	
185. Rollins Inc		None			Sold	01/14/20	K	E	
186. Robeco Boston Partners Fund		None			Sold	03/12/20	N	A	
187. Vanguard Intl Growth Fd	D	Dividend	O	T	Sold (part)	03/10/20	L	A	

1 Income Gain Codes:	A =\$1,000 or less (See Columns B1 and D4)	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes	F =\$50,001 - \$100,000 J =\$15,000 or less (See Columns C1 and D3)	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes	N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment	T =Cash Market	
(See Column C2)	Q =Appraisal U =Book Value		W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Vanguard Int'l Growth Fd	D	Dividend	O	T	Sold (part)	03/13/20	N	D	
189. Veeva Systems In Cl A		None			Sold	01/14/20	K	E	
190. Verisk Analytics Inc	B	Dividend	N	T					
191. Visa Inc Class A	A	Dividend			Sold	03/20/20	M	F	
192. Walt Disney	B	Dividend			Sold	03/20/20	M	G	
193. Federated Intst High Yield Bond Fd	C	Interest			Sold	03/13/20	M	A	
194. TIAA Cref Inflation Linked Bond Fund	A	Distribution			Sold	03/20/20	M	D	
195. SPDR S&P 500 ETF	D	Dividend			Sold	03/20/20	O	F	
196. Congress Midcap Growth Fund		None			Sold	03/13/20	N	D	
197. T. Rowe Price Small cap Stock Fund		None			Sold	03/12/20	N	A	
198. Nuveen Real Estate Securities Fund		None			Sold	03/13/20	N	A	
199. Principal Fds Global Real Estate Securites		None			Sold	03/20/20	M	A	
200. Columbia Small Cap Growth Fund	F	Distribution	O	T					
201. Ishares Core S&P 500 ETF	F	Dividend	P1	T					
202. Ishares Core U.S. Aggregate Bond	E	Interest	P1	T					
203. Ishares MSCI EAFE ETF		None			Sold	03/20/20	N	A	
204. Ishares MSCI Emerging Mkt ETF		None			Sold	03/12/20	O	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. SPDR S&P Midcap ETF	C	Distribution			Sold	03/20/20	P1	A	
206. Vanguard Real Estate ETF		None			Sold	03/20/20	N	A	
207. Vanguard Total Stock Market	E	Distribution	P1	T					
208. Trust #4 (H)									
209. First Am Govt Obligations Fund	A	Interest	M	T					
210. Nuveen MN Intermediate Muni Bond Fd	A	Interest			Sold	01/14/20	M	D	
211. Healthcare Select SPDR	C	Distribution	M	T					
212. SPDR S&P 500 ETF	D	Dividend	P1	T					
213. T. Rowe Price Midcap Growth Fund		None			Sold	05/04/20	O	A	
214. T. Rowe Price Small Cap Stock Fund		None			Sold	05/04/20	M	A	
215. Technology Select Sector SPDR	C	Distribution	N	T					
216. Vanguard Total Stock Market ETF	D	Distribution	O	T					
217. Harding Loevner Fund		None			Sold	03/04/20	N	A	
218. iShares Core MSCI EAFE ETF		None			Sold	05/04/20	N	A	
219. Causeway Emerging Markets Fund		None			Sold	05/04/20	N	A	
220. Vanguard Real Estate ETF	B	Distribution			Sold	05/04/20	M	A	
221. Mainstay Floating Rate Fund	C	Dividend			Sold	05/04/20	M	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Columbia Small Cap Growth Fund	D	Distribution	M	T					
223. Fidelity Intermediate Muni Fund	C	Interest	N	T					
224. Investco Senior Floating Rate Fund	A	Int./Div.	J	T					
225. Ishares Core MSCI Emerging Markets ETF	D	Dividend	N	T	Sold (part)	07/30/20	K	C	
226. Ishares Core U.S. REIT	C	Dividend	M	T					
227. Ishares MSCI ACWI Ex US ETF	D	Dividend	O	T	Sold (part)	07/30/20	J	B	
228. Ishares Russell Midcap ETF	D	Dividend	O	T					
229. JP Morgan US Small Company		None			Sold	07/30/20	M	E	
230.									
231.									
232.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting	Date of Report
Tostrud, Eric C.	04/08/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Tostrud, Eric C.

Date of Report

04/08/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Eric C. Tostrud**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544