

FINANCIAL DISCLOSURE REPORT

FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wheeler, Thomas C.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 12/31/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 10/24/2020
7. Chambers or Office Address U.S. Court of Federal Claims 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2006	DLA Piper Retirement Trust Plan with former law firm, no control.
2.	
3.	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Wheeler, Thomas C., 12/31/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Row 1: 1. 2020, DLA Piper Law Firm Retirement Plan., \$1,000.00

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[x] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Rows 1-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[x] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

Name of Person Reporting	Date of Report
Wheeler, Thomas C.	12/31/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Merrill Lynch	Margin Loan	None
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. SIT Tax Exempt Bond Mutual Fund	B	Dividend	K	T					
3. Bank of America (cash)	A	Interest	K	T					
4. Potomac Cliffs at Watson House Real Estate Partnership		None	K	R					
5. Hartford Life Second-to-Die Whole Life Insurance Policy		None	M	T					
6. Commonwealth Annuity Whole Life Policy		None	K	T					
7. Account #1 (H)									
8. Morgan Stanley Bank NA (cash) (Y)									
9. 3M Common Stock (MMM)	C	Dividend	M	T					
10. Abbvie Inc Com (ABBV)		None	K	T	Buy	10/13/20	K		
11. Ally Financial Inc (ALLY)	A	Dividend	L	T	Buy	01/17/20	K		
12.					Buy (add'l)	06/01/20	K		
13.					Buy (add'l)	07/06/20	K		
14. Alphabet, Inc. Common Stock (GOOG)		None	M	T					
15. American Express Common Stock (AXP)	B	Dividend	L	T					
16. Apple Common Stock (AAPL)	A	Dividend	L	T					
17. Bank of America Common Stock (BAC)	B	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Bristol Myers Squibb Common Stock (BMY)	B	Dividend	L	T	Buy (add'l)	08/10/20	J		
19. Cisco Systems Common Stock (CSCO)	C	Dividend	L	T					
20. Comcast Common Stock (CMCSA)	C	Dividend	M	T					
21. Emerson Electric Common Stock (EMR)	A	Dividend	K	T					
22. Home Depot Common Stock (HD)	C	Dividend	M	T					
23. J.P. Morgan Chase Common Stock (JPM)	C	Dividend	M	T					
24. Marriott Int'l Common Stock (MAR)	A	Dividend	K	T					
25. Microsoft Common Stock (MSFT)	B	Dividend	M	T					
26. Mondelez Int'l Inc (MDLZ)	B	Dividend	L	T					
27. Pepsico Common Stock (PEP)	C	Dividend	M	T					
28. Pfizer Inc. (PFE)	B	Dividend	L	T					
29. Philip Morris Int'l Common Stock (PM)	B	Dividend	K	T					
30. TE Connectivity Ltd. New (TEL)	A	Dividend			Sold	10/13/20	K	E	
31. Verizon Common Stock (VZ)	B	Dividend	L	T					
32. Viacomcbs Inc CL B (VIAC)	B	Dividend	K	T					
33. Walmart Common Stock (WMT)	B	Dividend	M	T					
34. Walt Disney Common Stock (DIS)	B	Dividend	M	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

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35. Williams Co Inc (WMB)	C	Dividend	L	T					
36. Apollo Investment Common Stock (AINV)	B	Dividend	J	T					
37. Eaton Vance LTD Duration Income Fund (EVV)	B	Dividend	K	T					
38. Gabelli Div & INCM TR (GDV)	C	Dividend	L	T					
39. Kayne and Midstream/Engy Fd (KMF)	A	Dividend	J	T					
40. New Germany Fund (GF)	A	Dividend	K	T					
41. Financial Sel Sect SPDR Fund (XLF)	A	Dividend	K	T					
42. ACAP Strategic Interval (XCAPX)		None	L	T					
43. Skybridge Mul-Ad Ser G (CAI01)		None	L	T					
44. Carnival CP New Paired Com (CCL) (Y)									
45. Halliburton Common Stock (HAL) (Y)									
46. Service PPTYS Tr Sh Ben Int (SVC) (Y)									
47. Southwest Airlines Common Stock (LUV) (Y)									
48. Account #2 (H)									
49. Davis New York Venture A Mutual Fund (NYVTX)		None	O	T	Buy (add'l)	05/15/20	K		
50.					Buy (add'l)	07/16/20	L		
51. Davis New York Venture C Mutual Fund (NYVCX)		None			Sold (part)	05/15/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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52.					Sold	07/16/20	K		
53. Account #3 (H)									
54. UBS Bank USA (cash)	A	Interest	K	T					
55. Energy Select Sector SPDR Fund ETF (XLE)		None			Buy	03/05/20	K		
56.					Sold	03/19/20	J		
57. First Trust Financial Alphadex Fund ETF (FXO)	A	Dividend			Sold	04/30/20	K	C	
58. iShares US Technology ETF (IYW)	A	Dividend	M	T					
59. iShares US Healthcare ETF (IYH)	A	Dividend	L	T					
60. iShares US Consumer Goods ETF (IYK)	B	Dividend	M	T					
61. iShares US Real Estate ETF (IYR)	A	Dividend	K	T					
62. iShares US Consumer Services ETF (IYC)	A	Dividend	M	T					
63. iShares US Energy ETF (IYE)		None			Sold	03/05/20	K		
64. Vanguard Financials ETF (VFH)	A	Dividend	K	T					
65. iShares Floating Rate Bond ETF (FLOT)	B	Dividend			Sold	07/10/20	M		
66. iShares Core US Aggregate Bond Etf (AGG)	A	Dividend	M	T	Buy	07/10/20	M		
67. SPDR Portfolio Aggregate Bond ETF (SPAB)	A	Dividend	M	T	Buy	07/10/20	M		
68. Vanguard Short-term Corporate Bond ETF (VCSH)	B	Dividend			Sold	07/10/20	M	C	

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69. Vanguard Total Bond Mkt ETF (BND)	B	Dividend	L	T					
70. Account #4 (H)									
71. Ishares 20+ Yr Treasu Bond ETF (TLT)	A	Dividend			Sold (part)	03/16/20	J	B	
72.					Sold	03/18/20	K	C	
73. IShares Barclays 1-3 Yr TSY Bd (SHY)	A	Dividend	J	T	Sold (part)	03/16/20	J	A	
74.					Buy (add'l)	05/20/20	J		
75. IShares Core U.S. Aggregate Fd (AGG)	A	Dividend	K	T	Buy (add'l)	03/18/20	J		
76.					Buy (add'l)	05/20/20	J		
77. Ishares IBoxx HY Cor BD ETF (HYG)	A	Dividend	K	T	Buy	04/08/20	J		
78.					Buy (add'l)	05/20/20	J		
79. IShares MSCI Japan ETF (EWJ)		None			Sold	05/20/20	J	B	
80. IShares TIPS Bond ETF (TIP)	A	Dividend			Sold	04/08/20	J	A	
81. PIMCO Enhanced Shrt Mtrt Exc (MINT)	A	Dividend	J	T	Sold (part)	04/08/20	J		
82. Alger Small Cap Growth Inst. (AISZX)		None	J	T					
83. Artisan Midcap Value Inv. (APDQX)		None	K	T	Buy (add'l)	03/18/20	J		
84. Blackrock Equity Dividend (MADVX)	A	Dividend	L	T	Buy (add'l)	03/18/20	J		
85.					Buy (add'l)	05/20/20	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86. Columbia Select Large Cap Growth (UMLGX)	B	Dividend	K	T	Buy (add'l)	03/18/20	J		
87.					Sold (part)	05/20/20	J		
88.					Buy (add'l)	06/17/20	J		
89. Invesco Opp Intl Growth Y (OIGYX)		None	L	T	Buy (add'l)	03/18/20	J		
90.					Sold (part)	05/20/20	J	A	
91. PGIM Jennison Mid Cap GRW Z (PEGZX)		None	K	T	Buy (add'l)	03/18/20	J		
92.					Buy (add'l)	05/20/20	J		
93. Royce Premier Inv. (RYPRX)		None	J	T	Buy (add'l)	05/20/20	J		
94. Virtus Insight Emerg. Mkts. (HIEMX)		None	K	T	Buy (add'l)	03/18/20	J		
95.					Buy (add'l)	05/20/20	J		
96. Wells Fargo Emerg. Mkts. (EMGNX)		None	K	T					
97. Account #5 (H)									
98. Ishares 20+ Yr Treasu Bond ETF (TLT)	A	Dividend			Sold (part)	03/16/20	J	A	
99.					Sold	03/18/20	J	B	
100. IShares Barclays 1-3 Yr Trs Bd (SHY)	A	Dividend	J	T					
101. IShares Core U.S. Aggregate Fd (AGG)	A	Dividend	J	T	Buy (add'l)	03/18/20	J		
102. Ishares IBoxx HY Cor BD ETF (HYG)	A	Dividend	J	T	Buy	04/08/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103.					Buy (add'l)	05/20/20	J		
104. IShares MSCI Japan ETF (EWJ)		None			Sold	05/20/20	J	A	
105. IShares TIPS Bond ETF (TIP)	A	Dividend			Sold	04/08/20	J	A	
106. PIMCO Enhanced Shrt Mtrt Exc (MINT)	A	Dividend	J	T					
107. Alger Small Cap Growth 22 (AISZX)		None	J	T					
108. Artisan Midcap Value Inv. (APDQX)		None	J	T	Buy (add'l)	03/18/20	J		
109. Blackrock Equity Dividend I (MADVX)	A	Dividend	K	T	Buy (add'l)	03/18/20	J		
110. Columbia Select Large Cap Growth (UMLGX)	B	Dividend	J	T	Buy (add'l)	03/18/20	J		
111.					Sold (part)	05/20/20	J		
112. Invesco Opp Intl Growth Y (OIGYX)		None	K	T	Buy (add'l)	03/18/20	J		
113.					Sold (part)	05/20/20	J	A	
114. PGIM Jennison Mid Cap GRW Z (PEGZX)		None	J	T	Buy (add'l)	03/18/20	J		
115. Royce Premier Inv. (RYPRX)		None	J	T	Buy (add'l)	05/20/20	J		
116. Wells Fargo Emerg. Mkts. (EMGNX)		None	J	T					
117. Account #6 (H)									
118. Morgan Stanley Bank NA (cash)	A	Interest	K	T					
119. Dish Network Corp Class A (DISH)		None	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Cohen & Steers CEF Opp Fd. Inc. (FOF)	B	Dividend	K	T					
121. Goldman Sachs Step-Up Callable Note (38148TNJ4)	A	Interest			Redeemed	02/18/20	K		
122. Skybridge Multi-Advisor Hedge Fund (CAI01)		None	L	T					
123. Account #7 (H)									
124. Fidelity VIP Contrafund-Serv		None	K	T					
125. MFS VIT I Research Series Serv		None	K	T					
126. Franklin Mutual Shares VIP Fund		None	K	T					
127. First Eagle Overseas Variable		None	K	T					
128. Pimco VIT Total Return Bond PO		None	J	T					
129. Account #8 (H)									
130. Neuber AMT Short Duration Bond I		None	K	T					
131. MFS VIT Value Ser Svc		None	J	T					
132. NW NVIT Vw Fd II (Y)									
133. NW NVIT Aqr Lg Cap Defn Style II (X)		None	J	T					
134. Invsco Opp VI Mn St II (was Opp Mn St Va Svc)		None	J	T					
135. Fid VIP Invmt Grd Bd Svc 2		None	K	T					
136. NW NVIT Gov't Bond I		None	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Pimco VIT Low Duration Adv		None	J	T					
138. NW NVIT WF Disc II (X)		None	J	T					
139. NW NVIT Multi Manager Midcap Growth II (Y)									
140. Multi Manager Midcap Value II		None	J	T					
141. NW NVIT Gov Mny Mkt I		None	J	T					
142. NW NVIT Allianzgi Intl Gr II		None	J	T					
143. NW NVIT Gov Multi Manager Int'l Growth II (Y)									
144. NW NVIT Gov Multi Manager Large Cap Growth II (Y)									
145. NW NVIT Jcbs Lvy Lg Cap Gr II (X)		None	J	T					
146. NW Neuber NVIT Soc Resp II (Y)									
147. NW NVIT Newton Sust US Eq II (X)		None	J	T					
148. NW Tmp NVIT Intl Val I (Y)									
149. NW NVIT Col Ovrseas Val I (X)		None	J	T					
150. NW NVIT Cor Bd II		None	K	T					
151. Account #9 (H)									
152. Citigroup Common Stock (C)	A	Dividend	J	T					
153. Clearbridge All Cap Value A (SHFVX)		None	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Account #10 (H)									
155. Morgan Stanley Bank NA (cash) (X)	A	Interest	J	T					
156. Bank of America Common Stock (BAC)	B	Dividend	L	T					
157. Exxon Mobil Common Stock (XOM)	B	Dividend	K	T					
158. U.S. Steel Common Stock (X)	A	Dividend	J	T					
159. Account #11 (H)									
160. Morgan Stanley Bank NA (cash) (Y)									
161. IShares Inc. MSCI Japan ETF (EWJ)	A	Dividend	J	T	Buy (add'l)	04/14/20	J		
162. Ishares JP Morgan EM Bond ETF (EMB)	A	Dividend	J	T					
163. IShares Russell Midcap G ETF (IWP)		None			Sold	01/30/20	J	A	
164. IShares TIPS Bond ETF (TIP)	A	Dividend	J	T	Buy	04/14/20	J		
165. PIMCO Enhanced Short Mtrt (MINT)	A	Dividend	L	T	Sold (part)	04/14/20	J		
166. SPDR Nuveen BBG Short-Term (SHM)	A	Dividend	L	T	Sold (part)	03/23/20	J		
167. Alger Small Cap Growth Inst. (AISZX)		None	J	T					
168. American Century FOC Dynamic GRW I (ACFSX)		None	K	T					
169. American Century Intl. Bond (AIBHX)		None			Sold	04/14/20	J		
170. American Europacific Growth F1 (AEPFX)		None	L	T	Sold (part)	04/14/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. American Growth Fund of America F2 (GFFFX)		None	K	T	Buy (add'l)	04/14/20	J		
172. Baron Small Cap Retail (BSFIX)		None	J	T	Sold (part)	10/09/20	J	A	
173. Davis New York Venture Y (DNVYX)		None	K	T	Buy (add'l)	04/14/20	J		
174. Federated Strategy Val Div Inst Fd (SVAIX)	A	Dividend	K	T	Buy (add'l)	04/14/20	J		
175. Franklin Fed Intm Trm Txfr Adv (FITZX)	B	Dividend	L	T	Sold (part)	04/14/20	J		
176. Goldman Sachs Grw Opport I (GGOIX)		None			Buy	01/30/20	J		
177.					Sold	04/14/20	J		
178. Invesco American Value Y (MSAIX)		None	J	T					
179. Invesco Opp Intl BD Y (OIBYX)	A	Dividend			Sold	04/14/20	J		
180. Invesco Opp Intl Growth Y (OIGYX)		None	L	T	Sold (part)	04/14/20	J		
181. JP Morgan Emerging Markets Sel (JEMSX)		None	K	T	Buy (add'l)	04/14/20	J		
182. JP Morgan Mid Cap Value S (JMV SX)		None	J	T					
183. Lazard Emerging Markets 1 (LZEMX)	A	Dividend	K	T	Buy (add'l)	04/14/20	J		
184. Nationwide Geneva MDCP GW F (NWHYX)		None			Sold	04/14/20	J	A	
185. Royce Total Return Inv. (RYTRX)	A	Dividend	K	T	Buy (add'l)	04/14/20	J		
186. T Rowe Price High Yield (PRHYX)	A	Dividend	J	T	Buy (add'l)	04/14/20	J		
187. Vanguard Short Term Bnd (VBIRX)		None			Buy	03/23/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold	03/27/20	J	A	
189. Wells Fargo High Income Inv. (EKHIX)	A	Dividend	J	T	Buy (add'l)	04/14/20	J		
190. Western Ast Int Term Muni (SBTYX)	B	Dividend	L	T	Sold (part)	04/14/20	J		
191. Account #12 (H)									
192. Morgan Stanley Bank NA (cash)	A	Interest	K	T					
193. Ally Financial Inc (ALLY)	A	Dividend	K	T	Buy	01/17/20	K		
194. Blackstone Group Inc CL A (BX)	B	Dividend	L	T	Sold (part)	01/17/20	L	E	
195. Carnival CP New Paired Com (CCL)	A	Dividend			Sold	04/16/20	J		
196. Carrier Global Corporation (CARR)		None			Spinoff (from line 209)	04/07/20	J		
197.					Sold	05/11/20	J		
198. Corteva Inc. (CTVA)	A	Dividend			Sold	08/10/20	J		
199. CVS Caremark Common Stock (CVS)	B	Dividend	L	T					
200. Dow Inc (DOW)	A	Dividend	J	T					
201. Dupont De Nemours Inc. (DD)	A	Dividend	J	T					
202. Enterprise Products Partners LP (EPD)	D	Dividend	L	T					
203. General Motors Common Stock (GM)	A	Dividend			Sold	08/10/20	K		
204. Merck Common Stock (MRK)	B	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less (See Columns B1 and D4)	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes	F =\$50,001 - \$100,000 J =\$15,000 or less (See Columns C1 and D3)	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes	N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment T =Cash Market	
			W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. Murphy Oil Common Stock (MUR)	A	Dividend	J	T					
206. Murphy USA Inc. Com. (MUSA)		None	J	T					
207. Otis Worldwide Corp (OTIS)	A	Dividend			Spinoff (from line 209)	04/07/20	K		
208.					Sold	08/10/20	K		
209. Raytheon Technologies Corp (RTX)	A	Dividend	K	T					
210. Starbuck's Corp. Common Stock (SBUX)	B	Dividend	M	T					
211. United Technologies Corp (UTX)	A	Dividend			Merged (with line 209)	04/07/20	L		
212. United Health Group Common Stock (UNH)	C	Dividend	M	T					
213. First Tr MLP & Eng Fund (FEI)	D	Dividend	L	T					
214. IShares NASDAQ Biotech ETF (IBB)	A	Dividend	L	T					
215. Hartford Floating Rate BD A (HFLAX)	B	Dividend	L	T					
216. Legg Mason WA S/D Mun Inc A (SHDAX)	A	Dividend	L	T					
217. Putnam Equity Income A (PAYAX)	A	Dividend			Sold	06/01/20	K	D	
218. Putnam Equity Income C (PEQCX)	A	Dividend			Sold	06/01/20	K	C	
219. Putnam Growth Opportunities A (POGAX)		None	K	T	Buy	06/01/20	K		
220. Western Ast Int Term Muni A (SBLTX)	B	Dividend	L	T					
221. Account #13 (H)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. NW NVIT SP 500 Index II		None	M	T					
223. BlackRock Global Allocation V.I. III		None	L	T					
224. FidVIP Eq Inc Svc 2		None	L	T					
225. NW NVIT Cardinal Affr II (Y)									
226. NW NVIT Blueprint Aggr II (X)		None	L	T					
227. Account #14 (H)									
228. Morgan Stanley Bank NA (Cash)	A	Interest	L	T					
229. Altria Group Common Stock (MO)	B	Dividend	J	T					
230. Daimler AG Common Stock (DDAIF)	A	Dividend	J	T					
231. Davis New York Venture A (NYVVTX)		None			Sold	06/01/20	K		
232. Davis Opportunity A (RPEAX)		None	K	T	Buy	06/01/20	K		
233. Account #15 (H)									
234. Morgan Stanley Bank NA (cash)	A	Interest	K	T					
235. Abbvie Inc Com (ABBV)	A	Dividend	J	T	Buy	03/30/20	J		
236.					Buy (add'l)	05/04/20	J		
237. Adobe Systems Common Stock (ADBE)		None	J	T	Sold (part)	02/06/20	J	B	
238. Advance Auto Parts (AAP)	A	Dividend	J	T	Buy (add'l)	01/10/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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239. AES Corp. Common Stock (AES)	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
240. Akamai Technologies Common Stock (AKAM)		None	J	T					
241. Alaska Air Group Common Stock (ALK) (Y)									
242. Alcon Inc (ALC) (X)		None	J	T					
243. Alexion Pharm Inc. (ALXN)		None	J	T					
244. Alibaba Hldg Ltd (BABA)		None	J	T					
245. Allergan Common Stock (AGN)	A	Dividend			Redeemed	05/11/20	J	C	
246. Allison Transmn Hldgs Inc (ALSN)	A	Dividend	J	T					
247. Alphabet Inc Cl A Common Stock (GOOGL)		None			Sold	06/18/20	J	D	
248. Alphabet Inc Cl C Common Stock (GOOG)		None			Sold	08/03/20	J	D	
249. AMC Networks Common Stock (AMCX)		None	J	T					
250. Amer Intl GP Inc New (AIG)	A	Dividend	J	T	Sold (part)	03/23/20	J		
251.					Buy (add'l)	04/23/20	J		
252.					Sold (part)	09/15/20	J		
253.					Buy (add'l)	10/16/20	J		
254. American Express Common Stock (AXP)	A	Dividend	J	T					
255. American Homes 4 Rent Common Stock (AMH)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. Amgen Inc (AMGN) (X)	A	Dividend	J	T					
257. Anheuser Busch InBev SA Spon. Common Stock (BUD)	A	Dividend	J	T					
258. Apple, Inc. Common Stock (AAPL)	A	Dividend	J	T	Sold (part)	09/03/20	J	A	
259. Aptiv PLC (APTV) (X)		None	J	T					
260. Arista Networks Inc (ANET)		None	J	T					
261. Autodesk Inc Delaware (ADSK)		None	J	T					
262. Autozone Inc. (AZO)		None	J	T	Sold (part)	03/11/20	J	A	
263. Bank OZK (OZK) (Y)									
264. Biogen Idec Inc. Common Stock (BIB)		None	J	T					
265. Biomarin Pharmac SE (BMRN)		None	J	T					
266. Blackrock, Inc. Common Stock (BLK)		None			Sold	01/08/20	J	B	
267. Bristol Myers Squibb Common Stock (BMY)	A	Dividend			Sold (part)	09/23/20	J	A	
268.					Sold (part)	10/02/20	J	A	
269.					Sold	10/20/20	J	A	
270. Broadcom Corp. Common Stock (AVGO)	A	Dividend	J	T					
271. Cerence Inc (CRNC)		None	J	T					
272. CH Robinson Worldwide Inc New (CHRW) (Y)									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

273. Charles Schwab New (SCHW) (Y)									
274. CitiGroup Common Stock (C)	A	Dividend	J	T	Buy (add'l)	03/25/20	J		
275. Citrix Systems, Inc. Common Stock (CTXS)	A	Dividend	J	T	Buy (add'l)	09/08/20	J		
276. Comcast Corp. CL A Special New Common Stock (CMCSA)	A	Dividend	K	T	Buy (add'l)	02/19/20	J		
277.					Buy (add'l)	03/06/20	J		
278. Conagra Brands Inc (CAG)	A	Dividend	J	T	Sold (part)	10/01/20	J	A	
279. Corteva Inc (CTVA)	A	Dividend	J	T	Buy	01/10/20	J		
280. Costco Wholesale Corp New (COST)	A	Dividend	J	T					
281. Covetrus Inc (CVET)		None	J	T					
282. Cree Research Inc. Common Stock (CREE)		None	J	T	Buy (add'l)	01/10/20	J		
283. Curtiss Wright Corp (CW)	A	Dividend	J	T	Buy	10/02/20	J		
284. CVS Health Corp Com (CVS)	A	Dividend	J	T	Buy (add'l)	02/13/20	J		
285.					Sold (part)	09/15/20	J		
286.					Buy (add'l)	10/16/20	J		
287. Discovery Inc (DISCA)		None	J	T					
288. Dolby CL A Common Stock (DLB)	A	Dividend	J	T					
289. DTE Energy Company (DTE)	A	Dividend	J	T	Buy	05/26/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. Dupont De Nemours Inc. (DD)	A	Dividend	J	T					
291. DXC Technology Company (DXC)	A	Dividend	J	T	Buy (add'l)	02/13/20	J		
292.					Buy (add'l)	03/11/20	J		
293.					Sold (part)	09/15/20	J		
294. E*Trade Financial Corp New Com (ETFC)		None			Sold	02/21/20	J	A	
295. Ecolab Common Stock (ECL)	A	Dividend	J	T					
296. EQT Corporation Com New (EQT)	A	Dividend	J	T					
297. Equinix Inc (EQIX)	A	Dividend	J	T					
298. Equitable Hldgs Inc (EQH) (X)	A	Dividend	J	T	Buy (add'l)	01/17/20	J		
299.					Sold (part)	09/15/20	J		
300.					Buy (add'l)	10/16/20	J		
301. Euronet Worldwide Inc (EEFT)		None	J	T	Sold (part)	09/15/20	J		
302.					Buy (add'l)	10/16/20	J		
303. Exelon Corp. Common Stock (EXC)	A	Dividend	J	T					
304. Facebook Common Stock (FB)		None	J	T					
305. Fairfax Financial Hldg Sub Vtg (FRFHF)	A	Dividend	J	T	Sold (part)	09/15/20	J		
306. Fidelity National Information SE (FIS)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Fireeye Inc (FEVE)		None	J	T					
308. First American Finl Corp (FAF)	A	Dividend	J	T					
309. Foot Locker Inc (FL)	A	Dividend	J	T	Sold (part)	09/15/20	J		
310.					Buy (add'l)	10/16/20	J		
311. Freeport McMoran Common Stock (FCX)	A	Dividend	J	T					
312. GATX Corp. Common Stock (GATX)	A	Dividend	J	T					
313. Genl Dynamics Corp (GD)	A	Dividend	J	T	Buy	06/25/20	J		
314.					Buy (add'l)	06/26/20	J		
315. Gray Television CL B Com (GTM)		None	J	T					
316. Guardant Health Inc (GH) (X)		None	J	T					
317. Home Depot Inc. Common Stock (HD)	A	Dividend	J	T					
318. Honeywell Intl. Inc. Common Stock (HON)	A	Dividend	J	T	Sold (part)	04/27/20	J	A	
319.					Sold (part)	04/28/20	J	A	
320. IHS Markit Ltd (INFO)	A	Dividend	J	T					
321. Ionis Pharmaceuticals Common Stock (IONS)		None	J	T					
322. Johnson & Johnson Common Stock (JNJ)	A	Dividend	K	T	Buy (add'l)	01/16/20	J		
323.					Sold (part)	03/03/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Sold (part)	03/13/20	J		
325.					Buy (add'l)	07/16/20	J		
326. Johnson Ctls Intl PLC (JCI)	A	Dividend	J	T					
327. Keycorp New Common Stock (KEY)	A	Dividend	J	T	Sold (part)	06/18/20	J		
328. Kinder Morgan Incorp (KMI)	A	Dividend	J	T	Buy (add'l)	03/16/20	J		
329.					Sold (part)	07/22/20	J		
330.					Sold (part)	07/24/20	J		
331.					Sold (part)	09/15/20	J		
332.					Buy (add'l)	10/16/20	J		
333. L3Harris Technologies Inc. (LHX)	A	Dividend	J	T					
334. Liberty Media C Ser C Sirius XM Common Stock (LSXMK)		None	J	T					
335. Linde Plc (LIN)	A	Dividend			Sold (part)	02/26/20	J	A	
336.					Sold	03/06/20	J	A	
337. Lithia Motors Inc A (LAD)		None	J	T	Buy	10/01/20	J		
338. McCormick and Co. Non Voting (MCK) (Y)									
339. Medtronic PLC Common Stock (MDT)	A	Dividend	J	T	Buy (add'l)	10/19/20	J		
340.					Buy (add'l)	10/20/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Buy (add'l)	10/21/20	J		
342.					Buy (add'l)	10/21/20	J		
343. Melco Resort Entmt LTD (MDT) (X)		None	J	T					
344. Merck & Co. Inc. New Common Stock (MRK)	A	Dividend	J	T	Sold (part)	02/13/20	J	A	
345. MGIC Investment Corp. Common Stock (MTG)	A	Dividend	J	T					
346. Microsoft Corp. Common Stock (MSFT)	A	Dividend	J	T	Sold (part)	04/02/20	J	C	
347. Monster Beverage Corp New Com (MNST) (X)		None	J	T					
348. Murphy USA Common Stock (MUSA)		None	J	T					
349. National Oilwell Varco Inc (NOV)	A	Dividend	J	T	Sold (part)	06/15/20	J		
350.					Buy (add'l)	07/16/20	J		
351. Netapp Inc Com (NTAP)	A	Dividend	J	T	Sold	09/15/20	J		
352.					Buy	10/16/20	J		
353. Now Inc (DNOW)		None	J	T					
354. Nuance Comm. Common Stock (NUAN)		None	J	T					
355. Nucor Corp. Common Stock (NUE)	A	Dividend	J	T					
356. Nutanix Inc (NTNX)		None	J	T					
357. Nvidia Corporation (NVDA)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
358. NXP Semiconductors NV (NXPI) (X)		None	J	T					
359. Occidental Petroleum Corp DE (OXY)	A	Dividend			Buy	01/10/20	J		
360.					Sold	04/29/20	J		
361. OneMain Holdings Common Stock (OMF)	B	Dividend	J	T					
362. Oracle Common Stock (ORCL)	A	Dividend	J	T	Sold (part)	01/31/20	J	A	
363.					Sold (part)	02/03/20	J	A	
364.					Sold (part)	02/19/20	J	A	
365.					Sold (part)	03/16/20	J	A	
366. Ovintiv Inc (OVV) (was Encana Corp (ECA)) (Y)									
367. Owens Corning Inc (OC)	A	Dividend	J	T					
368. Palo Alto Networks Inc (PANW)		None	J	T					
369. Pebblebrook Hotel Tr. Com. Common Stock (PEB) (Y)									
370. Pentair Ltd. Common Stock (PNR)	A	Dividend	J	T					
371. Pioneer Natural Resources Co. (PXD)	A	Dividend	J	T	Sold (part)	06/15/20	J		
372.					Buy (add'l)	07/16/20	J		
373. Progressive Corp Ohio (PGR)	A	Dividend	J	T	Buy	01/13/20	J		
374. Qualcomm Inc (QCOM)	A	Dividend	J	T	Sold (part)	04/09/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Qurate Retail Inc Ser A (QRTEA)	A	Dividend			Buy	01/10/20	J		
376.					Sold (part)	09/15/20	J	A	
377.					Sold	09/24/20	J	A	
378. Raytheon Technologies Corp (RTX)	A	Dividend	J	T					
379. Reynolds Consumer Prods Inc (REYN)	A	Dividend	J	T	Buy	02/04/20	J		
380. Salesforce.com Inc. (CRM)		None	J	T	Buy (add'l)	08/03/20	J		
381. Sanderson Farms (SAFM)	A	Dividend	J	T					
382. Schlumberger Ltd (SLB)	A	Dividend			Sold	03/11/20	J		
383. Seagate Technology PLC Common Stock (STX)	A	Dividend	J	T					
384. Sensata Technologies Hldg PLC (ST) (X)		None	J	T					
385. Service Corp. Intl. Common Stock (SCI)	A	Dividend			Sold	07/27/20	J	A	
386. Six Flags Entmt Corp New (SIX)		None	J	T	Buy	06/16/20	J		
387. Sony Corp ADR 1974 New (SNE) (X)		None	J	T					
388. Splunk Inc (SPLK)		None	J	T					
389. Sprouts Farmers Market Inc (SFM)		None	J	T	Sold (part)	05/21/20	J	A	
390. Stanley Black & Decker Common Stock (SWK)	A	Dividend	J	T					
391. Suncor Energy Inc (SU)	A	Dividend	J	T	Sold (part)	09/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392.					Buy (add'l)	10/16/20	J		
393. Synchrony Fin. Common Stock (SYF)	A	Dividend	J	T					
394. TE Connectivity Ltd. New (TEL)	A	Dividend	J	T					
395. Texas Instruments Common Stock (TXN)	A	Dividend	J	T					
396. Thermo Fisher Scientific Inc. Common Stock (TMO)	A	Dividend	J	T					
397. Total S A ADR (TOT)		None	J	T	Buy	07/23/20	J		
398. Treehouse Foods Inc (THS)		None	J	T	Buy	10/12/20	J		
399.					Buy (add'l)	10/13/20	J		
400. Twitter Common Stock (TWTR)		None	J	T					
401. US Bancorp Com (USB)	A	Dividend	J	T	Sold	09/15/20	J		
402.					Buy	10/16/20	J		
403. Uber Technologies Inc (UBER)		None	J	T	Buy	01/10/20	J		
404. Ulta Beauty Inc (ULTA) (X)		None	J	T					
405. Unilever PLC (New) ADS Common Stock (UL)	A	Dividend	J	T	Buy (add'l)	01/30/20	J		
406. United Parcel Service Inc. CL B Common Stock (UPS)	A	Dividend	J	T					
407. United Technologies Corp (UTX) (X)		None			Merged (with line 378)	04/07/20	J		
408. Unitedhealth Group Inc (UNH)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Verizon Communications (VZ)	A	Dividend	J	T	Buy (add'l)	07/16/20	J		
410.					Sold (part)	10/22/20	J	A	
411. Vertex Pharmaceuticals Common Stock (VRTX)		None	J	T	Buy (add'l)	06/09/20	J		
412.					Buy (add'l)	10/15/20	J		
413. Viacomcbs Inc CL B (VIAC)	A	Dividend	J	T	Sold (part)	06/15/20	J		
414.					Buy (add'l)	07/16/20	J		
415. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T					
416. Vistra Energy Corp (VST)	A	Dividend	J	T					
417. VMWare Common Stock (VMW)		None	J	T					
418. Voya Finl Inc (VOYA)	A	Dividend	J	T					
419. Vulcan Materials Co (VMC)		None	J	T	Buy	09/23/20	J		
420.					Buy (add'l)	09/25/20	J		
421. W.W. Grainger Common Stock (GWW)	A	Dividend	J	T					
422. Walt Disney Co. Holding Common Stock (DIS)	A	Dividend	J	T					
423. Wells Fargo Common Stock (WFC)	A	Dividend	J	T	Sold (part)	01/14/20	J	A	
424.					Buy (add'l)	04/23/20	J		
425.					Sold (part)	06/15/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426.					Buy (add'l)	07/16/20	J		
427.					Sold (part)	09/15/20	J		
428.					Buy (add'l)	10/16/20	J		
429. Western Digital Common Stock (WDC)	A	Dividend	J	T	Buy (add'l)	01/14/20	J		
430.					Sold (part)	09/15/20	J		
431.					Buy (add'l)	10/16/20	J		
432. Weyerhaeuser Co. Common Stock (WY)	A	Dividend	J	T					
433. Wheaton Precious Metals Corp (WPM) (X)	A	Dividend	J	T					
434. Zoetis Common Stock (ZTS)	A	Dividend	J	T					
435. Volkswagen AG RP PRF ADR (VWAPY)	A	Dividend	J	T					
436. Ishares Core S&P US Growth (IUSG)	A	Dividend			Sold	01/10/20	J	A	
437.					Buy	06/15/20	J		
438.					Sold	07/16/20	J	A	
439.					Buy	09/15/20	J		
440.					Sold	10/16/20	J	A	
441. Ishares Core S&P US Value (IUSV)	A	Dividend			Sold (part)	01/10/20	J	A	
442.					Sold	01/30/20	J	A	

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
443.					Buy	03/23/20	J		
444.					Sold	04/23/20	J	A	
445.					Buy	06/15/20	J		
446.					Sold	07/16/20	J	A	
447.					Buy	09/15/20	J		
448.					Sold	10/16/20	K	A	
449. Ishares Russell 1000 Grw ETF (IWF)		None			Sold	01/10/20	J	A	
450. Account #16 (H)									
451. Morgan Stanley Bank (cash) (X)	A	Dividend	K	T					
452. Baker Hughes A GE (BHGE)	A	Dividend			Sold	08/10/20	J		
453. Bank of America Corp. (BAC)	A	Dividend	K	T					
454. Bristol Myers Squibb Common Stock (BMY)	B	Dividend	L	T					
455. CBRE Clarion Global Real Estate Fund (IGR)	C	Dividend	K	T					
456. Cohen & Steers CEF Opp Fd. Inc. (FOF)	B	Dividend	K	T					
457. Kayne And Midstream/Engy FD (KMF)	B	Dividend	J	T	Sold (part)	08/10/20	J		
458. ACAP Strategic Interval (XCAPX)		None	M	T					
459. Hartford Municipal Opportunity (HHMCX)	A	Dividend	K	T					

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460. Principal Investment Fund (PRFCX)	B	Dividend	L	T					
461. Account #17 (H)									
462. UBS Bank USA Bus Acct (Cash)	A	Interest	J	T					
463. Dominion Resources Common Stock (D)	B	Dividend	L	T					
464. Exxon Mobil Common Stock (XOM)	C	Dividend	K	T					
465. Pepsico Common Stock (PEP)	A	Dividend	K	T					
466. Walmart Common Stock (WMT)	B	Dividend	M	T					
467. MFS Maryland Municipal Bond Fund (MFSMX)	B	Dividend	L	T					
468. Account #18 (H)									
469. UBS Bank USA (cash)	A	Interest	K	T					
470. Energy Select Sector SPDR Fund ETF (XLE)		None			Buy	03/05/20	K		
471.					Sold	03/19/20	J		
472. First Trust Financial Alphadex Fund ETF (FXO)	A	Dividend			Sold	04/30/20	K	D	
473. IShares US Technology ETF (IYW)	A	Dividend	M	T					
474. IShares US Healthcare ETF (IYH)	A	Dividend	L	T					
475. IShares U.S. Consumer Goods ETF (IYK)	B	Dividend	M	T					
476. IShares US Real Estate ETF (IYR)	A	Dividend	K	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
477. IShares US Consumer Services ETF (IYC)	A	Dividend	M	T					
478. IShares US Energy ETF (IYE)		None			Sold	03/05/20	K	C	
479. Vanguard Financials ETF (VFH)	A	Dividend	K	T	Buy	04/30/20	K		
480. IShares Core US Aggregate Bond ETF (AGG)	A	Dividend	M	T	Buy	07/10/20	M		
481. IShares Floating Rate Bond ETF (FLOT)	B	Dividend			Sold	07/10/20	M		
482. SPDR Portfolio Aggregate Bond ETF (SPAB)	A	Dividend	M	T	Buy	07/10/20	M		
483. Vanguard Short-term Corporate Bond ETF (VCSH)	B	Dividend			Sold	07/10/20	M	D	
484. Vanguard Total Bond Mkt ETF (BND)	B	Dividend	L	T					
485. Account #19 (H)									
486. Abbvie Common Stock (ABBV)	A	Dividend	J	T					
487. Align Technology Common Stock (ALGN)		None	J	T					
488. Alphabet Inc Cl A Common Stock (GOOGL)		None	J	T					
489. Alphabet Inc Cl C Common Stock (GOOG)		None	J	T					
490. Amazon Com. Inc. (AMZN)		None	J	T					
491. Amgen Inc (AMGN)	A	Dividend	J	T					
492. Apple Inc. Common Stock (AAPL)	A	Dividend	J	T					
493. Centene Corp (CNC)		None	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494. Cincinnati Financial. Corp. Common Stock (CINF)	A	Dividend	J	T					
495. Citigroup Common Stock (C)	A	Dividend	J	T					
496. CME Group Common Stock (CME)	A	Dividend	J	T					
497. EOG Resources Common Stock (EOG) (Y)									
498. Ecolab Inc. Common Stock (ECL)	A	Dividend	J	T					
499. Edwards Lifesciences Corp (EW)		None	J	T					
500. Electronic Arts Common Stock (EA)		None	J	T					
501. Emerson Electric Co. Common Stock (EMR)	A	Dividend	J	T					
502. Exxon Mobile Corp. Common Stock (XOM)	A	Dividend			Sold	03/27/20	J	A	
503. Facebook Common Stock (FB)		None	J	T					
504. Fidelity National Information Services, Inc. (FIS)	A	Dividend	J	T					
505. Five Below (FIVE)		None	J	T					
506. Ingersoll Rand Inc (was Ingersoll Rand PLC) (IR)		None	J	T					
507. Intuit Common Stock (INTU)	A	Dividend	J	T					
508. JP Morgan Chase Co. Common Stock (JPM)	A	Dividend	J	T					
509. Johnson & Johnson Common Stock (JNJ)	A	Dividend	J	T					
510. Lincoln Nat'l Corp. Common Stock (LNC)	A	Dividend	J	T					

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511. Lowe's Common Stock (LOW)	A	Dividend	J	T					
512. Mastercard (MA)	A	Dividend	J	T					
513. McDonald's Corp. Common Stock (MCD)	A	Dividend	J	T					
514. Microsoft Common Stock (MSFT)	A	Dividend	J	T					
515. Nextera Energy Inc. Common Stock (NEE)	A	Dividend	J	T					
516. Parsley Energy Inc (PE) (Y)									
517. Paypal Holdings Inc (PYPL)		None	J	T					
518. Philip Morris Intl. Common Stock (PM)	A	Dividend	J	T					
519. Pioneer Natural Resources Common Stock (PXD)	A	Dividend	J	T					
520. Procter & Gamble Inc. Common Stock (PG)	A	Dividend	J	T					
521. Schwab Charles Corp (SCHW)	A	Dividend	J	T					
522. Southwest Airlines Common Stock (LUV)	A	Dividend	J	T					
523. Splunk Inc (SPLK)		None	J	T					
524. Texas Instruments Inc (TXN)	A	Dividend	J	T					
525. Thermo Fisher Scientific Inc. Common Stock (TMO)	A	Dividend	J	T					
526. United Health Group, Inc. Common Stock (UNH)	A	Dividend	J	T					
527. Visa Inc. CL A Common Stock (V)	A	Dividend	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Wheeler, Thomas C.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. Walmart Inc. (WMT)	A	Dividend	J	T					
529. Walt Disney Common Stock (DIS)	A	Dividend	J	T					
530. 3M Co. Common Stock (MMM)	A	Dividend	J	T					
531. Vanguard 500 Index Admiral (VFIAX)	A	Dividend	K	T	Buy	03/27/20	K		
532. T. Rowe Price Mid Cap Growth Fund (RPMGX)		None	K	T					
533. Wells Fargo Special Mid Cap Value (WFMIX)		None	K	T					
534. Glenmeade Small Cap Equity Instl (GTSCX)	A	Dividend	J	T					
535. Accenture PLC Cl A Common Stock (ACN)	A	Dividend	J	T					
536. Chubb Ltd. (CB)	A	Dividend	J	T					
537. Enbridge Inc. (ENB)	A	Dividend	J	T					
538. Harding Loevner International Equity (HLMIS)		None	K	T					
539. Linde Plc (LIN)	A	Dividend	J	T					
540. Magna International (MGA)	A	Dividend	J	T					
541. T. Rowe Price International Value Equity Fund Int'l (TRIGX)		None	K	T					
542. Trane Technologies (TT)	A	Dividend	J	T	Spinoff (from line 506)	03/04/20	J		
543. Baron Emerging Mkts. Fd. (BEXIX)		None	J	T					
544. Causeway Emerging Mkts Fund (CEMIX)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Wheeler, Thomas C.

Date of Report

12/31/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
545. AQR Long/Short Equity Fd (QLEIX)		None			Sold	03/27/20	J		
546. Robeco Boston Partners Long/Short Fd (BPIRX)		None			Sold	03/27/20	J		
547. Nuveen Inter. Dur. Mun. Fund (NUVBX)	B	Dividend	M	T	Buy (add'l)	03/30/20	J		
548. Principal Preferred Sec. Ins (PPSIX)	A	Dividend	K	T					
549. Pgim Sht Dur High Yld Inc CI R6 (HYSQX)	A	Dividend	K	T					
550. Tcw Emerging Markets Income Fund (TGEIX)	A	Dividend	J	T					
551. Loomis Sayles Strategic Alpha Fund Y (LASYX)		None			Sold	01/28/20	K		
552. Loomis Sayles Strategic Alpha N (LASNX)	A	Dividend	K	T	Buy	01/28/20	K		
553. American Tower Common Stock (AMT)	A	Dividend	J	T					
554. Nuveen Real Estate Security Class I (FARCX)	A	Dividend	J	T					
555. Salient Mlp Energy Infrastructure I (SMLPX)	A	Dividend			Sold	03/27/20	J		
556. Account #20 (H)									
557. UBS Bank USA Dep Acct (Cash)	A	Interest	J	T					
558. Blackrock Munivest Fund II (MVT)	B	Dividend	K	T					
559. Blackrock Munivest Fund (MVF)	A	Dividend	K	T					
560. Nuveen Select Tax Free Income Port 3 (NXR)	A	Dividend	K	T					
561. BNY Mellon AMT-Free Mun Bond Fd Class A (DMUAX)	B	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting	Date of Report
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Potomac Cliffs at Watson House Real Estate Partnership, purchased on 4/20/1987 at cost of \$26,150 (1/2-share).

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

The ticker symbol for U.S. Steel is (X).

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Name of Person Reporting

Wheeler, Thomas C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas C. Wheeler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544