

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKinney, Larry J.	2. Court or Organization U.S. Dist. Ct. So. Dist. of IN	3. Date of Report 08/08/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge, Article III	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 204 U.S. Courthouse 46 E. Ohio St. Indianapolis, IN 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Executor	Estate [REDACTED]
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1978-87	Indiana Judicial Retirement System, no control
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Franklin College of Indiana - Wages

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Schwab Bank	Mortgage - Staten Island, New York Condo	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab IRA	D	Int./Div.	N	T					
2. - Lexington Gold Mutual Fund (LEXMX)									
3. - Schwab Adv Cash Reserve (SWQXX)									
4. - iShares Trust S&P 500 (IVV)					Sold (part)	07/29/11	J	B	
5. - PIMCO Unconstrained Bond Fd (PFIUX)					Sold (part)	09/27/11	J	A	
6. - GE Capital Fixed Income (36962G2L7)									
7. - PIMCO Total Return Mutual Fd (PTTRX)									
8. - iShares Tr MSCI EAFE Fd (EFA)					Sold (part)	07/29/11	K	A	
9. - PIMCO Investment Grade Mutual Fd (PIGIX)					Sold (part)	07/14/11	K	A	
10.					Sold	09/27/11	J	A	
11. - Dreyfus Mid Cap Index Mutual Fd (PESPX)					Sold	07/14/11	J	A	
12. - PIMCO Local Emerging Mkt (PELBX)									
13. - Scout International Fund (UMBWX)									
14. - Vanguard Appreciation Fund (VIG)					Buy (add'l)	09/27/11	J		
15. - Schwab US Large Cap Growth (SCHG)					Buy	07/29/11	J		
16. - American Fd Growth Fund (GFAFX)					Buy	09/27/11	J		
17. - Calamos Growth & Income Fund (CVTRX)					Buy	07/29/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Sound Shore Fund (SSHFX)					Buy	07/29/11	K		
19. - Osterweis Strategic (OSTIX)					Buy	07/29/11	K		
20.									
21. State Farm Life Insurance Policy # 1 - Cash Value	A	Interest	J	T					
22. State Farm Life Insurance Policy # 2 - Cash Value	A	Interest	J	T					
23.									
24. St. Joseph County, IN (X)	C	Rent	M	W					
25.									
26. Huntington TOD Account (X)									
27. -Huntington Money Market (HFIXX)	A	Dividend	J	T					
28. -Build Amer Bds Income Tr Unit	B	Dividend	L	T					
29.									
30. Schwab IRA	C	Dividend	M	T					
31. - Schwab Adv Cash Reserve (SWQXX)									
32. - Lexington Gold Mutual Fd (LEXMX)									
33. - American Funds Growth Fd of Amer (AGTHX)					Sold (part)	7/29/11	L	D	
34. - PIMCO Investment Grade Bond (PIGIX)					Sold	9/27/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - PIMCO Local Emerging Market (PEL BX)									
36. - Dreyfus Small Cap Stock (DISSX)									
37. - PIMCO Total Return Fund (PTTRX)									
38. - PIMCO Unconstrained Bd Fd (PUBDX)									
39. - Schwab US Large Cap Growth (SCHG)					Buy	07/29/11	J		
40. - Calamos Growth & Income (CVTRX)					Buy	09/27/11	J		
41. - Schwab US Large Cap Value (SCHV)					Buy	07/29/11	K		
42.					Buy	9/27/11	J		
43. - Oakmark Intl Fd (OAKIX)					Buy	07/29/11	J		
44. - PIMCO Total Return (PTTDX)					Buy	07/29/11	J		
45. - Osterweis Strategic (OSTIX)					Buy	09/27/11	J		
46.									
47. Schwab Account									
48. - PIMCO Total Return Fund (PTTDX)	A	Dividend	J	T	Buy	07/29/11	J		
49. - Schwab Muni Money Fd (SWXXX)	A	Dividend	J	T					
50.									
51. Salin Bank Premier Interest Checking (X)	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.									
53. Staten Island, New York Condo		None	N	W					
54.									
55. Estate # 1	D	Rent			Closed	11/01/11	O		
56. -Cash and Cash Equivalents					Distributed	11/01/11	M		
57. -St. Joseph County, IN					Distributed	05/31/11	M		
58. -Edward Jones Account					Distributed	05/31/11	N		
59. -Hilliard Lyons Account					Distributed	05/31/11	M		
60.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1.) Part II - Indiana's state pension for eight and half years on state bench from January 1978 to July 1987. In prior year disclosure, was listed as an asset in Part VII. Reclassified to Part II as it is more appropriate - there is no underlying asset.
- 2.) Part VII, line 21 and 22 - Insurance policies that I own [REDACTED]. The premiums have been prepaid for both. The value indicated is the cash value. Not disclosed in prior year reports - inadvertently omitted.
- 3.) Part VII, line 24, 26-28, 55 - Inherited assets [REDACTED]. Denoted with (X). Salin Bank account because of inheritance is disclosed.
- 4.) Part VII, line 24 - Most appropriate code for [REDACTED] income is rent. Income represents shared income after expenses [REDACTED].
- 5.) Part VII, line 53 - Condo purchased 6-7 years ago not previously disclosed because of oversight. See related liability in Part VI.
- 5.) Part VII, line 55 - I was Executor for [REDACTED] estate as disclosed in Part I. The estate was distributed and closed during 2011. The value of the estate at December 31, 2011 was 0.
- 6.) Part VII, line 55 - The primary income in the estate was income [REDACTED] (see # 4 above). Other income consisted of interest and dividends.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

McKinney, Larry J.

Date of Report

08/08/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Larry J. McKinney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544