

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2020**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Wehrman, J. Gregory	2. Court or Organization US District Court, WDNY	3. Date of Report 5/7/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, full-time (recall)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2020 to 12/31/2020
	5b. ☐ Amended Report	
7. Chambers or Office Address 118 West Lakeside Avenue Lakeside Park, KY 41017		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Wehrman, J. Gregory

Date of Report

5/7/2021

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Kentucky Retirement Systems	\$2,675.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Wehrman, J. Gregory

Date of Report

5/7/2021

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Wehrman, J. Gregory

Date of Report

5/7/2021

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Huntington Banchsares, common stock	B	Dividend	M	T					
2. Fifth Third Bank Account	A	Interest	J	T					
3. Fifth Third Bank Account	A	Interest	J	T					
4. Caldwell Securities/Wedbush Morgan IRA									
5. - Wedbush Inc.	A	Int./Div.	L	T					
6. - Monarch Cement Company	A	Int./Div.	K	T					
7. -Kayne Anderson MLP Midstream Investment	A	Int./Div.	K	T					
8. - Barings Corp Investors (formerly Babson Capital 9-12	D	Int./Div.	L	T					
9. - Capital Southwest Corp	B	Int./Div.	L	T					
10. - CSW Industrials	A	Int./Div.	M	T					
11. -Bank of Utica	B	Int./Div.	K	T					
12. - Leadis Tech Inc	A	Int./Div.	J	T					
13. -Sadlier William H Inc.	A	Int./Div.	K	T					
14. -First Opportunity Fund	A	Int./Div.	J	T					
15. - Boulder Growth & Income	A	Int./Div.	J	T					
16. - Nicholas Financial Inc.	A	Int./Div.	J	T					
17. - HMG Courtland	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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Wehrman, J. Gregory

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5/7/2021

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Meritage Hosp.	A	Int./Div.	K	T					
19. - Anchor Bancorp	A	Int./Div.	K	T					
20. - Regency Affialities	A	Int./Div.	J	T					
21. - Siem	A	Int./Div.	J	T					
22. - Cuisine Solutions	A	Int./Div.	J	T					
23. - Cim Commerical Trust	A	Int./Div.	J	T					
24. -Natural Alternatives Intl	A	Int./Div.	J	T					
25. -IEH Corp	A	Int./Div.	J	T					
26. -MCEM	A	Int./Div.	J	T					
27. Summit Hills CC, Edgewood, KY - membership stock		None	J	U					
28. Ky Federal Savings & Loan	A	Interest	J	T					
29. Ky Retirement Systems-retirement	C	Interest	J	T					
30. Heritage Bank - common stock	A	Dividend	J	T					
31. Perry Park, Ky-house/lot int cnty ass'd 90,500 1/98 100%		None	K	S					
32. Fidelity Investment IRA									
33. - Columbia Cash Reserves	A	Dividend	K	T					
34. - Procter & Gamble Co.	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Wehrman, J. Gregory

Date of Report

5/7/2021

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35. Fidelity Investment IRA									
36. -Abbott Labs, common stock	C	Dividend	M	T					
37. -Hospira, Inc., common stock	A	Dividend	J	T					
38. -Motorola, common stock	A	Dividend	K	T					
39.									
40. -Pfizer, Inc., common stock	D	Dividend	M	T					
41. - Monsanto Co., common stock	B	Dividend	L	T					
42. - Huntington Bancshares, common stock	A	Dividend	L	T					
43. - Fifth Third Bancorp, common stock	B	Dividend	L	T					
44. - US Bancorp, common stock	C	Dividend	M	T					
45. - Piper Jaffey Co., common stock	A	Dividend	J	T					
46. - P&G common stock	D	Dividend	M	T					
47. - Cash Reserves	A	Dividend	J	T					
48. -Abbvie Inc., common stock	D	Dividend	M	T					
49. Fifth Third Bank, common stock	A	Dividend	J	T					
50. Perry Park lot assessed 100%-\$18,000		None	K	S					
51. CBA Pharma, Inc., common stock		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Wehrman, J. Gregory

Date of Report

5/7/2021

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52. Allstate Insurance, common stock	B	Dividend	L	T					
53. US Bancorp, common stock	C	Dividend	N	T					
54. Piper Jaffey Co., common stock	A	Dividend	J	T					
55. Conestoga Energy Partners									
56. - Fifth Third Bank	B	Dividend	L	T					
57. - US Bancorp	C	Dividend	N	T					
58. - Huntington Bancshares	B	Dividend	L	T					
59. - First Energy	A	Dividend	J	T					
60.									
61.									
62.									
63.									
64.									
65.									
66.									
67.									
68.									

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Wehrman, J. Gregory

Date of Report

5/7/2021

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Wehrman, J. Gregory

Date of Report

5/7/2021

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ J. Gregory Wehrman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544