

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Vyskocil, Mary Kay	2. Court or Organization US District Court, Southern District of New York	3. Date of Report 07/30/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Court Judge - Southern District of NY	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States District Court, Southern District of New York Daniel Patrick Moynihan United States Courthouse 500 Pearl Street New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President (Term ended 11/25/2020)	Federal Bar Council
2. Director (Resigned 1/28/2020)	Sanctuary for Families
3. Trustee (Resigned 1/27/2020)	St. Joseph's Seminary
4. Trustee Emeritus	Dominican College
5. Director, Vice President and Executive Committee Member	Judges and Lawyers Breast Cancer Alert ("JALBCA")
6. Trustee (Term ended January, 2021)	Historical Society of New York Courts
7. Director and Past President	St. John's University School of Law Alumni Association
8. Director and Vice President	NYC Co-op Board
9. Trustee and Clerk	Cape Cod Condo Board

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1990	Simpson Thacher & Bartlett -- Retirement Plan under Partnership Agreement (with former law firm) -- Unfunded Pension Plan and equity buyout
2.	

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3. _____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2020	Simpson Thacher & Bartlett LLP -- Partner Pension	\$1,929,245.00
2. 2020	New York State Breeder's Awards (Estimated)	\$1,000.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. 425 Private Fund I, LLC	E	Distribution	N	U					
2. STP, LLP PROFIT SHARING PLAN - FIDELITY (H)									
3. -WT CIF II CR PL BD 1	A	Dividend	J	T					
4. -TRP Equity Income	D	Dividend	M	T					
5. -MIP II CL 2	A	Dividend	J	T					
6. -Rothschild SMCP Core	A	Dividend	K	T					
7. -FID 500 Index IPR	E	Dividend	P1	T					
8. STB SUPP PROFIT SHARING PLAN - FIDELITY (H)									
9. -WT CIF II CR PL BD 1	A	Dividend	K	T					
10. -TRP Equity Income	D	Dividend	M	T					
11. -MIP II CL 2	A	Dividend	J	T					
12. -FID INTM GOVT Income	A	Dividend	J	T					
13. -Rothschild SMCP Core	A	Dividend	M	T					
14. -FID 500 Index IPR	E	Dividend	P1	T					
15. MERRILL LYNCH HSA (H)									
16. -Blackrock Global Fd Inc A	A	Dividend	K	T					
17. -ML Bank Deposit Program	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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18. -Ishares S&P 500 Index	A	Dividend	J	T					
19. -Blackrock Global Fd Inc C	A	Dividend			Merged (with line 16)	03/23/20	J		
20. TRANSAMERICA IRA (H)									
21. -TFLIC Fixed	A	Dividend	J	T					
22. -Transamerica Partners Large Growth	A	Dividend	K	T					
23. JPMorgan Chase Bank - Cash Accounts	A	Interest	N	T					
24. Citibank, N.A. - Cash Accounts	D	Interest	P1	T					
25. People's United Bank - Cash Accounts	B	Interest	P1	T					
26. Western Asset NY Tax-Free MMF Cl N	A	Dividend	M	T					
27. FIDELITY IRA (H)									
28. -Vanguard 500 Index Admiral Fund	B	Dividend	L	T					
29. FIDELITY BROKERAGE - 1 (H)									
30. -American Growth Fund of America, Class F1	B	Dividend	O	T					
31. -Dodge & Cox Stock Fd	E	Dividend	N	T					
32. -Fidelity NY AMT Free MMKT Inst Cl	A	Dividend	M	T					
33. -Fidelity NY Muni MMF	C	Dividend	P1	T					
34. -Royce Toyal Return Fund Investment Class	F	Dividend	N	T					

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35. -Fidelity 500 Index Fund	C	Dividend	N	T					
36. -SPDR S&P Midcap 400 ETF Tr UT Ser 1	D	Dividend	N	T					
37. -Tweedy Browne Global Value Fund	C	Dividend	N	T					
38. -Vanguard 500 Index Fund Admiral Shares	A	Dividend	K	T					
39. -Baron Growth Fund	E	Dividend	O	T					
40. -Fidelity Government MMF	A	Dividend	K	T					
41. FIDELITY BROKERAGE - 2 (H)									
42. -American Beacon Large Cap Investor	A	Dividend	J	T	Sold (part)	11/06/20	J	A	
43. -American Europacific Growth Fund, Class F2	A	Dividend	K	T					
44. -DWS NY Tax Free Income Fund	D	Dividend	M	T	Sold (part)	03/23/20	L		
45. -Blackrock National Muni Fund Class A	A	Dividend			Buy	01/08/20	J		
46.					Sold (part)	03/02/20	K	A	
47.					Sold	05/18/20	K		
48. -Fidelity Government Cash Reserves	A	Dividend	K	T	Buy (add'l)	12/31/20	J		
49. -Fidelity Advisor New Insights Class 1	A	Dividend	L	T					
50. -Fidelity Municipal Income Fund	C	Dividend	M	T					
51. -Ishares Russell 1000 Growth ETF	A	Dividend	M	T	Sold (part)	04/13/20	J		

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52.					Sold (part)	11/6/20	K	D	
53. -Ishares S&P Small Cap 600 Value	A	Dividend	J	T	Buy (add'l)	03/02/20	J		
54.					Sold (part)	04/13/20	J		
55. -Ishares Russell 1000 Value ETF	B	Dividend	L	T	Buy (add'l)	03/18/20	J		
56.					Buy (add'l)	03/23/20	J		
57. -MFS International Diversification Fund	A	Dividend	K	T					
58. -MFS International Intrinsic Value Fund	A	Dividend	K	T					
59. -MFS Research International Fund	A	Dividend	K	T	Buy (add'l)	03/18/20	J		
60. -Nuveen NY Municipal Bond Fund	D	Dividend	M	T	Sold (part)	03/02/20	J	A	
61.					Sold (part)	03/18/20	K		
62.					Buy (add'l)	05/14/20	K		
63. -Oppenheimer International Growth Fund n/ k/a Invesco Oppenheimer Intl		None			Sold	03/18/20	J		
64. -T Rowe Price Emerging Markets Stock Fd		None			Buy (add'l)	03/11/20	K		
65.					Sold	04/13/20	K		
66. -Sector SPDR Trust Shares Ben Int Financial	A	Dividend	J	T					
67. -SPDR S&P 500 ETF Trust Ser 1	B	Dividend	M	T					
68. -T Rowe Price Tax Free High Yield Adv	B	Dividend	K	T	Buy (add'l)	05/14/20	J		

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69.					Sold (part)	06/25/20	K		
70.					Sold (part)	10/29/20	K	A	
71. -Victory RS Small Cap Growth Fund		None	J	T					
72. -Wells Fargo Special Mid Cap Administration Fund	A	Dividend			Sold	03/23/20	K		
73. -Wells Fargo Muni Bond Fund - Administration Class	D	Dividend	M	T	Buy (add'l)	03/04/20	J		
74.					Buy (add'l)	03/18/20	J		
75.					Buy (add'l)	03/23/20	K		
76.					Buy (add'l)	04/13/20	J		
77.					Buy (add'l)	05/14/20	J		
78.					Sold (part)	06/25/20	J		
79. -Janus Henderson Enterprise	A	Dividend	J	T	Sold (part)	03/23/20	J		
80.					Buy (add'l)	05/14/20	J		
81. -Flexshares Trust Morningstar Global		None			Sold	03/02/20	K		
82. -Ishares Inc Core MSCI Emerging Markets		None			Sold	03/18/20	J		
83. -Fidelity Advisor Mega Cap Stock Fd		None			Sold (part)	03/04/20	K	A	
84.					Sold	03/11/20	K		
85. -Fidelity Value Discovery Fd		Dividend			Sold	03/18/20	K		

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86. -Strategic Adv Tax Sensitive Short Duration	C	Dividend	N	T	Buy (add'l)	03/04/20	J		
87.					Sold (part)	03/18/20	K		
88.					Buy (add'l)	04/13/20	K		
89.					Sold (part)	09/22/20	K		
90.					Buy (add'l)	10/19/20	L		
91.					Buy (add'l)	10/29/20	K		
92.					Buy (add'l)	11/06/20	J		
93.					Buy (add'l)	12/15/20	K		
94. -Oakmark Fund Investor Cl		Dividend			Sold	03/02/20	J		
95. -Artisan Intl Value Fund Class		Dividend			Sold	03/18/20	K		
96. -Causeway International Value Investor		Dividend			Sold	03/02/20	J		
97. -Causeway Emerging Markets Fd Inv Cl	A	Dividend	L	T	Buy (add'l)	03/11/20	J		
98.					Buy (add'l)	03/18/20	J		
99.					Buy (add'l)	04/13/20	K		
100.					Sold (part)	05/14/20	J		
101. -Ishares Russell Midcap Growth		Dividend			Sold	03/23/20	J		
102. -Ishares Tr EAFE Growth ETF	A	Dividend	K	T	Buy (add'l)	03/11/20	J		

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103.					Sold (part)	04/13/20	J		
104. -Ishares Edge MSCI USA Value Factor ETF		Dividend			Sold	03/18/20	K		
105. -Ishares Trust Core MSCI EAFE ETF		Dividend			Sold	03/11/20	L		
106. -Oppenheimer Dev Mkts Cl 1 n/k/a Invesco Oppenheimer Dev Mkts		Dividend			Sold	03/18/20	K		
107. -Vanguard Index Fds Nidcap Growth Index		Dividend			Sold	03/23/20	J		
108. -Ishares Tr US Treas Bond Fd		Dividend			Buy (add'l)	03/23/20	J		
109.					Buy (add'l)	04/13/20	K		
110.					Sold (part)	05/14/20	K	A	
111.					Sold (part)	06/08/20	K	B	
112.					Sold	06/25/20	J	B	
113. -Aberdeen Emerging Markets Fund		Dividend			Sold	03/11/20	J		
114. -Ishares Edge MSCI USA Quality	A	Dividend	J	T	Buy (add'l)	03/11/20	J		
115.					Buy (add'l)	03/18/20	J		
116.					Buy (add'l)	03/23/20	J		
117.					Sold (part)	05/14/20	K		
118.					Sold (part)	09/22/20	J	A	
119.					Sold (part)	10/19/20	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity Emerging Markets	A	Dividend	J	T	Buy	08/06/20	J		
121.					Sold (part)	09/22/20	J		
122.					Buy (add'l)	10/29/20	J		
123.					Sold (part)	12/15/20	J	A	
124. Fidelity Advisor Focused Emerging Mkts	A	Dividend	J	T	Buy	10/29/20	J		
125. Fidelity Growth Company		None	J	T	Buy	03/02/20	J		
126.					Sold (part)	04/13/20	K		
127. LSV Value Equity Fund Investor	A	Dividend	K	T	Buy	03/11/20	K		
128.					Buy (add'l)	03/18/20	K		
129.					Sold (part)	05/14/20	K		
130. DFA Emerging Markets Core Equity	A	Dividend	J	T	Buy	08/06/20	K		
131.					Sold (part)	11/06/20	J	A	
132. JPMorgan Mid Cap Value Fund Class I	A	Dividend	K	T	Buy	05/15/20	K		
133.					Buy (add'l)	09/23/20	J		
134.					Buy (add'l)	10/29/20	J		
135.					Sold (part)	12/15/20	J	B	
136. Oakmark Intl Investor	A	Dividend	K	T	Buy	05/14/20	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	06/25/20	J		
138.					Buy (add'l)	09/22/20	J		
139.					Sold (part)	11/06/20	J	A	
140. JPMorgan Tax Aware Equity Class I	A	Dividend	J	T	Buy	05/14/20	J		
141. TRowePrice Overseas Stock Class I	A	Dividend	K	T	Buy	03/11/20	J		
142.					Buy (add'l)	03/18/20	K		
143. Transamerica Intl Equity Class I	A	Dividend	K	T	Buy	03/11/20	K		
144.					Sold (part)	04/13/20	J		
145. Delaware Tax Free USA Class A	A	Dividend	J	T	Buy	08/06/20	K		
146.					Buy (add'l)	09/23/20	J		
147.					Sold (part)	10/29/20	K		
148.					Buy (add'l)	12/15/20	J		
149. MFS Municipal Income Class A	B	Dividend	L	T	Buy	05/18/20	K		
150.					Buy (add'l)	06/25/20	K		
151.					Sold (part)	10/29/20	K	A	
152. Mainstay McKay NY Tax Free Opp Class A	A	Dividend	K	T	Buy	11/06/20	K		
153. Ishares S&P 500	B	Dividend	L	T	Buy	03/18/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	05/14/20	J		
155.					Sold (part)	06/08/20	J	A	
156. Ishares Core S&P Small Cap E	A	Dividend	K	T	Buy	05/14/20	K		
157.					Buy (add'l)	06/25/20	J		
158.					Buy (add'l)	07/10/20	J		
159.					Buy (add'l)	09/22/20	J		
160.					Sold (part)	10/19/20	J	A	
161. Ishares Trust US Treasury	A	Dividend	J	T	Buy	03/18/20	K		
162.					Sold (part)	06/08/20	K	B	
163. Vanguard Real Estate ETF	A	Dividend	K	T	Buy	06/25/20	J		
164.					Sold (part)	08/06/20	K		
165.					Buy (add'l)	09/22/20	J		
166.					Sold (part)	10/29/20	J		
167.					Sold (part)	11/06/20	J	A	
168.					Buy (add'l)	12/15/20	J		
169. Ishares US Real Estate ETF		None			Buy	08/06/20	K		
170.					Sold	09/22/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. SPDR Index Shs FDS Global Nat Res		None			Buy	03/02/20	J		
172.					Sold	03/04/20	J	A	
173. Victory Trivalent Intl Small Cap		None			Buy	08/06/20	J		
174.					Sold	10/19/20	J		
175. MORGAN STANLEY - 1 (H)									
176. -Abbvie, Inc.	A	Dividend	K	T	Buy	04/30/20	K		
177. -Allstate Corp.	A	Dividend			Buy (add'l)	01/17/20	J		
178.					Sold (part)	02/26/20	J		
179.					Sold	11/05/20	K	D	
180. -Apple, Inc.	A	Dividend	M	T	Buy (add'l)	01/17/20	J		
181.					Sold (part)	02/26/20	J		
182. -Bank of New York Mellon Corp.		Dividend			Buy (add'l)	01/17/20	J		
183.					Sold	02/04/20	K	B	
184. -Chevron Corp.	A	Dividend	J	T	Buy	04/30/20	J		
185. -Costar Group Inc.		Dividend	L	T	Buy (add'l)	01/17/20	J		
186.					Sold (part)	02/26/20	J	A	
187.					Sold (part)	05/01/20	J	D	

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	11/10/20	K	D	
189. -Microsoft Corp.	A	Dividend	L	T	Buy (add'l)	01/17/20	J		
190.					Sold (part)	02/26/20	J	A	
191.					Sold (part)	04/13/20	J	B	
192. -Republic Services Inc.	B	Dividend	L	T	Buy (add'l)	01/17/20	J		
193.					Sold (part)	02/26/20	J	A	
194. -Starbucks Corporation	A	Dividend	K	T	Buy (add'l)	01/17/20	J		
195.					Sold (part)	02/26/20	J		
196. -UnitedHealth Group Inc.	A	Dividend	L	T	Buy (add'l)	01/17/20	J		
197.					Sold (part)	02/26/20	J		
198. -Visa, Inc.	A	Dividend	L	T	Buy (add'l)	01/17/20	J		
199.					Sold (part)	02/26/20	J		
200. -Berkshire Hathaway Class B		Dividend	K	T	Buy (add'l)	01/17/20	J		
201.					Sold (part)	02/04/20	J		
202. -Facebook, Inc.		Dividend	M	T	Buy (add'l)	01/17/20	J		
203.					Sold (part)	02/26/20	J		
204. -Hormel Foods, Inc.	A	Dividend	K	T	Buy (add'l)	01/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	02/26/20	J		
206. -Morgan Stanley Bank - Cash	A	Int./Div.	J	T					
207. -Adobe, Inc.		Dividend	L	T	Buy (add'l)	01/17/20	J		
208.					Sold (part)	02/26/20	J	A	
209.					Sold (part)	05/01/20	J	B	
210.					Sold (part)	11/10/20	K	D	
211. -Intel Corp.		Dividend			Buy (add'l)	01/17/20	J		
212.					Sold (part)	02/26/20	J		
213.					Sold	04/13/20	L	E	
214. -Amazon		Dividend	L	T	Buy (add'l)	11/13/20	J		
215. -Amgen, Inc.	A	Dividend	K	T	Buy	08/27/20	K		
216. -Nike Inc. Cl B	A	Dividend	L	T	Buy (add'l)	01/17/20	J		
217.					Sold (part)	02/26/20	J		
218. -Packaging Corp of America	B	Dividend	K	T	Buy (add'l)	01/17/20	J		
219.					Sold (part)	02/26/20	J		
220. -United Airlines Hldgs Inc.		None			Buy (add'l)	01/17/20	J		
221.					Sold (part)	02/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	03/03/20	K		
223. -United Parcel Service Inc Cl B	A	Dividend			Buy (add'l)	01/17/20	J		
224.					Sold (part)	02/26/20	J		
225.					Sold	04/30/20	K		
226. -Uber Technologies Inc.		Dividend	K	T	Buy (add'l)	01/17/20	J		
227.					Sold (part)	02/26/20	J		
228.					Sold (part)	03/18/20	J		
229.					Buy (add'l)	08/03/20	K		
230. -Netflix, Inc.		Dividend	K	T	Buy	01/17/20	J		
231.					Sold (part)	02/26/20	J	A	
232.					Sold (part)	04/30/20	K	C	
233. -Ishares Russell 2000 ETF		Dividend			Buy	01/17/20	J		
234.					Sold	02/11/20	K	B	
235. -Walt Disney Co. Holding Co.	A	Dividend	L	T	Buy	01/17/20	J		
236.					Sold (part)	02/26/20	J		
237. -Autonation Inc.		Dividend			Buy (add'l)	01/17/20	J		
238.					Sold (part)	02/26/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold	03/17/20	K		
240. -Citigroup, Inc.					Buy	02/04/20	K		
241.					Sold (part)	02/26/20	J		
242.					Sold	03/17/20	K		
243. -Delta Airlines Inc.					Buy	03/03/20	K		
244.					Sold	03/17/20	K		
245. -Johnson & Johnson	A	Dividend	K	T	Buy	02/11/20	K		
246.					Sold (part)	02/26/20	J		
247. -AT&T	A	Dividend	L	T	Buy	08/13/20	L		
248. -Medtronic PLC	A	Dividend	K	T	Buy	04/07/20	K		
249. -JPMorgan Chase & Co.	A	Dividend	L	T	Buy	04/09/20	K		
250.					Buy (add'l)	05/01/20	J		
251.					Buy (add'l)	06/04/20	J		
252. -Vanguard Small Cap Value ETF	A	Dividend	K	T	Buy	11/10/20	K		
253. -Intuitive Surgical Inc.		Dividend	K	T	Buy	04/30/20	K		
254. -Alphabet, Inc.		Dividend	K	T	Buy	11/09/20	K		
255. -Palantir Technologies Inc.		Dividend	K	T	Buy	11/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. MORGAN STANLEY - 2 (H)									
257. -Bank of America Corp.	C	Interest	L	T					
258. -Morgan Stanley	A	Interest	J	T					
259. -Wells Fargo	C	Interest	L	T					
260. -Hewlett-Packard Bond		Interest			Sold	07/22/20	L		
261. -JPMorgan Chase CD	C	Interest	L	T					
262. -Microsoft Corp Bond	B	Interest			Sold	10/01/20	K		
263. -General Motors Financial Co. Bond	B	Interest	L	T					
264. -Conagra Foods Inc. Bond	B	Interest	L	T					
265. -Nucor Corp. Bond	B	Interest	L	T					
266. -Brinker International Inc. Bond	B	Interest	L	T					
267. -Kinder Morgan Energy Partners LP Bond	B	Interest	L	T					
268. -American Axle & Mfg Inc. Bond	C	Interest	L	T					
269. -Energy Transfer Partners LP Bond	D	Interest	L	T					
270. -OneMain Finance Corp.p/k/a Springleaf	C	Interest	L	T					
271. -Dell International LLC	B	Interest	L	T					
272. -Dell International LLC/EMC Corp. Regs	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. -AT&T Inc.	C	Interest	M	T					
274. -Comcast Corp.	B	Interest	L	T					
275. -Energy Transfer Partners LP Pfd 7.625%	A	Dividend	J	T					
276. -International Lease Finance Corp.	C	Interest	L	T					
277. -JPMorgan Chase & Co.	C	Interest	L	T					
278. -Capital One Financial Corp.	B	Dividend	L	T					
279. -US Treasury Bond - Inflation Indexed		Interest			Sold	08/06/20	M	D	
280. -Bank of America Corp. 6% Pfd	C	Dividend	L	T					
281. -PNC Financial Services Group 5.375%					Buy	08/06/20	L		
282.					Sold	09/01/20	L		
283. -First Republic Bank San Francisco 5.5%	C	Dividend	L	T	Buy	01/17/20	L		
284. -Synchrony Financial 5.625%	B	Dividend	L	T	Buy	07/07/20	K		
285. -US Bancorp 5.15%	B	Dividend	L	T	Buy	08/06/20	L		
286. -Athene Holding Ltd 5.625%	B	Dividend	L	T	Buy	08/06/20	L		
287. -Wells Fargo 5.85%	A	Dividend	K	T	Buy	08/06/20	K		
288. -Merchants Bancorp 6.00%	A	Dividend	L	T	Buy	10/09/20	L		
289. -Tristate Cap Holds Dep RP	A	Dividend	L	T	Buy	10/09/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Vyskocil, Mary Kay

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290. -Air Lease Corp.	A	Dividend	L	T	Buy	10/09/20	K		
291. -CIT Group Inc. 5.625%	A	Dividend	L	T	Buy	10/09/20	K		
292. -Huntington Bancshares Inc. 5.7%	A	Int./Div.	K	T	Buy	12/14/20	K		
293. MERRILL LYNCH (H)									
294. -Blackstone Group, LP	C	Dividend	N	T					
295. -PJT Partners, Inc.	A	Dividend	J	T					
296. -Global Crossing				W					
297. -Asia Global Crossing				W					
298. -Merrill Lynch - Cash		None	L	T					
299. JPMORGAN CHASE BANK - IRA (H)									
300. -JPMorgan Chase Bank - Cash	A	Interest	K	T					
301. -Ishares Core MSCI EAFE ETF	A	Dividend	K	T	Sold (part)	03/06/20	K		
302. -Metropolitan West Total Return Fund	A	Dividend			Sold (part)	05/08/20	K	A	
303.					Sold (part)	06/10/20	K	B	
304.					Sold	10/21/20	K	B	
305. -Vanguard Total Bond Market Index Fund	C	Dividend	M	T	Buy (add'l)	03/06/20	K		
306.					Sold (part)	05/08/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Vyskocil, Mary Kay

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	08/13/20	L	C	
308.					Sold (part)	09/09/20	K	C	
309.					Sold (part)	10/30/20	L	C	
310. -Fidelity 500 Index Fund-AI	D	Dividend	O	T	Buy (add'l)	03/06/20	J		
311.					Sold (part)	03/16/20	K		
312.					Sold (part)	06/10/20	K	D	
313.					Buy (add'l)	06/30/20	K		
314.					Sold (part)	08/13/20	K	B	
315. -Ishares MSCI EAFE Intl Index Fund	C	Dividend	M	T					
316. -Vanguard Total Intl Bond-Adm	D	Dividend	M	T	Sold (part)	05/08/20	J		
317.					Buy (add'l)	07/10/20	J		
318.					Sold (part)	10/30/20	L	C	
319. -Ishares Inc. MSCI Japan	A	Dividend	K	T	Sold (part)	02/14/20	K	A	
320.					Buy (add'l)	06/10/20	K		
321. -Ishares TIPS Bond Fund		None			Sold	03/06/20	K	B	
322. -Pimco Income Fund-Ins	A	Dividend	K	T	Buy (add'l)	11/17/20	J		
323. -Pimco Short-Term Fund - Instl	A	Dividend	K	T	Sold (part)	04/24/20	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324.					Buy (add'l)	11/17/20	J		
325. -Pimco Investment Grade Corporate Bond Fund	B	Dividend	K	T					
326. -Ishares Core MSCI Europe	B	Dividend	L	T	Buy (add'l)	02/14/20	J		
327.					Sold (part)	10/21/20	J		
328. -Ishares MSCI Canada ETF	A	Dividend	K	T	Buy (add'l)	06/30/20	J		
329. -Ishares MSCI Pacific Ex-Japan Index Fund	A	Dividend	K	T	Buy (add'l)	06/30/20	J		
330. -Ishares 7-10 Year Treasury Bond Fund	A	Dividend			Sold (part)	09/09/20	K	B	
331.					Sold (part)	10/21/20	K	C	
332.					Sold	10/30/20	K	B	
333. -Ishares 20+ Year Treasury Bond Fund	A	Dividend	L	T	Sold (part)	03/27/20	J	A	
334.					Buy (add'l)	10/21/20	K		
335. -Ishares US Treasury Bond ETF	A	Dividend			Buy	03/06/20	K		
336.					Sold (part)	03/27/20	J	A	
337.					Sold	09/09/20	J	A	
338. -Blackrock Hi Yield Bond Portfolio	C	Dividend	L	T	Buy	03/31/20	K		
339.					Buy (add'l)	05/08/20	K		
340.					Buy (add'l)	11/17/20	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)					
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341. -Federated Inst Hi Yield Bond	B	Dividend	K	T	Buy	05/13/20	K		
342. -Ishares Core MSCI Emerging	A	Dividend	K	T	Buy	10/21/20	K		
343. -Vanguard Mortgage Backed Sec.	A	Dividend	K	T	Buy	10/30/20	K		
344.					Buy (add'l)	11/17/20	J		
345. -Vanguard Total Intl Bond ETF	A	Dividend	M	T	Buy	08/13/20	L		
346.					Buy (add'l)	09/09/20	K		
347.					Buy (add'l)	10/30/20	J		
348.					Buy (add'l)	11/17/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Kay Vyskocil**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544