

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2020

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Winsor, Allen C.	2. Court or Organization Northern District of Florida	3. Date of Report 05/16/2021
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2020 to 12/31/2020
7. Chambers or Office Address United States Courthouse 111 N. Adams Street Tallahassee, FL 3231		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Holy Comforter Episcopal School	Tuition Agreement	None
2.	Community Leadership Academy	Tuition Agreement	J
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Ally Bank Cash Accounts and CDs	C	Interest	N	T					
2. +-38 acres undeveloped land, Henry County AL 50% share.		None	K	W					
3. Florida Prepaid Tuition Agreement		None	K	T					
4. IRA #1 (H)									
5. TD Ameritrade Cash Account	A	Int./Div.	L	T					
6. Dollar General Corp (DG) Stock	A	Dividend	L	T	Buy (add'l)	03/27/20	J		
7.					Buy (add'l)	04/02/20	J		
8.					Buy (add'l)	04/03/20	K		
9. Lockheed Martin (LMT) Stock	A	Dividend	K	T					
10. Northrop Grumman Corp (NOC) Stock	B	Dividend	L	T	Buy (add'l)	04/03/20	J		
11. American Funds Capital World Growth & Inc Fund F1 (CWGFX)	A	Dividend	K	T					
12. American Funds Growth Fund of Am F1 (GFAFX)	B	Dividend	K	T					
13. American Funds New World F1 (NWFFX)	A	Dividend	L	T					
14. American Funds Sm Cap World F1 (SCWFX)		None			Sold	04/29/20	J	B	
15. Artisan Funds Intl Inv (ARTIX)	B	Dividend	K	T					
16. Fidelity Investments Biotechnology Fund (FBIOX)	D	Dividend	L	T					
17. Oakmark Funds Eqty & Inc Inv Fund (OAKBX)		None			Sold	03/31/20	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Oberweis Funds Intl Opp Investor Fund (OBIOX)		None			Sold	03/31/20	K		
19. Pioneer Invests Strategic Inc Y Fund (STRYX)	A	Dividend			Sold	04/09/20	L		
20. T Rowe Price Funds Blue Chip Growth Investor Fund (TRBCX)	A	Dividend	L	T					
21. Baron Partners FD (BPTRX)		None			Buy	02/11/20	K		
22.					Sold	08/10/20	K	D	
23. SPDR Russell 1000 (ONEV)	A	Dividend	K	T	Buy	04/17/20	J		
24. Vanguard Russell 1000 (VONG)	A	Dividend	K	T	Buy	01/07/20	L		
25.					Buy (add'l)	02/25/20	K		
26.					Sold	03/17/20	L		
27.					Buy	04/22/20	K		
28. Walmart (WMT)		None	J	T	Buy	12/14/20	J		
29. SPDR Energy (XLE)	B	Dividend	L	T	Buy	04/23/20	K		
30.					Buy (add'l)	05/05/20	K		
31.					Buy (add'l)	05/21/20	K		
32. SPDR Tech (XLK)	A	Dividend	K	T	Buy	03/27/20	J		
33.					Buy (add'l)	04/21/20	J		
34. SPDR Staples (XLP)	A	Dividend	K	T	Buy	04/09/20	J		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

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35.					Buy (add'l)	04/13/20	J		
36.									
37.									
38. IRA #2 (H)									
39. Cigna Corp (CI) Stock	A	Dividend			Sold	10/30/20	J	B	
40. American Funds Capital Income Builder A Fund (CAIBX)	A	Dividend	K	T					
41. Cash Account (Etrade)	A	Interest	K	T					
42. Brokerage #1 (H)									
43. TD Ameritrade Cash Account	A	Int./Div.	K	T					
44. Fidelity Select Defense & Aero Fund (FSDAX)	A	Dividend			Sold	08/10/20	K		
45. Prologis Inc (PLD) Stock	A	Dividend			Sold	11/03/20	K	D	
46. Select Sector Spdr Trust Tech ETF (XLK)	A	Dividend	L	T					
47. T Rowe Price Funds Blue Chip Growth Investor Fund (TRBCX)	A	Dividend	L	T					
48. Walmart Inc (WMT) Stock	B	Dividend	L	T					
49. Profunds Biotechnology Ultrasector Inv Fund (BIPIX)	A	Distribution	K	T					
50. Everst RE Grp LTD (RE)	A	Dividend			Sold	08/10/20	K	D	
51. Brokerage #2 (H)									

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52. Fidelity Growth Co. Fund (FDGRX)	D	Distribution	L	T					
53. 529 Fund - NH Aggressive Growth Port (Fidelity FDS)		None	J	T	Buy (add'l)	10/19/20	J		
54. 529 Fund - NH Portfolio 2024 (Fidelity FDS)		None	J	T	Buy (add'l)	04/29/20	J		
55. Brokerage #3 (H)									
56. Lockheed Martin (LMT) - X	A	Dividend	K	T					
57. Facebook (FB) - X		None	K	T					
58. Cash (Merrill Lynch acct)	A	Interest	J	T	Open	04/14/20	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Allen C. Winsor**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544