

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hodges, Shiva V.	2. Court or Organization U.S. District Court	3. Date of Report 05/10/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Matthew J. Perry, Jr. Federal Courthouse 901 Richland Street Columbia, South Carolina 29201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	McNair Law Firm - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Nova Office Strategies	January 17-18, 2012	Charlotte, NC	Speaker at CLE	Lodging, meals
2. South Carolina Defense Trial Attorney Association	November 8-11, 2012	Kiawah Island, SC	Guest at annual meeting/ CLE	Lodging, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Mortgage on Property #1 (rental property), Pawleys Island, SC	M
2.	NBSC	Line of Credit on Property #1 (rental property), Pawleys Island, SC	M
3.	Pawleys Island Rental Property POA	HOA Assessment on Property #1 (rental property), Pawley's Island, SC	J
4.	South Carolina Student Loan Corporation	Student Loan #1	K
5.	South Carolina Student Loan Corporation	Student Loan #2	K
6.	Chase Bank	Mortgage on Property #2 (rental property), Columbia, SC	N
7.	NBSC	Line of Credit on Property #2 (rental property), Columbia, SC	L
8.	Bank of America	Mortgage on Property #3 (rental property), Florence, SC	M
9.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Property #1, Pawleys Island, SC	D	Rent	N	W					
2. Northwestern Mutual Whole Life Policy #1	C	Dividend	K	T					
3. 401K #1	D	Int./Div.	L	T					
4. -Invesco Stable Value					Buy	07/26/12	J		
5. -Vanguard Total Bond Market Index Signal									
6. -PIMCO Total Return Instl					Buy	03/20/12	J		
7. -Coheen & Steers Realty Shares									
8. -AllianzGI NFJ Dividend Value Instl					Buy	03/20/12	J		
9. -AllianzGI NFJ Dividend Value Instl					Buy (add'l)	07/25/12	J		
10. -AllianzGI NFJ Dividend Value Instl					Sold (part)	7/26/12	J		
11. -T. Rowe Price Blue Chip Growth					Buy	07/25/12	J		
12. -AllianceBern Discovery Value I					Buy	03/20/12	J		
13. -DFA US Targeted Value I					Buy	07/25/12	J		
14. -Invesco Small Cap Growth R5					Buy	03/20/12	J		
15. -American Funds EuroPacific Gr A					Buy (add'l)	07/26/12	J		
16. -Columbia Acorn International Z					Buy (add'l)	07/26/12	J		
17. -Invesco Stable Value Fund					Sold	03/20/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -PIMCO Total Return Admin					Sold	03/20/12	J		
19. -American Funds Investment Co. of America A					Sold	07/25/12	J		
20. -American Funds Growth Fund of Amer A					Sold	07/25/12	J		
21. -AllianzGI NFJ Dividend Value Admin					Sold	03/20/12	J		
22. -AllianceBern Discovery Value A					Sold	03/20/12	J		
23. -Wells Fargo Advantage Small Cap Val Inv					Sold	03/20/12	J		
24. -Wells Fargo Advantage Small Cap Val Instl					Buy	03/20/12	J		
25. -Wells Fargo Advantage Small Cap Val Instl					Sold	07/25/12	J		
26. -Invesco Small Cap Growth A					Sold	03/20/12	J		
27. Hodges Investments, LLC		None	M	U					
28. -Coastal Land Group, LLC									
29. Property #2, Columbia, SC	E	Rent	N	W					
30. NBSC Account	A	Interest	J	T					
31. Property #3, Florence, SC	D	Rent	M	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1) Part VII, line 22 - Effective November 1, 2012 AllianceBern Small-Mid Cap Value A changed its name to AllianceBern Discovery Value A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Shiva V. Hodges**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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