

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Conti, Joy Flowers	2. Court or Organization U.S. District Court WDPA	3. Date of Report 05/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 5250 US Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Counselor	W. Edward Sell American Inns of Court
2. At-Large Director	Federal Judges Association
3. Ex-Officio Member	Allegheny County Board of Directors
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Ross, Sinclaire & Associates, LLC - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pennsylvania Bar Association	January 28-February 3, 2014	St. Thomas, VI	Mid-year Meeting	Lodging, Transportation, Meals
2. EDiscovery Intelligence	February 26-27, 2014	Atlanta, GA	E-Discovery Seminar	Lodging, Transportation, Meals
3. New York Intellectual Property Law Association	March 28-29, 2014	New York, NY	NYIPLA Annual Federal Judges' Dinner	Lodging, Transportation, Meals
4. Sedona Conference	April 9-11, 2014	San Francisco, CA	Mid-year Meeting	Lodging, Transportation, Meals
5. George Mason University School of Law	April 27-29, 2014	Charleston, SC	Judicial Symposium	Lodging, Transportation, Meals

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6.	Huron Legal Institute	April 30-May 1, 2014	Washington, DC	E-Discovery Advocacy Institute	Lodging, Transportation, Meals
7.	Pennsylvania Bar Association	May 13-15, 2014	Harrisburg, PA	Women in Profession Annual Conference	Lodging, Transportation, Meals
8.	American Bar Association	May 15-16, 2014	New York, NY	National Institute on E-Discovery	Lodging, Transportation, Meals
9.	American College of Bankruptcy	June 4, 2014	Philadelphia, PA	Educational Event	Transportation, Meals
10.	Academy of Trial Lawyers of Allegheny County	October 1-3, 2014	Farmington, PA	Annual Masters of Trial Advocacy Retreat	Lodging, Meals
11.	The Masters Conference	October 20-21, 2014	Washington, DC	E-Discovery Seminar	Lodging, Transportation, Meals
12.	EDiscovery Intelligence	October 21-23, 2014	Atlanta, GA	E-Discovery Seminar	Lodging, Transportation, Meals
13.	Ontario Bar Association	October 29-30, 2014	Toronto, Canada	E-Discovery Institute	Lodging, Transportation, Meals
14.	Georgetown University Law Center	November 19-21, 2014	Washington, DC	Advanced E-Discovery	Lodging, Transportation, Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BROKERAGE ACCOUNT #1									
2. -Federated PA Muni Service (Money Market)	A	Dividend	J	T					
3. -Red Mountain Resource Inc Stock		None	J	T					
4. ROTH IRA ROLLOVER ACCOUNT #1 ACCOUNT #1	D	Int./Div.	M	T					
5. -Pershing Government Money Market Fund									
6. -Deere & Co Stock									
7. -Lee Street Investors LLC Class B Units									
8. -Sanchez Energy Corp Stock									
9. -Prospect Cap Corp Stock									
10. -Newcastle Invst Corp (REIT)									
11. -GDL Fund					Sold	05/21/14	J	A	
12. -Knox Cnty, KY Hospital Bond (5.875% due 12/01/36)					Sold	10/20/14	K	C	
13. -New Residential Invst Corp Stock (REIT)					Sold	05/14/14	J		
14. -TRC Companies Stock									
15. -II VI Inc Stock					Buy	01/24/14	K		
16.					Sold	08/20/14	J		
17. -New Media Inv Group Stock					Spinoff (from line 10)	02/20/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Atlas Resource Partners LP					Buy	08/20/14	K		
19.					Sold	11/25/14	K		
20. -New SR Invst Group Inc (REIT)					Spinoff (from line 10)	11/13/14	J		
21. RETIREMENT PLAN #2	C	Int./Div.	N	T					
22. -CREF Stock Fund (Part VIII)					Sold (part)	12/01/14	K		
23. -TIAA Traditional Annuities									
24. -TC Intl Eq-Rtmt Fund									
25. -TC Small Cap Eq-Rtmt Fund									
26. -Vanguard Extended Mkt Index Fund									
27. -Vanguard REITIndex Signal Fund									
28. -CREF Money Market					Open	12/01/14	K		
29. ROTH IRA ROLLOVER ACCOUNT #3	B	Int./Div.	M	T					
30. -Pershing Government Money Market Fund									
31. -Navidea Biopharmaceuticals Stock									
32. -Knox Cnty, KY Hosp Bond (5.875% due 12/01/36)					Sold	10/20/14	K	D	
33. -Lee Street Investors LLC Class B Units									
34. -Sanchez Energy Corp Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Springfield OH Summit County Bond (6.125% due 9/01/40)									
36. -American Intl Group Stock									
37. -Boeing Co Stock					Buy (add'l)	03/21/14	J		
38. -Viasat Inc Stock									
39. -Federated International Leaders Fund					Buy	11/20/14	K		
40. -JP Morgan Value Advantage Fund					Buy	11/20/14	K		
41. IRA ROLLOVER ACCOUNT #6	A	Int./Div.	K	T					
42. -S&T Bancorp Stock									
43. -Janney Advantage Insured Sweep (Money Market)									
44. MELLON BANK: ACCOUNTS		None	J	T					
45. CITIZENS BANK: ACCOUNTS		None	J	T					
46. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					
47. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					
48. NORTHWESTERN: WHOLE LIFE INS POLICY		None	J	T					
49. METROPOLITAN LIFE: WHOLE LIFE POLICY		None	J	T					
50. GENERAL AMERICAN: WHOLE LIFE POLICY		None	J	T					
51. MASSACHUSETTS MUTUAL: WHOLE LIFE POLICY		None	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. METROPOLITAN LIFE: WHOLE LIFE POLICY		None	J	T					
53. NORTHWESTERN: WHOLE LIFE INS POLICY		None	J	T					
54. HUNTINGTON BANK ACCOUNT		None	K	T					
55. SIMPLE IRA ACCOUNT #1	C	Int./Div.	M	T					
56. -Pershing Government Money Market Fund									
57. -Nuance Communications Inc Stock									
58. -Prospect Cap Corp Stock									
59. -American Intl Group Inc Stock									
60. -Newcastle Invst Corp Stock (REIT)									
61. -II VI Inc Stock					Buy	01/24/14	J		
62.					Sold	11/20/14	J		
63. -New Media Invst Group Inc Stock					Spinoff (from line 60)	02/20/14	J		
64. -Boeing Inc Stock					Buy	03/21/14	J		
65. -Navidea Biopharmaceuticals Inc Stock					Buy	05/14/14	J		
66. -New SR Invst Group Inc (REIT)					Spinoff (from line 60)	11/13/14	J		
67. -JP Morgan Value Advantage Fund					Buy	11/20/14	K		
68. BROKERAGE ACCOUNT #2									

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69. -Federated PA Muni Cash (Money Market)	A	Dividend	K	T					
70. -Pa St Fin Auth Rev Rfdg Penn Hills Bond (0% due 12/1/23)		None	J	T					
71. -Canonsburg-Houston Pa Sewer Bond (3.55% due 12/1/14)	A	Interest			Sold	11/14/14	K		
72. -Cambria Cnty Pa IDA Bond (4% due 10/1/22)	B	Interest	K	T					
73. -Pa St Higher Educ Facs Bond (5.125% due 4/01/33)	A	Interest			Redeemed	04/17/14	K	B	
74. ROTH IRA ROLLOVER ACCOUNT #7		None	K	T					
75. -Safe-T-Shade LLC									
76. SEVENTH STREET INVESTMENT LP		None	K	T					
77. AMG CELEBRATIONS		None	J	T					
78. TAASERA INC STOCK		None	K	T	Buy	04/22/14	K		
79.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional Information for Part VII (Investments and Trusts):

From prior years:

There is no Retirement Plan # 1

There is no IRA Rollover/Roth IRA Rollover Account # 2, 4 or 5

Current year:

Line 22 - Retirement Plan #2 - CREF Stock Fund

On 12/01/14 some shares of CREF Stock Fund were sold and transferred to the CREF Money Market Fund. This retirement plan was funded from 1976 through 1982, years before the reporting individual became a judge. Because this is a retirement plan with no IRS reporting requirement for individual transactions, records do not exist for the original cost basis or subsequent reinvestments of the CREF Stock Fund shares that were transferred during 2014. Accordingly, no gain information can be computed or reported.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Joy Flowers Conti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544