

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) CONRAD, GLEN E.	2. Court or Organization US DISTRICT COURT	3. Date of Report 07/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CHIEF US DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 210 FRANKLIN RD - ROOM 206 P O BOX 2822 ROANOKE, VA 24001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Virginia Retirement System - retirement plan
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. College of William and Mary School of Law	February 17-18, 2012	Williamsburg, VA	judging moot court	meals and lodging expenses
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. House [X] Lots Pulaski Co., VA (see Part VIII)		None	M	Q					
2. House (40% int.) Dare County, NC (see Part VIII)		None	N	Q					
3. Stamp collection		None	L	W					
4. Coin collection		None	J	W					
5. Abbott Laboratories CS	A	Dividend	K	T					
6. Accenture PLC CS	A	Dividend	J	T	Buy	10/18/12	J		
7. ACCO Brands Corp. CS	A	Dividend			Spinoff (from line 55)	05/01/12	J		
8.					Sold	05/30/12	J	A	
9. ADT Corp. CS	A	Dividend	J	T	Buy	11/29/12	J		
10. Allstate Corp. CS	A	Dividend	J	T	Buy	09/12/12	J		
11. Ansys, Inc. CS	A	Dividend			Sold	07/11/12	J	A	
12. American Electric Power CS	B	Dividend	K	T					
13. AT&T Corp. CS	B	Dividend	K	T					
14. Ball Corp.	A	Dividend	J	T	Buy	11/29/12	J		
15. Bed Bath & Beyond, Inc. CS	A	Dividend			Sold	03/01/12	J	A	
16. Bristol Myers Squibb Co. CS	A	Dividend	J	T	Buy	08/13/12	J		
17. Celgene Corp. CS	A	Dividend			Sold	06/13/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Century Link CS	A	Dividend	J	T					
19. Coca-Cola Company CS	A	Dividend	J	T					
20. Coinstar, Inc. CS	A	Dividend			Buy	06/13/12	J		
21.	A	Dividend			Sold	10/17/12	J	A	
22. Comcast Corp. CS	A	Dividend	J	T					
23. Conoco Phillips Corp. CS	B	Dividend	L	T					
24. Consolidated Edison, Inc. CS	A	Dividend	K	T					
25. CSX Corp. CS	A	Dividend	K	T					
26. Chevron Texaco Corp. CS	C	Dividend	L	T					
27. CVS Caremark Corp., CS	A	Dividend	J	T	Buy	06/21/12	J		
28. Darden Restaurants, Inc. CS	A	Dividend			Sold	01/12/12	J	A	
29. Disney Walt Co., CS	A	Dividend	K	T	Buy	09/14/12	J		
30. Dominion Resources CS	A	Dividend	J	T					
31. Duke Energy Corp. CS	A	Dividend	J	T					
32. Equifax, Inc. CS	A	Dividend	K	T	Buy	12/07/12	K		
33. Express Scripts CS	A	Dividend	J	T	Spinoff (from line 56)	04/02/12	J		
34. F5 Networks, Inc. CS	A	Dividend			Buy	01/26/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Sold	08/30/12	J	A	
36. Fairpoint (Verizon spin-off) CS	A	Dividend	J	T					
37. Fedex Corp. CS	A	Dividend			Sold (part)	01/14/12	J	A	
38.					Sold	03/07/12	J	A	
39. First Opportunity Fund (mutual fund) (See Part VIII)	B	Dividend	K	T					
40. Genesco, Inc. CS	A	Dividend			Sold	06/21/12	J	A	
41. Wilmington Funds Municipal Bond Fund Class A(See Part VIII)	B	Dividend	M	T					
42. Wilmington Funds Municipal Bond Fund Class A (See Part VIII)	B	Dividend	L	T					
43. Franklin VA Tax Free Income Fund	B	Dividend	M	T					
44. Frontier Communications CS	A	Dividend	J	T					
45. Glaxo Smithkline CS	B	Dividend	K	T					
46. Google, Inc.	A	Dividend	J	T					
47. Halozyme Therapeutic CS	A	Dividend	M	T	Sold (part)	06/25/12	K	C	
48. Herbalife Limited CS	A	Dividend			Buy	03/01/12	J		
49.					Sold	05/03/12	J	D	
50. Honeywell Intl., Inc. CS	A	Dividend	J	T	Buy	10/18/12	J		
51. Hospira CS	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Intel Corp. CS	A	Dividend			Sold	09/12/12	J	A	
53. Market Vectors CS	A	Dividend			Sold	02/08/12	J	A	
54. Mattel, Inc. CS	A	Dividend	J	T	Buy	08/08/12	J		
55. Meadwestvaco Corp. CS	A	Dividend			Sold	08/08/12	J	A	
56. MEDCO Health CS	A	Dividend			Closed	04/02/12	J	C	
57. Merck & Co. Inc. CS	A	Dividend	J	T					
58. JP Morgan Chase & Co. CS	A	Dividend	K	T					
59. Northrop Grumman Corp. CS	A	Dividend			Sold	08/01/12	J	A	
60. Nu Skin Enterprises, Inc. CS	A	Dividend			Sold	05/02/12	K	A	
61. Nuveen VA Prem Income Municipal Fund	A	Dividend	J	T					
62. Pentair Ltd. CS	A	Dividend			Open	09/28/12	J		
63.					Sold	10/24/12	J	A	
64. Pfizer Inc. CS	A	Dividend	J	T					
65. Phillips 66 CS	A	Dividend	J	T	Spinoff (from line 23)	04/30/12	J		
66.					Buy (add'l)	06/20/12	J		
67. Rackspace, Inc. CS	A	Dividend	J	T	Buy	02/08/12	J		
68. RGC Resources, Inc. CS	A	Dividend	K	T					

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69. Schwab Money Market Fund	A	Dividend	N	T					
70. Scott & Stringfellow Money Market Fund	A	Dividend	K	T					
71. Scottrade Money Market Fund	A	Dividend	L	T					
72. Snap On, Inc. CS	A	Dividend	J	T	Buy	08/30/12	J		
73. Southern Company CS	A	Dividend	J	T	Buy	08/13/12	J		
74. Suntrust Banks, Inc. CS	C	Dividend	K	T					
75. Telefonica SA CS	A	Dividend			Sold	12/07/12	J	A	
76. The Travelers Companies CS	A	Dividend	J	T					
77. Tyco International, Ltd. CS	A	Dividend			Merged (with line 62)	09/28/12	J		
78. Under Armour, Inc. CS	A	Dividend			Buy	03/07/12	J		
79.					Sold	12/19/12	J	A	
80. Unilever CS	A	Dividend			Sold	01/26/12	J	A	
81. Union Pacific Corp. CS	A	Dividend	J	T	Buy	08/01/12	J		
82. UnitedHealth Group, Inc. CS	A	Dividend			Buy	06/07/12	J		
83.					Sold	09/14/12	J	A	
84. Verizon Communications CS	B	Dividend	K	T					
85. Vodafone Group PLC CS	A	Dividend			Sold	10/18/12	J	B	

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
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3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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86. Vulcan Materials Co. CS	A	Dividend	J	T	Buy	12/19/12	J		
87. Wells Fargo Bank (checking account)(See Part VIII)	A	Interest	L	T					
88. Wells Fargo CS	A	Dividend	J	T					
89. Whole Foods Market, Inc. CS	A	Dividend			Sold	11/29/12	K	C	
90. World Fuel Services Corp. CS	A	Dividend			Buy	01/12/12	J		
91.					Sold	05/09/12	J	A	
92. Ziopharm Oncology, Inc. CS	A	Dividend	L	T					
93. House, City of Radford, VA (see Part VIII)		None			Sold	06/11/12	L	A	Frank & Ellen Taylor

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 1 - appraisal date -May 2004

Part VII, Line 2 - appraisal date - September 1999

Part VII, Line 39 - First Opportunity Fund, formerly known as First Financial Fund Inc.

Part VII, Line 41 - as of March 31, 2012, MTB is now known as Wilmington Funds

Part VII, Line 42 - [REDACTED] as of March 31, 2012, MTB is now known as Wilmington Funds

Part VII, Line 87 - [REDACTED]

Part VII, Line 93 - [REDACTED] ownership with private party; inherited; assessed for 2011 real estate tax purposes at \$92,000

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ GLEN E. CONRAD

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544